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# NEW YORK STATE **REGISTER**

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- New York State Achievement and Investment in Merit Scholarship (NY-AIMS)
- New York State Get on Your Feet Loan Forgiveness Program

**Notice of Availability of State and Federal Funds  
Financial Reports**

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State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 45 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which full text was included in the Notice or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); or for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making or a Notice of Emergency Adoption and Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and for 30 days after publication of a Notice of Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 45 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

***For notices published in this issue:***

- the 60-day period expires on December 25, 2016
- the 45-day period expires on December 10, 2016
- the 30-day period expires on November 25, 2016

**ANDREW M. CUOMO  
GOVERNOR**

**ROSSANA ROSADO  
SECRETARY OF STATE**

**NEW YORK STATE DEPARTMENT OF STATE**

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# NEW YORK STATE REGISTER

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## Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* ([www.dos.ny.gov](http://www.dos.ny.gov)) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments must reach the agency before the proposed rule is adopted. The law provides for a minimum 45-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making for which full text was included or posted on a state web site, or which is a consensus rule or a rule defined in SAPA § 102(2)(a)(ii); a minimum 60-day public comment period after publication in the *Register* of a Notice of Proposed Rule Making for which a summary of the text of the rule was included in the Notice and the full text of which was not published on a state web site; and a 30-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after public comments are due.

The Administrative Regulations Review Commission (ARRC) is charged with the task of reviewing newly proposed regulations to examine the issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission  
State Capitol  
Albany, NY 12247  
Telephone: (518) 455-5091 or 455-2731

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Each paid subscription to the *New York State Register* includes one weekly issue for a full year and four "Quarterly Index" issues. The Quarterly is a cumulative list of actions that shows the status of every rule making action in progress or initiated within a calendar year.

The *Register* costs \$80 a year for a subscription mailed first class and \$40 for periodical (second) class. Prepayment is required. To order, send a check or money order payable to the NYS Department of State to the following address:

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Telephone: (518) 474-6957



KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

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Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website ([www.dos.ny.gov](http://www.dos.ny.gov))

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**Environmental Conservation, Department of**

1 / Management of Atlantic Menhaden (A)

**Financial Services, Department of**

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**Higher Education Services Corporation**

3 / New York State Achievement and Investment in Merit Scholarship (NY-AIMS) (E)

4 / New York State Get on Your Feet Loan Forgiveness Program (E)

**Parks, Recreation and Historic Preservation, Office of**

7 / An Updated List of Facilities Within the Jurisdiction of the NYS Office of Parks, Recreation and Historic Preservation (OPRHP) (A)

**Public Service Commission**

7 / Notice of Intent to Submeter Electricity (P)

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# RULE MAKING ACTIVITIES

Each rule making is identified by an I.D. No., which consists of 13 characters. For example, the I.D. No. AAM-01-96-00001-E indicates the following:

AAM      -the abbreviation to identify the adopting agency  
01        -the *State Register* issue number  
96        -the year  
00001    -the Department of State number, assigned upon receipt of notice.  
E         -Emergency Rule Making—permanent action not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

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## Division of Criminal Justice Services

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### NOTICE OF ADOPTION

#### Central Registry of Police Officers and Peace Officers

**I.D. No.** CJS-09-16-00002-A  
**Filing No.** 932  
**Filing Date:** 2016-10-05  
**Effective Date:** 2016-10-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Repeal of Part 6056; and addition of new Part 6056 to Title 9 NYCRR.

**Statutory authority:** Executive Law, sections 837(13), 845(1) and (3); L. 2010, ch. 491; General Municipal Law, section 209-q(1); Criminal Procedure Law, section 2.30(6)

**Subject:** Central Registry of Police Officers and Peace Officers.

**Purpose:** To consolidate the police officer and peace officer registries; and to clarify the reporting requirements.

**Text or summary was published** in the March 2, 2016 issue of the Register, I.D. No. CJS-09-16-00002-P.

**Final rule as compared with last published rule:** No changes.

**Text of rule and any required statements and analyses may be obtained from:** Natasha M. Harvin-Locklear Esq., NYS Division of Criminal Justice Services, 80 South Swan St., Albany, New York 12210, (518) 457-8420, email: dcjslegalrulemaking@dcjs.ny.gov

#### Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2019, which is no later than the 3rd year after the year in which this rule is being adopted.

#### Assessment of Public Comment

The agency received no public comment.

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## Department of Environmental Conservation

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### NOTICE OF ADOPTION

#### Management of Atlantic Menhaden

**I.D. No.** ENV-19-16-00002-A  
**Filing No.** 937  
**Filing Date:** 2016-10-07  
**Effective Date:** 2016-10-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of Part 40 of Title 6 NYCRR.

**Statutory authority:** Environmental Conservation Law, section 13-0333

**Subject:** Management of Atlantic menhaden.

**Purpose:** To add Atlantic menhaden and the menhaden trip limit to 6 NYCRR section 40.1(i)-Commercial Fishing Table B.

**Text or summary was published** in the May 11, 2016 issue of the Register, I.D. No. ENV-19-16-00002-P.

**Final rule as compared with last published rule:** No changes.

**Text of rule and any required statements and analyses may be obtained from:** Stephen Heins, NYSDEC Marine Resources, 205 North Belle Meade Road, Suite 1, East Setauket, New York 11733, (631) 444-0430, email: steve.heins@dec.ny.gov

**Additional matter required by statute:** The action is subject to SEQRA as an Unlisted action and a Short EAF was completed. The Department has determined that an EIS need not be prepared and has issued a negative declaration. The EAF and negative declaration are available upon request.

#### Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2019, which is no later than the 3rd year after the year in which this rule is being adopted.

#### Assessment of Public Comment

The agency received no public comment.

## Department of Financial Services

### EMERGENCY RULE MAKING

#### Standard Financial Aid Award Information Sheet for Institutions of Higher Education

**I.D. No.** DFS-03-16-00003-E

**Filing No.** 935

**Filing Date:** 2016-10-07

**Effective Date:** 2016-10-07

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Addition of Part 421 to Title 3 NYCRR.

**Statutory authority:** Banking Law, section 9-w

**Finding of necessity for emergency rule:** Preservation of general welfare.

**Specific reasons underlying the finding of necessity:** I, Maria T. Vullo, Superintendent of Financial Services, do hereby certify that the foregoing Part 421 of Title 3 of the Official Compilation of Codes, Rules and Regulations of the State of New York, entitled "Financial Aid Award Information Sheet" was duly adopted on an emergency basis on August 12, 2016 pursuant to the authority granted by Section 9-w of the New York Banking Law.

I determined that it is necessary for the preservation of the general welfare that this regulation be adopted on an emergency basis as authorized by section 202(6) of the State Administrative Procedure Act, effective immediately upon filing with the Department of State.

This regulation is adopted as an emergency measure because time is of the essence. Banking Law Section 9-w requires schools to use a standard financial aid information letter in responding to all financial aid applicants for the 2016-2017 academic year and thereafter. Schools are currently sending award packages and the regulations provide important clarity for schools using the model financial aid information letter. An April 2016 amendment to Banking Law Section 9-w, which took effect immediately, required amended emergency regulations. In order for schools to comply with Banking Law Section 9-w, these rules are being re-adopted on an emergency basis.

No other publication or prior notice is required by statute.

**Subject:** Standard financial aid award information sheet for institutions of higher education.

**Purpose:** Provides guidance to institutions of higher education for the implementation of a financial aid award information sheet.

#### **Text of emergency rule: PART 421**

##### **FINANCIAL AID AWARD INFORMATION SHEET**

##### **§ 421.1 Scope and application of this Part**

Section 9-w of the Banking Law authorizes the superintendent to adopt rules and regulations for the implementation of a standard financial aid award letter.

##### **§ 421.2 Definitions**

For purposes of this Part, unless otherwise stated herein, terms shall have the same meaning as set forth in section 601 of New York State Education Law.

##### **§ 421.3 Content and Delivery of Financial Aid Award Information Sheet Prior to May 15, 2016**

(a) In responding to an incoming or prospective undergraduate student's financial aid application on or after May 15, 2016, a college, vocational institution or other institution that offers an approved program as defined in section 601 of the Education Law shall provide the letter required in section 9-w of the Banking Law, hereby referred to as the "Financial Aid Award Information Sheet", in the form available at [www.dfs.ny.gov/studentprotection](http://www.dfs.ny.gov/studentprotection).

(b) For purposes of the Financial Aid Award Information Sheet, the term "Campus" shall mean an institution affiliated with a single U.S. Department of Education Office of Postsecondary Education Identification code.

##### **§ 421.4 Content and Delivery of Financial Aid Award Information Sheet Prior to May 15, 2016**

(a) In responding to an incoming or prospective undergraduate student's financial aid application prior to May 15, 2016, a college, vocational institution or other institution that offers an approved program as defined in section 601 of the Education Law shall provide the Financial

Aid Award Information Sheet in accordance with section 421.3 of this Part or satisfy the requirements in subsections 421.4(b) and 421.4(c) of this Part.

(b) Beginning on or before February 1, 2016, and ending on or after September 1, 2016, a college, vocational institution or other institution that offers an approved program as defined in section 601 of the Education Law that offers financial aid to undergraduate students shall publish online an "Interim Period Financial Aid Award Information Sheet" in the form available at [www.dfs.ny.gov/studentprotection](http://www.dfs.ny.gov/studentprotection).

(c) In responding to an incoming or prospective undergraduate student's financial aid application before May 15, 2016, a college, vocational institution or other institution that offers an approved program as defined in section 601 of the Education Law shall include in, or accompany with, the response a clear and conspicuous disclosure stating "Additional Information Including Estimated Cost of Attendance Can be Found On the Web Page Below" and setting forth the URL address of the webpage that includes a completed Interim Period Financial Aid Award Information Sheet. For responses to an incoming or prospective undergraduate student's financial aid application between January 1, 2016 and February 1, 2016, this disclosure shall be provided by February 1, 2016.

(d) For purposes of the Interim Period Financial Aid Award Information Sheet, the term "Campus" shall mean an institution affiliated with a single U.S. Department of Education Office of Postsecondary Education Identification code.

**This notice is intended** to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. DFS-03-16-00003-EP, Issue of January 20, 2016. The emergency rule will expire December 5, 2016.

**Text of rule and any required statements and analyses may be obtained from:** Max Dubin, Department of Financial Services, One State Street, New York, NY 10004, (212) 480-7232, email: [max.dubin@dfs.ny.gov](mailto:max.dubin@dfs.ny.gov)

#### **Regulatory Impact Statement**

1. Statutory Authority: The Superintendent of Financial Services' ("Superintendent") authority for the promulgation of this rule derives from New York Banking Law § 9-w, which calls on the Superintendent to promulgate regulations implementing that section.

2. Legislative Objectives: The Legislature called on the Superintendent to issue this rule to implement New York Banking Law § 9-w, which requires all New York schools to use a uniform financial aid award letter. The Legislature mandated a uniform financial aid letter to give students a better understanding of the costs of a particular school and the options to pay for the education. The uniform letter will also help students to easily compare costs and financial aid options between schools.

3. Needs and Benefits: DFS consulted the New York State Higher Education Services Corporation for thoughts and challenges associated with implementing the form required in Banking Law § 9-w. The rule is required by New York Banking Law § 9-w. The rule provides needed guidance to institutions of higher education, including when and to whom schools must provide the financial aid award letter.

4. Costs: This rule does not create any additional costs to regulated parties or state and local governments. Any costs incurred by higher education institutions in implementing a standard financial aid award information sheet, including building any information technology infrastructure to generate and send the award sheets, were imposed by the Legislature by statute. No new costs are created by this rule, which simply implements New York Banking Law § 9-w.

5. Local Government Mandates: The rule does not create any new local government mandates.

6. Paperwork: There are no new paperwork requirements created by the rule.

7. Duplication: Some institutions of higher education have volunteered to, and in some cases are required, to use a standard student shopping sheet developed by the U.S. Department of Education when responding to financial aid applications. DFS consulted with U.S. Department of Education and designed a model shopping sheet that would meet federal and state requirements. New York schools already committed to using the federal form can add a supplement to their existing form to meet both requirements and avoid duplicative financial aid award information sheets.

8. Alternatives: No significant alternatives to the rule were considered.

9. Federal Standards: The rule does not exceed any federal standards.

10. Compliance Schedule: The rule should not take any time to implement. It has been previously proposed as a permanent rule and adopted on an emergency basis.

#### **Regulatory Flexibility Analysis**

The rule will not impose any new adverse economic impact or reporting, recordkeeping or other compliance requirements on small businesses and local governments. The rule implements Banking Law § 9-w. Some of the covered educational institutions may be small businesses. Any costs or



compliance requirements were created statutorily by the Legislature and this rule does not create any additional costs or requirements.

#### **Rural Area Flexibility Analysis**

The rule will not impose any new adverse economic impact on rural areas or reporting, recordkeeping or other compliance requirements on public or private entities in rural areas. The rule implements Banking Law § 9-w. Some of the covered educational institutions are located in rural areas. However, the rule does not impose any new costs or compliance requirements. Any costs or compliance requirements were created statutorily by the Legislature.

#### **Job Impact Statement**

The rule should have no adverse impact on jobs and employment opportunities in New York. The rule implements Banking Law § 9-w. It does not create any new burden or costs to businesses that are not already required by statute.

#### **Assessment of Public Comment**

The agency received no public comment.

## Higher Education Services Corporation

### EMERGENCY RULE MAKING

#### **New York State Achievement and Investment in Merit Scholarship (NY-AIMS)**

**I.D. No.** ESC-43-16-00001-E

**Filing No.** 933

**Filing Date:** 2016-10-06

**Effective Date:** 2016-10-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Addition of section 2201.16 to Title 8 NYCRR.

**Statutory authority:** Education Law, sections 653, 655 and 669-g

**Finding of necessity for emergency rule:** Preservation of general welfare.

**Specific reasons underlying the finding of necessity:** This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students beginning with the fall 2015 term, which generally starts in August. Emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible scholarship applicants. The statute provides New York high school graduates who excel academically with merit-based scholarships to support their cost of attendance at any college or university located in New York State. Five thousand awards, of \$500 each, will be granted annually in 2015-16 and 2016-17. Decisions on applications for this Program are made prior to the beginning of the term. Therefore, it is critical that the terms of this program as provided in the regulation be effective immediately so that students can make informed choices and in order for HESC to process scholarship applications in a timely manner. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

**Subject:** New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

**Purpose:** To implement The New York State Achievement and Investment in Merit Scholarship (NY-AIMS).

**Text of emergency rule:** New section 2201.16 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

*Section 2201.16 The New York State Achievement and Investment in Merit Scholarship (NY-AIMS).*

*(a) Definitions. As used in section 669-g of the Education Law and this section, the following terms shall have the following meanings:*

*(1) "Good academic standing" shall have the same meaning as set forth in section 665(6) of the education law.*

*(2) "Grade point average" shall mean the student's numeric grade calculated on the standard 4.0 scale.*

*(3) "Program" shall mean The New York State Achievement and Investment in Merit Scholarship codified in section 669-g of the education law.*

*(4) "Unmet need" for the purpose of determining priority shall mean the cost of attendance, as determined for federal Title IV student financial aid purposes, less all federal, State, and institutional higher education aid and the expected family contribution based on the federal formula.*

*(b) Eligibility. An applicant must:*

*(1) have graduated from a New York State high school in the 2014-15 academic year or thereafter; and*

*(2) enroll in an approved undergraduate program of study in a public or private not-for-profit degree granting post-secondary institution located in New York State beginning in the two thousand fifteen-sixteen academic year or thereafter; and*

*(3) have achieved at least two of the following during high school:*

*(i) Graduated with a grade point average of 3.3 or above;*

*(ii) Graduated with a "with honors" distinction on a New York State regents diploma or receive a score of 3 or higher on two or more advanced placement examinations; or*

*(iii) Graduated within the top fifteen percent of their high school class, provided that actual class rank may be taken into consideration; and*

*(4) satisfy all other requirements pursuant to section 669-g of the education law; and*

*(5) satisfy all general eligibility requirements provided in section 661 of the education law including, but not limited to, full-time attendance, good academic standing, residency and citizenship.*

*(c) Distribution and priorities. In each year, new awards made shall be proportionate to the total new applications received from eligible students enrolled in undergraduate study at public and private not-for-profit degree granting institutions. Distribution of awards shall be made in accordance with the provisions contained in section 669-g(3)(a) of the education law within each sector. In the event that there are more applicants who have the same priority than there are remaining scholarships or available funding, awards shall be made in descending order based on unmet need established at the time of application. In the event of a tie, distribution shall be made by means of a lottery or other form of random selection.*

*(d) Administration.*

*(1) Applicants for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.*

*(2) Recipients of an award shall:*

*(i) request payment annually at such times, on forms and in a manner specified by the corporation;*

*(ii) receive such awards for not more than four academic years of undergraduate study, or five academic years if the program of study normally requires five years as defined by the commissioner pursuant to Article 13 of the education law; and*

*(iii) provide any information necessary for the corporation to determine compliance with the program's requirements.*

*(e) Awards.*

*(1) The amount of the award shall be determined in accordance with section 669-g of the education law.*

*(2) Disbursements shall be made annually to institutions on behalf of recipients.*

*(3) Awards may be used to offset the recipient's total cost of attendance determined for federal Title IV student financial aid purposes or may be used in addition to such cost of attendance.*

**This notice is intended** to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire January 3, 2017.

**Text of rule and any required statements and analyses may be obtained from:** Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

#### **Regulatory Impact Statement**

Statutory authority:

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer The New York State Achievement and Investment in Merit Scholarship (NY-AIMS), hereinafter referred to as "Program", is codified within Article 14 of the Education Law. In particular, Part Z of Chapter 56 of the Laws of 2015 created the Program by adding a new section 669-g to the

Education Law. Subdivision 6 of section 669-g of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

#### Legislative objectives:

The Education Law was amended to add a new section 669-g to create The New York State Achievement and Investment in Merit Scholarship (NY-AIMS). The objective of this Program is to grant merit-based scholarship awards to New York State high school graduates who achieve academic excellence.

#### Needs and benefits:

The cost to attain a postsecondary degree has increased significantly over the years; alongside this growth, the financing of that degree has become increasingly challenging. According to a June 9, 2014 Presidential Memorandum issued by President Obama, over the past three decades, the average tuition at a public four-year college has more than tripled, while a typical family's income has increased only modestly. All federal student financial aid and a majority of state student financial aid programs are conditioned on economic need. Despite stagnant growth in household incomes, there continues to be far fewer academically-based financial aid programs, which are awarded to students regardless of assets or income. This has resulted in more limited financial aid options for those who are ineligible for need-based aid. Concurrently, greater numbers of students are relying on loans to pay for college. Today, 71 percent of those earning a bachelor's degree graduate with student loan debt averaging \$29,400. Many of these students feel burdened by their college loan debt, especially as they seek to start a family, buy a home, launch a business, or save for retirement.

This Program cushions the disparate growth in the cost of a postsecondary education by providing New York State high school graduates who excel academically with merit-based scholarships to support their cost of attendance at any college or university located in the State for up to four years of undergraduate study (or five years if enrolled in a five-year program). Five thousand awards, of \$500 each, will be granted annually in 2015-16 and 2016-17.

#### Costs:

a. It is anticipated that there will be no new costs to the agency for the implementation of, or continuing compliance with this rule.

b. The maximum cost of the program to the State is \$2.5 million in the first year based upon budget estimates.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

#### Local government mandates:

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

#### Paperwork:

This proposal will require applicants to file an electronic application for eligibility and payment together with supporting documentation.

#### Duplication:

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

#### Alternatives:

The proposed regulation is the result of HESC's outreach efforts to financial aid professionals with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the statutory language

as set forth in section 669-g of the Education Law, a "no action" alternative was not an option.

#### Federal standards:

This proposal does not exceed any minimum standards of the Federal Government and efforts were made to align it with similar federal subject areas as evidenced by the adoption of the federal definitions/methodology concerning unmet need, expected family contribution, and cost of attendance.

#### Compliance schedule:

The agency will be able to comply with the regulation immediately upon its adoption.

#### Regulatory Flexibility Analysis

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making, seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State, which will provide an economic benefit to the State's small businesses and local governments as well.

#### Rural Area Flexibility Analysis

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making, seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

#### Job Impact Statement

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making seeking to add a new section 2201.16 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that provides merit-based scholarships to students who pursue their undergraduate degree at any college or university located in New York State. Providing students with direct financial assistance will encourage them to attend college in New York State and possibly seek employment opportunities in the State as well, which will benefit the State.

## EMERGENCY RULE MAKING

### New York State Get on Your Feet Loan Forgiveness Program

**I.D. No.** ESC-43-16-00002-E

**Filing No.** 934

**Filing Date:** 2016-10-06

**Effective Date:** 2016-10-06

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Addition of section 2201.15 to Title 8 NYCRR.



**Statutory authority:** Education Law, sections 653, 655 and 679-g

**Finding of necessity for emergency rule:** Preservation of general welfare.

**Specific reasons underlying the finding of necessity:** This statement is being submitted pursuant to subdivision (6) of section 202 of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

This regulation implements a statutory student financial aid program providing for awards to be made to students who receive their undergraduate degree from a college or university located in New York State in December 2014 and thereafter. Emergency adoption is necessary to avoid an adverse impact on the processing of awards to eligible applicants. The statute provides for student loan relief to such college graduates who continue to live in New York State upon graduation, earn less than \$50,000 per year, participate in either the federal Pay as You Earn (PAYE) or Income Based Repayment (IBR) program, which cap a federal student loan borrower's payments at 10 percent of discretionary income, and apply for this program within two years after graduating from college. Eligible applicants will have up to twenty-four payments made on their behalf towards their federal income-based repayment plan commitment. For those students who graduated in December 2014, their first student loan payment will become due upon the expiration of their grace period in June 2015. Therefore, it is critical that the terms of this program as provided in the regulation be effective immediately in order for HESC to process applications so that timely payments can be made on behalf of program recipients. To accomplish this mandate, the statute further provides for HESC to promulgate emergency regulations to implement the program. For these reasons, compliance with section 202(1) of the State Administrative Procedure Act would be contrary to the public interest.

**Subject:** New York State Get on Your Feet Loan Forgiveness Program.

**Purpose:** To implement the New York State Get on Your Feet Loan Forgiveness Program.

**Text of emergency rule:** New section 2201.15 is added to Title 8 of the New York Code, Rules and Regulations to read as follows:

*Section 2201.15 New York State Get on Your Feet Loan Forgiveness Program.*

(a) *Definitions. As used in section 679-g of the education law and this section, the following terms shall have the following meanings:*

(1) "Adjusted gross income" shall mean the income used by the U.S. Department of Education to qualify the applicant for the federal income-driven repayment plan.

(2) "Award" shall mean a New York State Get on Your Feet Loan Forgiveness Program award pursuant to section 679-g of the education law.

(3) "Deferment" shall have the same meaning applicable to the William D. Ford Federal Direct Loan Program as set forth in 34 CFR Part 685.

(4) "Delinquent" shall mean the failure to pay a required scheduled payment on a federal student loan within thirty days of such payment's due date.

(5) "Forbearance" shall have the same meaning applicable to the William D. Ford Federal Direct Loan Program as set forth in 34 CFR Part 685.

(6) "Income" shall mean the total adjusted gross income of the applicant and the applicant's spouse, if applicable.

(7) "Program" shall mean the New York State Get on Your Feet Loan Forgiveness Program.

(8) "Undergraduate degree" shall mean an associate or baccalaureate degree.

(b) *Eligibility. An applicant must satisfy the following requirements:*

(1) have graduated from a high school located in the State or attended an approved State program for a State high school equivalency diploma and received such diploma. An applicant who received a high school diploma, or its equivalent, from another state is ineligible for a Program award;

(2) have graduated and obtained an undergraduate degree from a college or university located in the State in or after the two thousand fourteen-fifteen academic year;

(3) apply for this program within two years of obtaining such undergraduate degree;

(4) not have earned a degree higher than an undergraduate degree at the time of application;

(5) be a participant in a federal income-driven repayment plan whose payment amount is generally ten percent of discretionary income;

(6) have income of less than fifty thousand dollars;

(7) comply with subdivisions three and five of section 661 of the education law;

(8) work in the State, if employed. A member of the military who is on

active duty and for whom New York is his or her legal state of residence shall be deemed to be employed in NYS;

(9) not be delinquent on a federal student loan or in default on a student loan made under any statutory New York State or federal education loan program or repayment of any New York State award; and

(10) be in compliance with the terms of any service condition imposed by a New York State award.

(c) *Administration.*

(1) An applicant for an award shall apply for program eligibility at such times, on forms and in a manner prescribed by the corporation. The corporation may require applicants to provide additional documentation evidencing eligibility.

(2) A recipient of an award shall:

(i) request payment at such times, on such forms and in a manner as prescribed by the corporation;

(ii) confirm he or she has adjusted gross income of less than fifty thousand dollars, is a resident of New York State, is working in New York State, if employed, and any other information necessary for the corporation to determine eligibility at such times prescribed by the corporation. Said submissions shall be on forms or in a manner prescribed by the corporation;

(iii) notify the corporation of any change in his or her eligibility status including, but not limited to, a change in address, employment, or income, and provide the corporation with current information;

(iv) not receive more than twenty four payments under this program; and

(v) provide any other information or documentation necessary for the corporation to determine compliance with the program's requirements.

(d) *Amounts and duration.*

(1) The amount of the award shall be equal to one hundred percent of the recipient's established monthly federal income-driven repayment plan payment whose payment amount is generally ten percent of discretionary income and whose payment is based on income rather than loan debt.

(2) In the event the established monthly federal income-driven repayment plan payment is zero or the applicant is otherwise not obligated to make a payment, the applicant shall not qualify for a Program award.

(3) Disbursements shall be made to the entity that collects payments on the federal student loan or loans on behalf of the recipient on a monthly basis.

(4) A maximum of twenty-four payments may be awarded, provided the recipient continues to satisfy the eligibility requirements set forth in section 679-g of the education law and the requirements set forth in this section.

(e) *Disqualification. A recipient shall be disqualified from receiving further award payments under this program if he or she fails to satisfy any of the eligibility requirements, no longer qualifies for an award, or fails to respond to any request for information by the corporation.*

(f) *Renewed eligibility. A recipient who has been disqualified pursuant to subdivision (e) may reapply for this program and receive an award if he or she satisfies all of the eligibility requirements set forth in section 679-g of the education law and the requirements set forth in this section.*

(g) *Repayment. A recipient who is not a resident of New York State at the time a payment is made under this program shall be required to repay such payment or payments to the corporation. In addition, at the corporation's discretion, a recipient may be required to repay to the corporation any payment made under this program that, at the time payment was made, should have been disqualified pursuant to subdivision (e). If a recipient is required to repay any payment or payments to the corporation, the following provisions shall apply:*

(1) Interest shall begin to accrue on the day such payment was made on behalf of the recipient. In the event the recipient notifies the corporation of a change in residence within 30 days of such change, interest shall begin to accrue on the day such recipient was no longer a New York State resident.

(2) The interest rate shall be fixed and equal to the rate established in section 18 of the New York State Finance Law.

(3) Repayment must be made within five years.

(4) Where a recipient has demonstrated extreme hardship as a result of a disability, labor market conditions, or other such circumstances, the corporation may, in its discretion, waive or defer payment, extend the repayment period, or take such other appropriate action.

**This notice is intended** to serve only as a notice of emergency adoption. This agency intends to adopt this emergency rule as a permanent rule and will publish a notice of proposed rule making in the *State Register* at some future date. The emergency rule will expire January 3, 2017.

**Text of rule and any required statements and analyses may be obtained from:** Cheryl B. Fisher, NYS Higher Education Services Corporation, 99 Washington Avenue, Room 1325, Albany, New York 12255, (518) 474-5592, email: regcomments@hesc.ny.gov

**Regulatory Impact Statement****Statutory authority:**

The New York State Higher Education Services Corporation's ("HESC") statutory authority to promulgate regulations and administer the New York State Get on Your Feet Loan Forgiveness Program ("Program") is codified within Article 14 of the Education Law. In particular, Part C of Chapter 56 of the Laws of 2015 created the Program by adding a new section 679-g to the Education Law. Subdivision 4 of section 679-g of the Education Law authorizes HESC to promulgate emergency regulations for the purpose of administering this Program.

Pursuant to Education Law § 652(2), HESC was established for the purpose of improving the post-secondary educational opportunities of eligible students through the centralized administration of New York State financial aid programs and coordinating the State's administrative effort in student financial aid programs with those of other levels of government.

In addition, Education Law § 653(9) empowers HESC's Board of Trustees to perform such other acts as may be necessary or appropriate to carry out the objects and purposes of the corporation including the promulgation of rules and regulations.

HESC's President is authorized, under Education Law § 655(4), to propose rules and regulations, subject to approval by the Board of Trustees, governing, among other things, the application for and the granting and administration of student aid and loan programs, the repayment of loans or the guarantee of loans made by HESC; and administrative functions in support of state student aid programs. Also, consistent with Education Law § 655(9), HESC's President is authorized to receive assistance from any Division, Department or Agency of the State in order to properly carry out his or her powers, duties and functions. Finally, Education Law § 655(12) provides HESC's President with the authority to perform such other acts as may be necessary or appropriate to carry out effectively the general objects and purposes of HESC.

**Legislative objectives:**

The Education Law was amended to add a new section 679-g to create the "New York State Get on Your Feet Loan Forgiveness Program" (Program). The objective of this Program is to ease the burden of federal student loan debt for recent New York State college graduates.

**Needs and benefits:**

More than any other time in history, a college degree provides greater opportunities for graduates than is available to those without a postsecondary degree. However, financing that degree has also become more challenging. According to a June 9, 2014 Presidential Memorandum issued by President Obama, over the past three decades, the average tuition at a public four-year college has more than tripled, while a typical family's income has increased only modestly. More students than ever are relying on loans to pay for college. Today, 71 percent of those earning a bachelor's degree graduate with debt, which averages \$29,400. Many of these students feel burdened by debt, especially as they seek to start a family, buy a home, launch a business, or save for retirement. To ensure that student debt is manageable, the federal government enacted income-driven repayment plans, such as the Pay as You Earn (PAYE) plan, which caps a federal student loan borrower's payments at 10 percent of income.

Although New York's public colleges and universities offer among the lowest tuition in the nation, currently the average New York student graduates from college with a four-year degree saddled with more than \$25,000 in student loans. Mounting student debt makes it difficult for recent graduates to deal with everyday costs of living, which often increases the amount of credit card and other debt they must take on in order to survive. To help mitigate the disparate growth in the cost of financing a postsecondary education, this Program offers financial aid relief to recent college graduates by providing up to twenty-four payments towards an eligible applicant's federal income-based student loan repayment plan commitment. Students who receive their undergraduate degree from a college or university located in New York State in December 2014 and thereafter, who continue to live in New York State upon graduation, earn less than \$50,000 per year, participate in either the federal Pay as You Earn (PAYE) or applicable federal Income Based Repayment (IBR) program, and apply for this Program within two years after graduating from college are eligible for this Program.

**Costs:**

a. It is anticipated that there will be no new costs to the agency for the implementation of, or continuing compliance with this rule.

b. The maximum cost of the program to the State is \$5.2 million in the first year based upon budget estimates.

c. It is anticipated that there will be no costs to local governments for the implementation of, or continuing compliance with, this rule.

d. The source of the cost data in (b) above is derived from the New York State Division of the Budget.

**Local government mandates:**

No program, service, duty or responsibility will be imposed by this rule upon any county, city, town, village, school district, fire district or other special district.

**Paperwork:**

This proposal will require applicants to file an electronic application for eligibility and payment together with supporting documentation.

**Duplication:**

No relevant rules or other relevant requirements duplicating, overlapping, or conflicting with this rule were identified.

**Alternatives:**

The proposed regulation is the result of HESC's outreach efforts to the U.S. Department of Education with regard to this Program. Several alternatives were considered in the drafting of this regulation. For example, several alternatives were considered in defining terms used in the regulation as well as the administration of the Program. Given the statutory language as set forth in section 679-g of the Education Law, a "no action" alternative was not an option.

**Federal standards:**

This proposal does not exceed any minimum standards of the Federal Government. Since this Program is intended to supplement federal repayment programs, efforts were made to align the Program with the federal programs.

**Compliance schedule:**

The agency will be able to comply with the regulation immediately upon its adoption.

**Regulatory Flexibility Analysis**

This statement is being submitted pursuant to subdivision (3) of section 202-b of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's ("HESC") Emergency Rule Making, seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse economic impact on small businesses or local governments. HESC finds that this rule will not impose any compliance requirement or adverse economic impact on small businesses or local governments. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which will provide an economic benefit to the State's small businesses and local governments as well.

**Rural Area Flexibility Analysis**

This statement is being submitted pursuant to subdivision (4) of section 202-bb of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making, seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not impose an adverse impact on rural areas. Rather, it has potential positive impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which benefits rural areas around the State as well.

This agency finds that this rule will not impose any reporting, record keeping or other compliance requirements on public or private entities in rural areas.

**Job Impact Statement**

This statement is being submitted pursuant to subdivision (2) of section 201-a of the State Administrative Procedure Act and in support of the New York State Higher Education Services Corporation's Emergency Rule Making seeking to add a new section 2201.15 to Title 8 of the Official Compilation of Codes, Rules and Regulations of the State of New York.

It is apparent from the nature and purpose of this rule that it will not have any negative impact on jobs or employment opportunities. Rather, it has potential positive economic impacts inasmuch as it implements a statutory student financial aid program that eases the burden of federal student loan debt for recent New York State college graduates who continue to live in the State. Providing students with direct financial assistance will encourage students to attend college in New York State and remain in the State following graduation, which benefits the State as well.



## Office of Parks, Recreation and Historic Preservation

### NOTICE OF ADOPTION

#### An Updated List of Facilities Within the Jurisdiction of the NYS Office of Parks, Recreation and Historic Preservation (OPRHP)

**I.D. No.** PKR-30-16-00010-A

**Filing No.** 940

**Filing Date:** 2016-10-11

**Effective Date:** 2016-10-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Amendment of sections 384.1-384.4, 384.7, 384.10 and 384.11 of Title 9 NYCRR.

**Statutory authority:** Parks, Recreation and Historic Preservation Law, sections 3.09(8) and 13.03(1)

**Subject:** An updated list of facilities within the jurisdiction of the NYS Office of Parks, Recreation and Historic Preservation (OPRHP).

**Purpose:** To keep accurate and updated the list of facilities within OPRHP's jurisdiction.

**Text or summary was published** in the July 27, 2016 issue of the Register, I.D. No. PKR-30-16-00010-P.

**Final rule as compared with last published rule:** No changes.

**Text of rule and any required statements and analyses may be obtained from:** Shari Calnero, Associate Counsel, NYS OPRHP, 625 Broadway, Albany, NY 12238, (518) 486-2921, email: shari.calnero@parks.ny.gov

#### Initial Review of Rule

As a rule that does not require a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2021, which is no later than the 5th year after the year in which this rule is being adopted.

#### Assessment of Public Comment

The agency received no public comment.

## Public Service Commission

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Notice of Intent to Submeter Electricity

**I.D. No.** PSC-43-16-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Public Service Commission is considering the Notice of Intent, filed by Haus LLC to submeter electricity at 152 Freeman Street, Brooklyn, New York.

**Statutory authority:** Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

**Subject:** Notice of Intent to submeter electricity.

**Purpose:** To consider the Notice of Intent of Haus LLC to submeter electricity at 152 Freeman Street, Brooklyn, New York.

**Substance of proposed rule:** The Commission is considering the Notice of Intent, filed by Haus LLC on September 19, 2016, to submeter electricity at 152 Freeman Street, Brooklyn, New York, located in the service territory of Consolidated Edison Company of New York, Inc. The Commission may adopt, reject or modify, in whole or in part, the relief proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

**Data, views or arguments may be submitted to:** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

**Public comment will be received until:** 45 days after publication of this notice.

#### Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(16-E-0539SP1)

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Notice of Intent to Submeter Electricity

**I.D. No.** PSC-43-16-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Public Service Commission is considering the Notice of Intent, filed by 50 West Street Condominium to submeter electricity at 50 West Street, New York, New York.

**Statutory authority:** Public Service Law, sections 2, 4(1), 30, 32-48, 52, 53, 65(1), 66(1), (2), (3), (4), (12) and (14)

**Subject:** Notice of Intent to submeter electricity.

**Purpose:** To consider the Notice of Intent of 50 West Street Condominium to submeter electricity at 50 West Street, New York, New York.

**Substance of proposed rule:** The Commission is considering the Notice of Intent, filed by 50 West Street Condominium on September 19, 2016, to submeter electricity at 50 West Street, New York, New York, located in the service territory of Consolidated Edison Company of New York, Inc. The Commission may adopt, reject or modify, in whole or in part, the relief proposed and may resolve related matters.

**Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:** John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

**Data, views or arguments may be submitted to:** Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

**Public comment will be received until:** 45 days after publication of this notice.

#### Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(16-E-0538SP1)

### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### NYSRC's Revisions to Its Rules and Measurements

**I.D. No.** PSC-43-16-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering revisions to the rules and measurements of the New York State Reliability Council (NYSRC) contained in Version 38 of the NYSRC's Reliability Rules.

**Statutory authority:** Public Service Law, sections 4(1), 5(2), 65(1), 66(1), (2), (4) and (5)

**Subject:** NYSRC's revisions to its rules and measurements.

**Purpose:** To consider revisions to various rules and measurements of the NYSRC.

**Substance of proposed rule:** The Public Service Commission (PSC) is considering revisions to the rules and measurements of the New York State Reliability Council (NYSRC) contained in Version 38 of the NYSRC's Reliability Rules, which were filed with the PSC on October 5, 2016. The Commission may adopt, reject, or modify, in whole or in part, the revised rules and measurements, and may resolve related matters.

*Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website <http://www.dps.ny.gov/f96dir.htm>. For questions, contact:* John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: [john.pitucci@dps.ny.gov](mailto:john.pitucci@dps.ny.gov)

*Data, views or arguments may be submitted to:* Kathleen H. Burgess, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: [secretary@dps.ny.gov](mailto:secretary@dps.ny.gov)

**Public comment will be received until:** 45 days after publication of this notice.

**Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement**

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(05-E-1180SP17)

## Rochester-Genesee Regional Transportation Authority

### NOTICE OF ADOPTION

#### Identifies Prohibited Conduct, Consequences of Prohibited Conduct, and the Available Appeals Process

**I.D. No.** RGT-04-16-00002-A

**Filing No.** 938

**Filing Date:** 2016-10-11

**Effective Date:** 2016-10-26

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

**Action taken:** Addition of Part 2800 to Title 21 NYCRR.

**Statutory authority:** Public Authorities Law, art. 5, title 11-B, section 1299-hh, (4)

**Subject:** Identifies prohibited conduct, consequences of prohibited conduct, and the available appeals process.

**Purpose:** To provide rules governing prohibited conduct to enhance the safety of the public using RGRTA's transportation services.

**Substance of final rule:** Adds 21 NYCRR Part 2800. RGRTA Rules of Conduct for Transit Vehicles, Facilities, and Properties

§ 2800.1. Introduction.

(a) RGRTA transit vehicles, facilities, and properties are intended to provide public transportation services for the benefit of RGRTA, its subsidiaries, its employees, and the public.

(b) Public Authorities Law § 1299-hh allows RGRTA to make rules and regulations governing the conduct and safety of the public in the use and operation of its transit facilities. RGRTA establishes the Rules of Conduct for RGRTA Transit Vehicles, Facilities, and Properties ("Rules of Conduct") to promote safety, enable proper use of its transit facilities, protect those transit facilities and their customers, and assure payment of lawful charges for the use of their systems.

(c) The Rules of Conduct are intended to regulate conduct on RGRTA transit vehicles, within or upon RGRTA facilities and properties, including the RTS Transit Center, and in connection with RGRTA's public transportation services.

(d) Any one or more of the provision(s) declared by any court of competent jurisdiction to be contrary to law, shall be null, void and deemed separable from the remaining provisions in the Rules of Conduct and shall not affect the validity of its other provisions.

§ 2800.2. Definitions.

(1) "Authority" and "RGRTA"—the Rochester Genesee Regional Transportation Authority and its wholly controlled subsidiaries (RTS, RTS Access, RTS Genesee, RTS Livingston, RTS Ontario, RTS Orleans, RTS Seneca, RTS Wayne, and RTS Wyoming).

(2) "Commercial activity or activities"—any enterprise for the purpose of promoting or selling products or services to RGRTA employees or the public, whether for profit or not.

(3) "Harassment"—when a person intentionally and repeatedly acts in a way that places another person in reasonable fear of physical injury; or when a person intends to harass/annoy/alarm another person by: threatening with, attempting to make, or subjecting such other person to

physical contact (striking, shoving, kicking); following such other person in a public place; or engaging in a course of conduct or repeated action which alarms or seriously annoys such other person and which serves no legitimate purpose.

(4) "Loitering"—remaining in any transportation facility, unless authorized, for the purpose of soliciting or engaging in any business, trade, or commercial transactions involving the sale of merchandise or services, or for the purpose of entertaining persons.

(5) "Public communication activity or public activities"—posting or distributing written material, collecting signatures, political campaigning, demonstrating, displaying signs, unscheduled performances, public speaking, conducting surveys, soliciting or receiving funds or contributions, attempting to communicate with the public.

(6) "Public transportation services"—fixed route and paratransit services operated by RGRTA or any governmental agency, private person, firm or corporation contracting with RGRTA.

(7) "RGRTA employee"—any part-time or full-time, temporary or regular, exempt or non-exempt, represented or non-represented person, including an intern, whom RGRTA compensates for services by wages, salary, or other remuneration.

(8) "RGRTA facilities and properties"—all facilities, amenities, lands, interest in lands, air rights over lands, and rights of way of all kinds that are owned, leased, held, or used by RGRTA.

(9) "Transit-related activities"—activities associated with providing or supporting RGRTA public transportation services, the use of those services by the public, or RGRTA sales, promotion and maintenance activities in support of RGRTA services.

(10) "Transit Vehicle"—every motor vehicle and device RGRTA owns or operates that can be moved within, upon, above, or below a public highway.

§ 2008.3 Prohibited Conduct.

Repealed and replaced with § 2008.4.

§ 2008.4 Prohibited Conduct.

(a) The following conduct is prohibited on transit vehicles, properties, and facilities and in connection with public transportation services, unless otherwise authorized in writing by RGRTA or its designee. The RTS Transit Center is exclusively for transit-related activities, including riding buses and aiding others, thus the following prohibitions apply.

(1) Engaging in non-transit-related activities.

(2) Violating an exclusion issued under § 2800.5, or any federal, state, or municipal civil and/or criminal law.

(3) Failing to pay the fare or possess the fare pass as required by RGRTA.

(4) Pretending to be an RGRTA employee/volunteer or eligible for a special or reduced fare, permit, or pass.

(5) Refusing to allow proper securement of a mobility device.

(6) Tampering with RGRTA, fire, or police equipment.

(7) Covering the face with clothing/equipment while in the RTS Transit Center concourse, except for religious or medical purposes.

(8) Using any nicotine/tobacco product or smoking device (chewing tobacco, e-cigarettes, vaping) or holding a lit pipe, cigar, or cigarette, except at a designated place.

(9) Littering or dumping materials, particularly hazardous substances and automotive fluids.

(10) Engaging in disorderly conduct or creating a breach of the peace by behavior including but not limited to producing unreasonable noise; fighting or running; disturbing others by engaging in boisterous, raucous, unruly, aggressive, violent, or harmful behavior; or using obscene language or language likely to provoke a violent reaction of fear, anger, or apprehension.

(11) Expelling bodily fluid or human waste except in the appropriate areas of restroom facilities.

(12) Carrying or storing any firearm, dangerous weapon, or hazardous material, article or liquid, especially flammable liquids, explosives, or corrosives.

(13) Obstructing transit vehicles or customers.

(14) Hindering the provision or use of public transportation services.

(15) Causing any false alarm or falsely reporting an incident.

(16) Destroying or damaging RGRTA property or any materials on transit property.

(17) Consuming an alcoholic beverage or possessing an open container containing an alcoholic beverage.

(18) Throwing any object anywhere, at any person, or out any door/window.

(19) Bringing in any uncaged animal, other than a service animal.

(20) Allowing any animal to occupy a seat unless required by applicable law, unreasonably disturb others, or interfere with transit-related activities.

(21) Roller-skating, using roller-shoes, rollerblading, or skateboarding.

(22) Cycling except where public vehicle travel and access are permitted.

(23) Parking except as authorized.

(24) Operating a vehicle or standing in any location restricted to transit vehicles or otherwise.

(25) Eating in prohibited areas unless required by applicable law.

(26) Using an amplifier.

(27) Sitting or reclining on floors, sidewalks, asphalt, or other ground covering; reclining on benches; or sleeping, camping, or storing materials on benches, floors, or in front of doors.

(28) Entering nonpublic areas.

(29) Not wearing shoes and/or clothing.

(30) Engaging in commercial activities, public communication activities, public activities involving boxes, easels, or similar equipment, sports activities, or any other civic, cultural, or special event.

(31) Distributing, selling, or offering for sale or donation any written or printed material.

(32) Soliciting funds.

(33) Engaging in any form of sexual activity, gambling, loitering, or harassment.

(34) Entering while unable to care for oneself due to illness, intoxication, or medication(s).

(35) Misusing any component in a manner that could injure oneself or others.

(36) Remaining in front of the safety line on any moving RGRTA bus or after being asked to move behind the line by the Operator.

#### § 2800.5. Enforcement

##### (a) Removal from RGRTA Transit Vehicles, Facilities, and Properties

(1) Any person engaging in prohibited conduct may be refused entrance or ordered to leave by law enforcement, RGRTA personnel, or designees. Failure to comply may be grounds for arrest and prosecution.

##### (b) Exclusion from Service

Except as provided in § 2008.5(b) (4), employees shall not continually refuse service to anyone not excluded through the Rules of Conduct or a court order.

##### (1) Basis for Exclusion

(i) Prohibited conduct is cause for excluding a person from entering and using all or any part of transit vehicles, facilities, and properties including the RTS Transit Center for a period based on the number of violations in 5 years and/or the severity of the violation(s).

##### (2) Notice Procedure

(i) The RGRTA Chief Executive Officer or designee ("CEO/designee"), sends written notice, by personal delivery, certified mail, or regular U.S. mail, to the last known address of the person being excluded. The notice specifies the reason for the exclusion, identifies the place(s), duration, and effective date of exclusion, explains the appeal process, and provides an opportunity to respond within 5 business days of receipt (actual or constructive) of the notice.

(ii) Exclusion commences the 6th business day after receipt of the notice.

(iii) If the person timely requests an administrative review of the notice, the CEO/designee reviews the exclusion, renders a written decision within 5 business days from the date of the timely request, and sends the decision to the person's last known address. If the CEO/designee deems the exclusion warranted, it is effective upon receipt of the written decision.

##### (3) Constructive Notice

Receipt of a notice is construed accomplished if the person can infer from the circumstances that he/she is excluded from transit vehicles, facilities, and properties. Receipt is also presumed accomplished 3 business days after the notice was sent.

##### (4) Immediate Refusal or Removal

(i) The notice provisions may be waived, if, in RGRTA's discretion, the immediate conditions pose safety or security risks, impinge on the rights of others, or disrupt transit-related activities.

(ii) In such conditions, persons engaging in prohibited conduct may be reseated, refused transportation, or removed. The notice/administrative review provisions are only available to persons excluded for 31 calendar days or more.

##### (5) Refusal to Comply

Refusing to comply with an exclusion order shall be grounds for arrest and prosecution.

##### (6) Length of Exclusion

Suggested exclusion lengths are based on the provisions of § 2008.4 and/or the severity of the violation(s). The number of violations over 5 years determines the duration. RGRTA can impose a longer exclusion for any violation where the severity warrants it, even if it is the first violation.

(i) First violation = 7 or 30 days exclusion

(ii) Second violation = 30 or 60 days exclusion

(iii) Third violation = 90 days exclusion

(iv) Each successive violation in a 5 year period = 180 days exclusion

##### (7) Appeal Procedure

The appeal process is provided to persons excluded for 31 days or more. Ten calendar days after exclusion starts, an excluded person may appeal in writing to the CEO/designee for de novo review. The exclusion start date is the date the exclusion became effective per RGRTA's official records. The appellant may request a hearing or a review without a hearing through a written statement explaining why the exclusion is invalid. If the excluded person cannot respond in writing, RGRTA will make reasonable accommodation to allow due process. The CEO/designee shall convene a Hearing Panel comprised of 3 of the following persons or their designees:

(i) Director of Transit Center and Field Operations;

(ii) Manager of Field Operations;

(iii) Director of RTS Bus Operations; or

(iv) A staff person—other than the CEO—selected by the CEO/designee.

The majority decision is the decision of the Hearing Panel.

##### (8) Hearing

If a hearing is requested, it is held within 20 calendar days after receipt of the appeal. If a review without a hearing is requested, the Hearing Panel reviews the matter based upon the record within 20 calendar days after receipt of the appeal. The Hearing Panel renders a written decision 20 calendar days after the hearing or review. Exclusions are not stayed during the appeal process. If necessary, the appellant should contact the CEO/designee 5 business days prior to the hearing date to arrange for public transportation to attend the hearing.

##### (9) Other Laws Not Limited

The enforcement of § 2008.5 herein is not intended to limit, in any manner, the enforcement of any applicable federal, state or municipal laws, provided RGRTA is not authorized to assist a patron or employee in enforcing a court order prohibiting or restricting contact with any other person other than to notify appropriate law enforcement personnel via RGRTA's Radio Control/Dispatch or Security.

##### (c) Liability

Nothing in § 2008.5 herein shall create a duty to any person on the part of RGRTA or form any basis for liability on the part of RGRTA, its officers, agents, or employees. The obligation to comply with § 2008.5 is solely that of any person entering and using transit vehicles, facilities, and properties and RGRTA's enforcement of § 2008.5 is discretionary not mandatory.

**Final rule as compared with last published rule:** Nonsubstantive changes were made in sections 2800.1, 2800.2(a), 2800.3, 2800.4 and 2800.5.

**Text of rule and any required statements and analyses may be obtained from:** Daniel DeLaus, Chief Legal Counsel, Rochester Genesee Regional Transportation Authority "RGRTA", 1372 East Main Street, Rochester NY 14609, (585) 654-0771, email: ddelaus@myrts.com

##### Revised Regulatory Impact Statement

Changes to the notice RGT-04-16-00002-P proposed rule to add Part 2800. RGRTA Rules of Conduct Title NYCRR do not necessitate revision to any of the following in the previously submitted Regulatory Impact Statement: statutory authority, legislative objectives, needs and benefits, costs, local government mandates, paperwork, duplication, alternatives, federal standards, and compliance schedule.

##### Revised Regulatory Flexibility Analysis

Changes to the notice RGT-04-16-00002-P proposed rule to add Part 2800. RGRTA Rules of Conduct Title NYCRR do not necessitate revision to the previously submitted Regulatory Flexibility Analysis as the rules still will not impose any fee or cost upon users, the Authority is not including a cure period in the rulemaking, and the purpose remains the same.

##### Revised Rural Area Flexibility Analysis

Changes to the notice RGT-04-16-00002-P proposed rule to add Part 2800. RGRTA Rules of Conduct Title NYCRR do not necessitate revision to the previously submitted Rural Area Flexibility Analysis. The size and urban location of RGRTA's RTS Transit Center remains the same and RGRTA does not have any facility serving the general public that is larger than the RTS Transit Center. RGRTA's facilities in surrounding Rochester counties are not for use by the general public and the rules will not result in any change to the fare structure for the routes served in rural areas.

##### Revised Job Impact Statement

Changes to the notice RGT-04-16-00002-P proposed rule to add Part 2800. RGRTA Rules of Conduct Title NYCRR do not necessitate revision to the previously submitted Job Impact Statement because the nature of the rule is unchanged and thus as the rule relates to a code of conduct for users of transit facilities it will continue to have no adverse effects on jobs or employment opportunities.

##### Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2019, which is no later than the 3rd year after the year in which this rule is being adopted.

*Assessment of Public Comment*

The agency received no public comment.



## HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
<b>Adirondack Park Agency</b>		
APA-39-16-00030-P .....	Access to agency records	Department of Environmental Conservation, 625 Broadway, Albany, NY—Nov. 14, 2016, 11:00 a.m.  Adirondack Park Agency, 1133 Rte. 86, Ray Brook, NY—Nov. 15, 2016, 7:00 p.m.
<b>Environmental Conservation, Department of</b>		
ENV-36-16-00002-P .....	Lake Champlain drainage basin	Plattsburgh Town Hall, 151 Banker Rd., Plattsburgh, NY—Nov. 2, 2016, 11:00 a.m.
<b>Labor, Department of</b>		
LAB-42-16-00016-P .....	Farm worker minimum wage	Department of Labor, Bldg. 2, State Campus, Albany, NY—Dec. 5, 2016, 10:00 a.m.
<b>Long Island Power Authority</b>		
LPA-41-16-00007-P .....	PSEG Long Island's balanced billing program	H. Lee Dennison Bldg., 100 Veterans Memo- rial Hwy., Hauppauge, NY—Nov. 28, 2016, 10:00 a.m.  Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Nov. 28, 2016, 2:00 p.m.
LPA-41-16-00008-P .....	Authority's power supply charge	H. Lee Dennison Bldg., 100 Veterans Memo- rial Hwy., Hauppauge, NY—Nov. 28, 2016, 10:00 a.m.  Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Nov. 28, 2016, 2:00 p.m.
LPA-41-16-00009-P .....	Authority's smart grid small generator interconnection procedures	H. Lee Dennison Bldg., 100 Veterans Memo- rial Hwy., Hauppauge, NY—Nov. 28, 2016, 10:00 a.m.  Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Nov. 28, 2016, 2:00 p.m.
LPA-41-16-00010-P .....	Authority's revenue decoupling mechanism	H. Lee Dennison Bldg., 100 Veterans Memo- rial Hwy., Hauppauge, NY—Nov. 28, 2016, 10:00 a.m.  Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Nov. 28, 2016, 2:00 p.m.
LPA-41-16-00011-P .....	Authority's visual benefits assessment	H. Lee Dennison Bldg., 100 Veterans Memo- rial Hwy., Hauppauge, NY—Nov. 28, 2016, 10:00 a.m.  Long Island Power Authority, 333 Earle Ovington Blvd., 4th Fl., Uniondale, NY—Nov. 28, 2016, 2:00 p.m.
<b>Power Authority of the State of New York</b>		
PAS-41-16-00013-P .....	Rates for the sale of power and energy	Power Authority of the State of New York, 123 Main St., White Plains, NY—Nov. 17, 2016, 11:00 a.m.
PAS-41-16-00014-P .....	Rates for the sale of power and energy	Power Authority of the State of New York, 123 Main St., White Plains, NY—Nov. 17, 2016, 11:00 a.m.
<b>Public Service Commission</b>		
PSC-38-16-00005-P .....	Disposition of tax refunds and other related matters	Department of Public Service, Three Empire State Plaza, 3rd Fl., Hearing Rm., Albany, NY—Nov. 16, 2016, 10:30 a.m., and continu- ing as needed. (Evidentiary Hearing)*

PSC-38-16-00009-P .....	Disposition of tax refunds and other related matters	<p>*On occasion, there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (<a href="http://www.dps.ny.gov">www.dps.ny.gov</a>) under Case 16-M-0300.</p> <p>Department of Public Service, Three Empire State Plaza, 3rd Fl., Hearing Rm., Albany, NY—Nov. 16, 2016, 10:30 a.m., and continuing as needed. (Evidentiary Hearing)*</p> <p>*On occasion, there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (<a href="http://www.dps.ny.gov">www.dps.ny.gov</a>) under Case 16-M-0362.</p> <p>Department of Public Service, Agency Bldg. Three, 3rd Fl. Hearing Rm., Albany, NY—Dec. 5, 2016, 10:00 a.m., and daily on succeeding business days as needed. (Evidentiary Hearing)*</p> <p>*On occasion, there are requests to reschedule or postpone evidentiary hearing dates. If such a request is granted, notification of any subsequent scheduling changes will be available at the DPS website (<a href="http://www.dps.ny.gov">www.dps.ny.gov</a>) under Case 16-G-0369.</p>
PSC-40-16-00023-P .....	Major gas rate filing	
<b>State, Department of</b>		
DOS-40-16-00029-EP .....	Signs on buildings utilizing truss type, pre-engineered wood or timber construction	Department of State, 99 Washington Ave., Rm. 505, Albany, NY—Nov. 21, 2016, 10:00 a.m.

## ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(\*).

For additional information concerning any of the proposals listed

in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency code	Issue number	Year published	Serial number	Action Code
<b>AAM</b>	<b>01</b>	<b>12</b>	<b>00001</b>	<b>P</b>

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>ADIRONDACK PARK AGENCY</b>			
APA-09-16-00005-P	03/02/17	Access to Agency Records	To conform Adirondack Park Agency rules to the Public Officers Law and rules promulgated by the Committee on Open Government
APA-39-16-00030-P	11/15/17	Access to Agency Records	To conform Adirondack Park Agency rules to the Public Officers Law and rules promulgated by the Committee on Open Government
<b>AGRICULTURE AND MARKETS, DEPARTMENT OF</b>			
AAM-23-16-00005-P	06/08/17	National Institute of Standards and Technology ("NIST") Handbook 44; receipts issued by taxicab operators, digital scales	To incorporate NIST Handbook 44 (2016 edition); to allow handwritten taxicab receipts; to liberalize scale requirements
AAM-34-16-00003-P	08/24/17	Firewood (all hardwood species), nursery stock, logs, green lumber, stumps, roots, branches and debris of half an inch or more	To modify the ALB quarantine to prevent the further spread of the beetle and to modify the list of regulated articles
AAM-35-16-00017-P	08/31/17	Program rules for New York State Grown & Certified	Inform interested parties of the program, its purpose, participation requirements, qualifying product & rules of participation
AAM-42-16-00006-P	10/19/17	Sanitation in retail food stores and method of sale, at retail, of certain food	To cause the republication of regulations governing retail food stores and the method of sale of certain foods at retail
<b>ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF</b>			
ASA-34-16-00001-P	08/24/17	Repeal of obsolete rules: General provisions; HIV-AIDS; Inpatient programs; Funding for services; Hearings; Authorizing MDs	To repeal obsolete rules of DSAS/DAAA and the Office
<b>AUDIT AND CONTROL, DEPARTMENT OF</b>			
AAC-37-16-00005-P	09/14/17	Pre-employment physicals for presumption provisions	To address requirement that records of a pre-employment physical be submitted in the event that such records no longer exist

**Action Pending Index****NYS Register/October 26, 2016**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CHILDREN AND FAMILY SERVICES, OFFICE OF</b>			
CFS-23-16-00004-ERP	06/08/17	Requirements regarding the cooperation of school districts with investigations of suspected child abuse or maltreatment	To clarify requirements for the cooperation of school districts with investigations of suspected child abuse or maltreatment
CFS-24-16-00001-P	06/15/17	Child care for children experiencing homelessness.	To reduce barriers for children experiencing homelessness to receive child care assistance and to attend child care.
CFS-39-16-00002-P	09/28/17	Provisions relating to the revocation, suspension, limitation or denial of an operating certificate for an adult care facility	To conform the provisions for actions taken on operating certificates for adult care facilities to State law
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-44-15-00006-P	11/03/16	Jurisdictional Classification	To classify positions in the exempt class
CVS-44-15-00008-P	11/03/16	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-44-15-00010-P	11/03/16	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-44-15-00012-P	11/03/16	Jurisdictional Classification	To classify a subheading and positions in the non-competitive class
CVS-44-15-00014-P	11/03/16	Jurisdictional Classification	To delete a position from and classify a position in the non-competitive class
CVS-44-15-00017-P	11/03/16	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-44-15-00018-P	11/03/16	Jurisdictional Classification	To classify positions in the exempt class and to classify a position from the non-competitive class
CVS-07-16-00006-P	02/16/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-07-16-00009-P	02/16/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-16-00001-P	03/16/17	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes
CVS-11-16-00002-P	03/16/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-11-16-00003-P	03/16/17	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-14-16-00005-P	04/06/17	Supplemental military leave benefits	To extend the availability of supplemental military leave benefits for certain New York State employees until December 31, 2016
CVS-15-16-00004-P	04/13/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-15-16-00005-P	04/13/17	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-15-16-00006-P	04/13/17	Jurisdictional Classification	To classify a position in the exempt class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-15-16-00007-P	04/13/17	Jurisdictional Classification	To delete positions from and classify positions in the exempt and non-competitive classes
CVS-15-16-00008-P	04/13/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-20-16-00005-P	05/18/17	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class
CVS-20-16-00006-P	05/18/17	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-26-16-00003-P	06/29/17	Jurisdictional Classification	To classify positions in the exempt class and to delete positions from the non-competitive class
CVS-26-16-00004-P	06/29/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-26-16-00005-P	06/29/17	Jurisdictional Classification	To delete a position from and classify a position in the exempt class
CVS-26-16-00006-P	06/29/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-26-16-00007-P	06/29/17	Jurisdictional Classification	To classify positions in the non-competitive classes
CVS-26-16-00008-P	06/29/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-26-16-00009-P	06/29/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-26-16-00010-P	06/29/17	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-26-16-00011-P	06/29/17	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-29-16-00001-P	07/20/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-29-16-00002-P	07/20/17	Jurisdictional Classification	To delete subheading & positions from; to add heading, subheading & positions in exempt and non-competitive classes
CVS-29-16-00003-P	07/20/17	Jurisdictional Classification	To delete positions from the exempt and non-competitive classes
CVS-29-16-00004-P	07/20/17	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-29-16-00005-P	07/20/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-29-16-00006-P	07/20/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-29-16-00007-P	07/20/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-16-00008-P	07/20/17	Jurisdictional Classification	To classify a position in the exempt class and to delete a position from the non-competitive class

**Action Pending Index****NYS Register/October 26, 2016**

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>CIVIL SERVICE, DEPARTMENT OF</b>			
CVS-29-16-00009-P	07/20/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-29-16-00010-P	07/20/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-29-16-00011-P	07/20/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-29-16-00012-P	07/20/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-29-16-00013-P	07/20/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-29-16-00014-P	07/20/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-29-16-00015-P	07/20/17	Jurisdictional Classification	To delete positions from the non-competitive class
CVS-29-16-00016-P	07/20/17	Jurisdictional Classification	To delete positions from the exempt and non-competitive classes
CVS-29-16-00017-P	07/20/17	Jurisdictional Classification	To classify a position in the exempt class and to delete a position from the non-competitive class
CVS-29-16-00018-P	07/20/17	Jurisdictional Classification	To delete subheading & positions from; to add heading, subheading & positions in exempt and non-competitive classes
CVS-35-16-00004-P	08/31/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-35-16-00005-P	08/31/17	Jurisdictional Classification	To delete positions from and classify positions in the non-competitive class.
CVS-35-16-00006-P	08/31/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-35-16-00007-P	08/31/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-35-16-00008-P	08/31/17	Jurisdictional Classification	To classify a position in the exempt class
CVS-35-16-00009-P	08/31/17	Jurisdictional Classification	To classify positions in the exempt class
CVS-35-16-00010-P	08/31/17	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-35-16-00011-P	08/31/17	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-35-16-00012-P	08/31/17	Jurisdictional Classification	To classify a position in the exempt class
<b>CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF</b>			
CCS-39-16-00004-P	09/28/17	Parole Board decision making	To clearly establish what the Board must consider when conducting an interview and rendering a decision
<b>CRIMINAL JUSTICE SERVICES, DIVISION OF</b>			
CJS-25-16-00004-P	06/22/17	Handling of Ignition Interlock Cases Involving Certain Criminal Offenders.	To promote public/traffic safety, offender accountability and quality assurance through the establishment of minimum standards.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>EDUCATION DEPARTMENT</b>			
EDU-06-16-00004-P	02/09/17	School counseling, certification requirements for school counselors and the school counselor program registration requirements.	To implement policy enacted by the Board of Regents to enhance existing public school district guidance programs to require comprehensive developmental counseling programs for all students in grades prekindergarten through 12 by certified school counselors
EDU-10-16-00018-RP	03/09/17	Dental Anesthesia Certification Requirements for Licensed Dentists	To conform regulations to the current practice of dental anesthesia administration
EDU-22-16-00006-EP	06/01/17	Teacher certification in career and technical education	Establishes a new pathway for Transitional A certificate
EDU-26-16-00016-ERP	06/29/17	Teacher certification in career and technical education	Establishes new pathways for Transitional A certificate
EDU-27-16-00002-EP	07/06/17	Superintendent determination as to academic proficiency for certain students with disabilities to graduate with a local diploma	To expand the safety net options for students with disabilities to graduate with local diplomas when certain conditions are met
EDU-27-16-00004-EP	07/06/17	Social Studies examination requirements for a high school diploma	To shift the requirement for students to take and pass the new Regents examination in Global History and Geography II for graduation for an additional year
EDU-32-16-00002-EP	08/10/17	Community school grants	To implement Chapter 53 of the Laws of 2016 by establishing the criteria for community school grants
EDU-39-16-00009-P	09/28/17	Substitute Teachers	To provide a sunset date for the amendments made to 80-5.4 at the July Regents meeting
EDU-39-16-00033-EP	09/28/17	Assessments for the New York State Career Development and Occupational Studies (CDOS) Commencement Credential	Establish conditions and procedures for approval of work-readiness assessments for the CDOS credential
EDU-39-16-00034-P	09/28/17	Uniform Violent or Disruptive Incident Reporting System (VADIR)	To revise the categories of violent and disruptive incidents for VADIR reporting
EDU-42-16-00001-EP	10/19/17	Establishment of tuition rates	To clarify that the Education Department maintains discretion in establishing tuition rates based on a financial audit
<b>ENVIRONMENTAL CONSERVATION, DEPARTMENT OF</b>			
ENV-45-15-00028-P	11/09/16	Science-based State sea-level rise projections	To establish a common source of sea-level rise projections for consideration in relevant programs and decision-making
ENV-51-15-00004-P	02/10/17	Distributed generation (DG) sources that feed the distribution grid or produce electricity for use at host facilities or both	Establish emission limits for distributed generation sources
ENV-51-15-00005-P	12/22/16	Big bore air rifles	To allow big bore air rifles as legal implements for hunting big game
ENV-52-15-00010-P	12/29/16	Procedures for modifying or extinguishing a conservation easement held by the NYS DEC	Establish standards for the DEC to follow when modifying or extinguishing a CE and provide for a formal public review process

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>ENVIRONMENTAL CONSERVATION, DEPARTMENT OF</b>			
ENV-11-16-00004-P	06/07/17	Solid Waste Management Regulations	Amend the rules that implement the solid waste program in New York State to incorporate changes in law and technology
ENV-19-16-00006-EP	06/30/17	Chemical Bulk Storage (CBS)	To amend Part 597 of the CBS regulations
ENV-26-16-00002-P	06/29/17	To amend 6 NYCRR Part 40 pertaining to recreational party and charter boat regulations for striped bass	To allow filleting of striped bass aboard party and charter boats
ENV-26-16-00013-P	06/29/17	Rule making to implement ECL 17-0826-a	To implement the reporting, notification and record keeping requirements of ECL 17-0826-a
ENV-31-16-00003-P	09/30/17	Waste Fuels	Update permit references, rule citations, monitoring, record keeping, reporting requirements, and incorporate federal standards
ENV-36-16-00002-P	11/02/17	Lake Champlain drainage basin	To reclassify certain surface waters in Lake Champlain Drainage Basin, in Clinton, Essex, Franklin, Warren, Washington counties
ENV-39-16-00011-P	09/28/17	Sportfishing (freshwater) and associated activities	To revise sportfishing regulations and associated activities
<b>FINANCIAL SERVICES, DEPARTMENT OF</b>			
DFS-03-16-00003-ERP	01/19/17	Standard financial aid award information sheet for institutions of higher education	Provides guidance to institutions of higher education for the implementation of a financial aid award information sheet
DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
DFS-24-16-00004-P	06/15/17	Financial statement filings and accounting practices and procedures	To update citations in Part 83 to the Accounting Practices and Procedures Manual as of March 2014 (instead of 2013).
DFS-29-16-00020-EP	07/20/17	Workers' Compensation Safe Patient Handling Program	To implement Part A of Chapter 60 of the Laws of 2014
DFS-36-16-00001-EP	09/07/17	Minimum Standards For Form, Content And Sale Of Health Insurance, Including Standards Of Full And Fair Disclosure	To allow blanket accident insurance policy issued in accordance with GBL section 1015.11 to be excess to any plan
DFS-39-16-00007-P	09/28/17	Charges for Professional Health Services	Limit reimbursement of no-fault health care services provided outside NYS to highest fees in fee schedule for services in NYS
DFS-39-16-00008-P	09/28/17	CYBERSECURITY REQUIREMENTS FOR FINANCIAL SERVICES COMPANIES	To require effective cybersecurity to protect consumers and ensure the safe and sound operation of Department-regulated entities
DFS-41-16-00006-P	10/12/17	Inspecting, Securing and Maintaining Vacant and Abandoned Residential Real Property	To implement the requirements imposed by the recent additions to the Real Property Actions and Proceedings Law
DFS-41-16-00012-P	10/12/17	Commercial Crime Coverage Exclusions	To prohibit certain insurance exclusions for loss/damage caused by an employee previously convicted of criminal offense



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>GAMING COMMISSION, NEW YORK STATE</b>			
SGC-37-16-00007-P	09/14/17	Require thoroughbred horse trainers to complete four hours of continuing education each year	To preserve the integrity of pari-mutuel racing while generating reasonable revenue for the support of government
SGC-37-16-00016-P	09/14/17	Accounting standards for a licensed gaming facility	To govern a gaming facility licensee's procedures in regard to accounting and record keeping
SGC-37-16-00017-P	09/14/17	Electronic Gaming Devices and Equipment	To set forth the practices and procedures for the conduct and operation of electronic gaming devices and equipment
SGC-37-16-00018-P	09/14/17	Slot Tournaments and Progressive Gaming Devices	To to prescribe the technical standards for the certification of slot tournaments and progressive gaming devices
SGC-37-16-00019-P	09/14/17	Table game rules	To set forth the practices and procedures for the conduct and operation of table games
SGC-37-16-00020-P	09/14/17	Monitoring, control systems and validation	To prescribe the technical standards for the certification of online monitoring and control and validation systems
SGC-37-16-00021-P	09/14/17	To set forth the standards for the gaming devices	To prescribe the technical standards for the certification of gaming devices
SGC-37-16-00022-P	09/14/17	To set forth the practice and procedures for the cage and count standards	To regulate the procedures for the cage and count standards
SGC-38-16-00004-P	09/21/17	Definition of the "wire" at the finish of a harness race	To preserve the integrity of pari-mutuel racing while generating reasonable revenue for the support of government
SGC-42-16-00002-P	10/19/17	Casino alcoholic beverage licenses	To regulate the presence and sale of alcoholic beverages on the premises of gaming facilities
SGC-42-16-00003-P	10/19/17	Prescribing methods of notice to applicants, registrants, and licensees and restrictions on employee wagering	To set forth the methods of notice and restrict employee wagering
SGC-42-16-00004-P	10/19/17	To set forth the standards for electronic table game systems	To prescribe the technical standards for the testing and certification of electronic table game systems
<b>HEALTH, DEPARTMENT OF</b>			
*HLT-14-94-00006-P	exempt	Payment methodology for HIV/AIDS outpatient services	To expand the current payment to incorporate pricing for services
*HLT-30-15-00005-RP	10/26/16	Practice of radiologic technology	To update regulations related to the practice of radiologic technology
HLT-46-15-00006-P	12/20/16	Early Intervention Program	To conform existing program regulations to federal regulations and state statute
HLT-15-16-00016-P	04/13/17	Zika Action Plan; Performance Standards.	To require local health departments to develop a Zika Action Plan as a condition of State Aid
HLT-16-16-00002-P	04/20/17	NYS Medical Indemnity Fund	To provide additional guidance and clarity to the Fund's requirements and operations

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>HEALTH, DEPARTMENT OF</b>			
HLT-24-16-00002-P	06/15/17	Neurodegenerative Specialty Rate	To authorize Medicaid rate of payment for providing quality of care to the neurodegenerative population.
HLT-24-16-00003-RP	06/15/17	Specialized Programs for Residents with Neurodegenerative Diseases	To establish nursing home specialty units for residents with Huntington's Disease (HD) & Amyotrophic Lateral Sclerosis (ALS).
HLT-35-16-00018-P	08/31/17	All Payer Database (APD)	To define the parameters for operating the APD regarding mandatory data submission by healthcare payers as well as data release
HLT-37-16-00023-P	09/14/17	Medical Use of Marihuana	To authorize nurse practitioners to register with DOH in order to issue certifications to patients with qualifying conditions
HLT-37-16-00024-P	09/14/17	Medical Use of Marihuana	To comprehensively regulate the manufacture, sale and use of medical marihuana
HLT-39-16-00031-P	09/28/17	Non-prescription Emergency Contraceptives Drugs	Allow pharmacies to dispense non-prescription emerg. contraceptive drugs for Medicaid female recipients without a written order
HLT-39-16-00032-P	09/28/17	Expanded Syringe Access Program	To eliminate the word "demonstration"
HLT-40-16-00030-P	10/05/17	Transgender Related Care and Services	To amend provisions regarding Medicaid coverage of transition-related transgender care and services
HLT-41-16-00002-EP	10/12/17	Residential Health Care Facility Quality Pool	To reward NYS facilities with the highest quality outcomes as determined by methodology developed by regulation
HLT-41-16-00005-P	10/12/17	Compounded Trend to Cost of Living Adjustments (COLAs) for Direct Care Workers	To update the methodology to reflect a compounded cost of living adjustment and to remove a superfluous component
<b>JOINT COMMISSION ON PUBLIC ETHICS, NEW YORK STATE</b>			
JPE-37-16-00002-EP	09/14/17	Source of funding reporting	To implement legislative changes made to the source of funding disclosure requirements
JPE-37-16-00003-EP	09/14/17	Adjudicatory proceedings and appeals procedures for matters under the Commission's jurisdiction	To implement legislative changes made to the Commission's adjudicatory proceedings
<b>LABOR, DEPARTMENT OF</b>			
LAB-03-16-00009-P	01/19/17	Employer Imposed Limitations on the Inquiry, Discussion, and Disclosure of Wages	This regulation sets forth standards for limitations on inquiry, discussion, or the disclosure of wages amongst employees
LAB-42-16-00015-P	10/19/17	Minimum Wage	To comply with chapter 54 of the Laws of 2016 that increased the minimum wage
LAB-42-16-00016-P	12/05/17	Farm Worker Minimum Wage	To comply with chapter 54 of the Laws of 2016 that increased the minimum wage

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>LIQUOR AUTHORITY, STATE</b>			
LQR-02-16-00002-P	01/12/17	Update outdated Freedom of Information Law procedures utilized by Authority	To update Authority procedures and ensure compliance with Freedom of information Law requirements under Pub. Off. Law., art. 6
LQR-17-16-00002-P	04/27/17	Updated price posting rules, license durations, and recordkeeping requirements, and rescinding of whiskey dividend rules	To update price posting rules, license durations, recordkeeping, and joint advertising rules for certain license types
<b>LONG ISLAND POWER AUTHORITY</b>			
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers
LPA-41-16-00007-P	exempt	PSEG Long Island's balanced billing program	To implement improvements to the balanced billing program
LPA-41-16-00008-P	exempt	The Authority's Power Supply Charge	To move certain capacity related power supply costs from base rates to the Authority's Power Supply Charge
LPA-41-16-00009-P	exempt	The Authority's Smart Grid Small Generator Interconnection Procedures	To update the Authority's Smart Grid Small Generator Interconnection Procedures
LPA-41-16-00010-P	exempt	The Authority's Revenue Decoupling Mechanism	To change the RDM from a semi-annual to an annual rate resetting process
LPA-41-16-00011-P	exempt	The Authority's Visual Benefits Assessment	To effectuate a settlement between the Authority and the Town of Southampton regarding collection of arrears
<b>MOTOR VEHICLES, DEPARTMENT OF</b>			
MTV-35-16-00003-P	08/31/17	Notices of hearing	Provides for mailing by first class mail for most DMV hearings
<b>NIAGARA FALLS WATER BOARD</b>			
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
<b>POWER AUTHORITY OF THE STATE OF NEW YORK</b>			
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>POWER AUTHORITY OF THE STATE OF NEW YORK</b>			
PAS-41-16-00013-P	..... exempt	Rates for the Sale of Power and Energy	To recover the Authority's Fixed Costs
PAS-41-16-00014-P	..... exempt	Rates for the Sale of Power and Energy	To align rates and costs
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-28-97-00032-P	..... exempt	General service by Central Hudson Gas & Electric Corporation	To limit certain special provisions
*PSC-34-97-00009-P	..... exempt	Collection agency fees by Consolidated Edison Company of New York, Inc.	To pass collection agency fees on to the customer
*PSC-04-98-00015-P	..... exempt	Interconnection service overcharges by Niagara Mohawk Power Corporation	To consider a complaint by Azure Mountain Power Co.
*PSC-19-98-00008-P	..... exempt	Call forwarding by CPU Industries Inc./MKL Net, et al.	To rehear the petition
*PSC-02-99-00006-EP	..... exempt	Intralata freeze plan by New York Telephone Company	To approve the plan
*PSC-09-99-00012-P	..... exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	..... exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-50-99-00009-P	..... exempt	Retail access uniform business practices by The Brooklyn Union Gas Company and KeySpan Gas East Corporation d/b/a Brooklyn Union of Long Island	To approve a joint petition requesting a waiver extension of a requirement set forth in the commission's order
*PSC-52-99-00006-P	..... exempt	Wide area rate center calling	To implement number conservation measures
*PSC-12-00-00001-P	..... exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-14-00-00004-EP	..... exempt	NXX code in the 716 NPA by Broadview Networks	To assign an NXX code in Buffalo
*PSC-14-00-00026-P	..... exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Media Log, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00027-P	..... exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and Pilgrim Telephone, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-14-00-00029-P	..... exempt	Interconnection agreement between New York Telephone Company d/b/a Bell Atlantic-New York and CoreComm New York, Inc.	To review the terms and conditions of the negotiated agreement
*PSC-16-00-00012-P	..... exempt	Termination of local telecommunications traffic by Hyperion Communications of New York, Inc.	To determine appropriate compensation levels
*PSC-21-00-00007-P	..... exempt	Initial tariff schedule by Drew Road Association	To set forth the rates, charges, rules and regulations
*PSC-31-00-00026-P	..... exempt	Water service by Windover Water Works	To abandon the water system

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-33-00-00010-P	..... exempt	Electric rate and restructuring plan by Rochester Gas and Electric Corporation	To evaluate possible modifications
*PSC-36-00-00039-P	..... exempt	Steam increase by Consolidated Edison Company of New York, Inc.	To provide for an annual increase in the first year of a proposed four-year rate plan
*PSC-37-00-00001-EP	..... exempt	Interruptible gas customers	To ensure customers have an adequate supply of alternative fuel available
*PSC-39-00-00004-P	..... exempt	Blockable central office codes by PaeTec Communications, Inc.	To review the commission's requirements for assignment of numbering resources
*PSC-44-00-00014-P	..... exempt	Recovery of costs through adjustment mechanisms by Consolidated Edison Company of New York, Inc.	To permit the recovery of certain costs
*PSC-49-00-00007-P	..... exempt	Gas sales and purchases by Corning Natural Gas Corporation	To determine whether certain gas sales and purchases were in the public interest and whether customers should bear the resulting costs
*PSC-01-01-00023-P	..... exempt	Installation, maintenance and ownership of service laterals by Rochester Gas and Electric Corporation	To update and clarify the provisions
*PSC-06-01-00009-P	..... exempt	Uniform system of accounts by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00001-P	..... exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00002-P	..... exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-13-01-00003-P	..... exempt	Request for accounting authorization by Rochester Gas and Electric Corporation	To defer an item of expense beyond the end of the year in which it was incurred
*PSC-15-01-00012-P	..... exempt	Transfer of a controlling leasehold interest by Huntley Power LLC	To approve the transfer
*PSC-22-01-00006-P	..... exempt	Con Edison's phase 4 plan for retail access by AES Energy, Inc.	To review the request for rehearing
*PSC-26-01-00012-P	..... exempt	Interconnection of networks between Sprint PCS and Verizon New York Inc.	To review the terms and conditions of the negotiated agreement
*PSC-36-01-00010-P	..... exempt	Competitive metering by eBidenergy.com	To clarify meter ownership rules and requirements
*PSC-44-01-00005-P	..... exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-01-02-00007-P	..... exempt	Accounting and rate treatment of proceeds by Consolidated Edison Company of New York, Inc.	To consider proceeds from sale of nuclear generating facilities
*PSC-05-02-00005-P	..... exempt	Uniform system of accounts by Consolidated Edison Company of New York, Inc.	To defer expenditures incurred in connection with emergency response services affected by the World Trade Center disaster

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-06-02-00015-P	..... exempt	Network reliability performance mechanism by Consolidated Edison Company of New York, Inc.	To earn rewards for meeting the targets of the network reliability performance mechanism
*PSC-07-02-00032-P	..... exempt	Uniform business practices	To consider modification
*PSC-29-02-00014-P	..... exempt	Financing by Valley Energy, Inc.	To issue a note and allocate costs
*PSC-49-02-00021-P	..... exempt	Requests for lightened regulation by PSEG Power Bellport, LLC	To consider the company's request
*PSC-08-03-00009-P	..... exempt	Provision of gas service to World Kitchen Incorporated	To establish terms and conditions
*PSC-09-03-00012-P	..... exempt	Incremental service line installations by New York State Electric & Gas Corporation	To revise the current flat rate per foot charged
*PSC-09-03-00014-P	..... exempt	Deferral accounting by Consolidated Edison Company of New York, Inc. and Orange and Rockland Utilities, Inc.	To defer expense items beyond the end of the year(s) in which they were incurred
*PSC-11-03-00012-P	..... exempt	Economic development plan by New York State Electric & Gas Corporation	To consider the plan
*PSC-18-03-00004-P	..... exempt	Lightened regulation by East Hampton Power and Light Corporation (EHPLC)	To provide for lightened regulation and grant financing approval
*PSC-22-03-00020-P	..... exempt	Inter-departmental gas pricing by Consolidated Edison Company of New York, Inc.	To revise the method used in steam and steam-electric generating stations
*PSC-32-03-00020-P	..... exempt	Issuance of debt and approval of surcharge by Rainbow Water Company	To approve necessary financing
*PSC-34-03-00019-P	..... exempt	Issuance of securities by KeySpan East Corporation d/b/a KeySpan Energy Delivery Long Island	To obtain authorization to issue securities
*PSC-35-03-00009-P	..... exempt	Interconnection agreement between Verizon New York Inc. and MCIMetro Access Transmission Services LLC	To amend the agreement
*PSC-36-03-00010-P	..... exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-39-03-00013-P	..... exempt	Complaint by State University of New York (SUNY) regarding a NYSEG operating agreement	To consider the complaint
*PSC-40-03-00015-P	..... exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00008-P	..... exempt	Lightened regulation by Sterling Power Partners, L.P.	To consider granting lightened regulation
*PSC-41-03-00010-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-42-03-00005-P	..... exempt	Interest rate by the Bath Electric, Gas, and Water Systems	To use an alternate interest rate
*PSC-43-03-00036-P	..... exempt	Merchant function backout credit and transition balancing account by KeySpan Gas East Corporation	To continue the credit and account until May 31, 2005
*PSC-43-03-00037-P	..... exempt	Merchant function backout credit and transition balancing account by The Brooklyn Union Gas Company	To continue the credit and account until May 31, 2005
*PSC-44-03-00009-P	..... exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates
*PSC-47-03-00024-P	..... exempt	Lightened regulation and financing approval by Medford Energy LLC	To consider the requests
*PSC-02-04-00008-P	..... exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	..... exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	..... exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	..... exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	..... exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	..... exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	..... exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	..... exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	..... exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	..... exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	..... exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-28-04-00006-P	..... exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	..... exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	..... exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	..... exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	..... exempt	Accounts receivable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts receivable
*PSC-46-04-00012-P	..... exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes
*PSC-46-04-00013-P	..... exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices
*PSC-02-05-00006-P	..... exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors
*PSC-09-05-00009-P	..... exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer
*PSC-14-05-00006-P	..... exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year
*PSC-18-05-00009-P	..... exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program
*PSC-20-05-00028-P	..... exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee
*PSC-25-05-00011-P	..... exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11
*PSC-27-05-00018-P	..... exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied
*PSC-41-05-00013-P	..... exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings
*PSC-45-05-00011-P	..... exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs
*PSC-46-05-00015-P	..... exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale
*PSC-47-05-00009-P	..... exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-50-05-00008-P	..... exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt
*PSC-04-06-00024-P	..... exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer
*PSC-06-06-00015-P	..... exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established
*PSC-07-06-00009-P	..... exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system
*PSC-22-06-00019-P	..... exempt	Hourly pricing by National Grid	To assess the impacts
*PSC-22-06-00020-P	..... exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	..... exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	..... exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	..... exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	..... exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	..... exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	..... exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	..... exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	..... exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-39-06-00018-P	..... exempt	Order establishing rate plan by Central Hudson Gas & Electric Corporation and the Consumer Protection Board	To consider the petitions for rehearing
*PSC-39-06-00019-P	..... exempt	Investigation of Richard M. Osborne by Corning Natural Gas Corporation	To determine the interests, plans and commitments that will be in place if he is successful in blocking the merger of Corning Gas and C&T Enterprises
*PSC-39-06-00022-P	..... exempt	Uniform business practices and related matters by U.S. Energy Savings Corporation	To establish a contest period
*PSC-40-06-00005-P	..... exempt	Orion Integral automatic meter reading transmitter by New York State Electric and Gas Corporation	To permit gas utilities in NYS to use the Badger Meter Incorporated Orion Integral transmitters

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-42-06-00011-P	..... exempt	Submetering of electricity by 225 5th LLC	To submeter electricity at 255 Fifth Ave., New York, NY
*PSC-43-06-00014-P	..... exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-44-06-00014-P	..... exempt	Electric power outages in Northwest Queens by Consolidated Edison Company of New York, Inc.	To review the terms and conditions of the agreement
*PSC-45-06-00007-P	..... exempt	Alleged failure to provide electricity by Robert Andrews	To assess validity of allegations and appropriateness of fines
*PSC-01-07-00031-P	..... exempt	Enforcement mechanisms by National Fuel Gas Distribution Corporation	To modify enforcement mechanisms
*PSC-04-07-00012-P	..... exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	..... exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	..... exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	..... exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	..... exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	..... exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	..... exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	..... exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing
*PSC-21-07-00007-P	..... exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service
*PSC-22-07-00015-P	..... exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue
*PSC-23-07-00022-P	..... exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity
*PSC-24-07-00012-P	..... exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-39-07-00017-P	..... exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates
*PSC-41-07-00009-P	..... exempt	Submetering of electricity rehearing	To seek reversal
*PSC-42-07-00012-P	..... exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service
*PSC-42-07-00013-P	..... exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.
*PSC-45-07-00005-P	..... exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision
*PSC-02-08-00006-P	..... exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes
*PSC-03-08-00006-P	..... exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations
*PSC-04-08-00010-P	..... exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.
*PSC-04-08-00012-P	..... exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies
*PSC-08-08-00016-P	..... exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer
*PSC-12-08-00019-P	..... exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-12-08-00021-P	..... exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request
*PSC-13-08-00011-P	..... exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition
*PSC-13-08-00012-P	..... exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition
*PSC-23-08-00008-P	..... exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-23-08-00009-P	..... exempt	The transfer of certain real property with an original cost under \$100,000 in the Town of Throop	To consider the filing for the transfer of certain real property in the Town of Throop
*PSC-25-08-00007-P	..... exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs

## Action Pending Index

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-08-00008-P	..... exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	..... exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	..... exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	..... exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	..... exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	..... exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	..... exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	..... exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	..... exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	..... exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	..... exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	..... exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	..... exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas
*PSC-48-08-00008-P	..... exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	..... exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	..... exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-51-08-00006-P	..... exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	..... exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	..... exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	..... exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	..... exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	..... exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	..... exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	..... exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	..... exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	..... exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	..... exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	..... exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	..... exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York
*PSC-12-09-00010-P	..... exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	..... exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	..... exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	..... exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-16-09-00010-P	..... exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	..... exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	..... exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commercial accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	..... exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	..... exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	..... exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	..... exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	..... exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	..... exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	..... exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	..... exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	..... exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program
*PSC-22-09-00011-P	..... exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	..... exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	..... exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	..... exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-27-09-00011-P	..... exempt	Interconnection of the networks between Vernon and tw telecom of new york l.p. for local exchange service and exchange access.	To review the terms and conditions of the negotiated agreement between Vernon and tw telecom of new york l.p.
*PSC-27-09-00014-P	..... exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	..... exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and tw telecom of new york l.p.
*PSC-29-09-00011-P	..... exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	..... exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	..... exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	..... exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	..... exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	..... exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer-generated steam to the Con Edison steam system
*PSC-37-09-00016-P	..... exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	..... exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	..... exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	..... exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs
*PSC-51-09-00029-P	..... exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period
*PSC-51-09-00030-P	..... exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger
*PSC-52-09-00006-P	..... exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology
*PSC-52-09-00008-P	..... exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-05-10-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY
*PSC-05-10-00015-P	..... exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY
*PSC-06-10-00022-P	..... exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility
*PSC-07-10-00009-P	..... exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service
*PSC-08-10-00007-P	..... exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847
*PSC-08-10-00009-P	..... exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs
*PSC-12-10-00015-P	..... exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations
*PSC-14-10-00010-P	..... exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY
*PSC-16-10-00005-P	..... exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-16-10-00007-P	..... exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications
*PSC-16-10-00015-P	..... exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications
*PSC-18-10-00009-P	..... exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of-way management practices
*PSC-19-10-00022-P	..... exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York
*PSC-22-10-00006-P	..... exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service
*PSC-22-10-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York
*PSC-24-10-00009-P	..... exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-25-10-00012-P	..... exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code
*PSC-25-10-00015-P	..... exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2009	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2009
*PSC-27-10-00016-P	..... exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York
*PSC-31-10-00007-P	..... exempt	Waiver of the Attachment 23 requirement in 2001 Rate Order that NMPC Board of Directors consist of "outside directors"	To consider the waiver of the requirement that a majority of NMPC Board of directors consist of "outside directors"
*PSC-34-10-00003-P	..... exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-34-10-00005-P	..... exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs
*PSC-34-10-00006-P	..... exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program
*PSC-36-10-00010-P	..... exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan
*PSC-40-10-00014-P	..... exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid
*PSC-40-10-00021-P	..... exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall
*PSC-41-10-00018-P	..... exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers
*PSC-41-10-00022-P	..... exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY
*PSC-42-10-00011-P	..... exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York
*PSC-43-10-00016-P	..... exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group
*PSC-44-10-00003-P	..... exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	..... exempt	Commission proceeding concerning three-phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-11-11-00003-P	..... exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-12-11-00008-P	..... exempt	To allow NYWC to defer and amortize, for future rate recognition, pension settlement payout losses incurred in 2010	Consideration of NYWC's petition to defer and amortize, for future rate recognition, pension payout losses incurred in 2010
*PSC-13-11-00005-P	..... exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-13-11-00007-P	..... exempt	Budget allocations and use of System Benefits Charge funds to pay State Cost Recovery Fee	To encourage cost effective gas and electric energy conservation in the State
*PSC-14-11-00009-P	..... exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-16-11-00011-P	..... exempt	The Energy Efficiency Portfolio Standard	To promote gas and electricity energy conservation programs in New York
*PSC-19-11-00007-P	..... exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	..... exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	..... exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	..... exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-23-11-00018-P	..... exempt	NYSERDA's energy efficiency program for low-income customers	To promote energy conservation in New York State
*PSC-26-11-00007-P	..... exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	..... exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	..... exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	..... exempt	Petition requesting the Commission reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order.	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing.
*PSC-35-11-00011-P	..... exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	..... exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-38-11-00002-P	..... exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	..... exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison
*PSC-40-11-00010-P	..... exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	..... exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	..... exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	..... exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	..... exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	..... exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	..... exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-51-11-00010-P	..... exempt	The Total Resource Cost (TRC) test, used to analyze measures in the Energy Efficiency Portfolio Standard program	Petitioners request that the TRC test and/or its application to measures should be revised
*PSC-52-11-00017-P	..... exempt	Reparations and refunds	Reparations and refunds
*PSC-01-12-00007-P	..... exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	..... exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	..... exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	..... exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	..... exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	..... exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-17-12-00007-P	..... exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00008-P	..... exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-17-12-00009-P	..... exempt	Whether a proposed agreement for the provision of water service by Saratoga Water Services, Inc. is in the public interest	Whether the Commission should issue an order approving the proposed provision of water service
*PSC-19-12-00019-P	..... exempt	EEPS programs administered by New York State Electric & Gas Corporation and Rochester Gas and Electric Corporation	To modify the C&I sector by combining multiple approved C&I programs into a single C&I program for each PA
*PSC-19-12-00022-P	..... exempt	Approval of a combined heat and power performance program funding plan administered by NYSERDA	Modify NYSERDA's EEPS programs budget and targets to fund the CHP program
*PSC-19-12-00023-P	..... exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	..... exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	..... exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47
*PSC-23-12-00005-P	..... exempt	EEPS multifamily programs administered by Consolidated Edison Company of New York, Inc.	To redesign the multifamily electric and gas programs and modify the budgets and targets
*PSC-23-12-00007-P	..... exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	..... exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	..... exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	..... exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	..... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-30-12-00010-P	..... exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	..... exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-35-12-00014-P	..... exempt	To implement an abandonment of White Knight's water system	To approve the implementation of abandonment of White Knight's water system
*PSC-37-12-00009-P	..... exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	..... exempt	Regulation of Gipsy Trail Club, Inc.'s long-term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	..... exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	..... exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	..... exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	..... exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	..... exempt	Authorization to transfer certain real property.	To decide whether to approve the transfer of certain real property.
*PSC-06-13-00008-P	..... exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	..... exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information
*PSC-08-13-00014-P	..... exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	..... exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	..... exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines.	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted.
*PSC-17-13-00008-P	..... exempt	Provision of historical utility pricing information for comparison purposes for residential ESCO customers	Provision of historical utility pricing information for comparison purposes for residential ESCO customers
*PSC-17-13-00010-P	..... exempt	Provision of historical pricing information for comparison purposes for residential ESCO customers	Provision of historical pricing information for comparison purposes for residential ESCO customers
*PSC-18-13-00007-P	..... exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-21-13-00003-P	..... exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	..... exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	..... exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	..... exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	..... exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	..... exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level of competition and practical considerations
*PSC-24-13-00009-P	..... exempt	Repowering options for the Cayuga generating station located in Lansing, New York, and alternatives	To establish whether utility plans should include repowering options for the Cayuga generating station, or other alternatives
*PSC-24-13-00010-P	..... exempt	Repowering options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To establish whether utility plans should include repowering options for the Dunkirk generating station, or other alternatives
*PSC-25-13-00008-P	..... exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-25-13-00009-P	..... exempt	Provision by utilities of natural gas main and service lines.	To help ensure efficient and economic expansion of the natural gas system as appropriate.
*PSC-25-13-00012-P	..... exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request.
*PSC-27-13-00014-P	..... exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund.
*PSC-28-13-00014-P	..... exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	..... exempt	The request of NGT for lightened regulation as a gas corporation.	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC.
*PSC-28-13-00017-P	..... exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	..... exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-32-13-00010-P	..... exempt	Permission to write off and eliminate record keeping for regulatory reserves for Pensions and Other Post Retirement Benefits	To allow write off and eliminate record keeping of Pension and Other Post Retirement Benefits Reserves
*PSC-32-13-00012-P	..... exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	..... exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines.	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines.
*PSC-33-13-00029-P	..... exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy.	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy.
*PSC-34-13-00004-P	..... exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-37-13-00007-P	..... exempt	Dissolution of Garrow Water Works Company, Inc..	To allow for the dissolution of Garrow Water Works Company, Inc.
*PSC-39-13-00010-P	..... exempt	NY-Sun initiative within the Customer-Sited Tier of the RPS Program.	To increase the statewide adoption of customer sited photovoltaic solar generation through the NY-Sun Initiative.
*PSC-42-13-00013-P	..... exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	..... exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	..... exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	..... exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island.	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island.
*PSC-45-13-00022-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines.	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	..... exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	..... exempt	Petition for submetering of electricity.	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y.
*PSC-47-13-00012-P	..... exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-49-13-00008-P	..... exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.
*PSC-51-13-00009-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00010-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-51-13-00011-P	..... exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing.	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates.
*PSC-52-13-00012-P	..... exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC).	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s).
*PSC-52-13-00015-P	..... exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000.	To consider allowing Knolls Water Company to enter into a long-term loan agreement.
*PSC-01-14-00017-P	..... exempt	Residential Time-of-Use Rates	To establish residential optional time of use delivery and commodity rates
*PSC-03-14-00009-P	..... exempt	disposition of tax refunds and other related matters	to determine the disposition of tax refunds and other related matters
*PSC-04-14-00005-P	..... exempt	National Fuel Gas Corporation's Conservation Incentive Programs.	To modify National Fuel Gas Corporation's Non-Residential Conservation Incentive Program.
*PSC-05-14-00010-P	..... exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	..... exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDPC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	..... exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	..... exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality and the Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	..... exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	..... exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	..... exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties.	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-16-14-00015-P	..... exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336.	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-16-14-00016-P	..... exempt	Waiver of Commission regulations governing termination of service.	Consider United Water New York Inc.'s proposal to expand termination of service provisions.
*PSC-17-14-00003-P	..... exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	..... exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	..... exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00008-P	..... exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	..... exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	..... exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-19-14-00018-P	..... exempt	Uniform System of Accounts, deferral of an expense item	Authorization of a deferral for an expense item beyond the end of the year in which it was incurred
*PSC-22-14-00013-P	..... exempt	Petition to transfer and merge systems, franchises and assets.	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets.
*PSC-23-14-00010-P	..... exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	..... exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	..... exempt	To examine LDC's performance and performance measures.	To improve gas safety performance.
*PSC-26-14-00010-P	..... exempt	Petitioner requests an order authorizing its participation in the next Main Tier solicitation offered under the RPS Program.	To enable continued operation of a 21 MW biomass fueled electric generating facility in Chateaugay, New York.
*PSC-26-14-00013-P	..... exempt	Waiver of RG&E's tariffed definition of emergency generator.	To consider waiver of RG&E's tariffed definition of emergency generator.
*PSC-26-14-00017-P	..... exempt	Existing ratemaking and rate design practices will be revised with a focus on outcomes and incentives.	To use the Commission's ratemaking authority to foster a DER-intensive system.
*PSC-26-14-00020-P	..... exempt	New electric utility backup service tariffs and standards for interconnection may be adopted.	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-26-14-00021-P	..... exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established.	To balance the need for the information necessary to support a robust market with customer privacy concerns.
*PSC-28-14-00014-P	..... exempt	Petition to transfer systems, franchises and assets.	To consider the Comcast and Charter transfer of systems, franchise and assets.
*PSC-30-14-00023-P	..... exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter.	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter.
*PSC-30-14-00025-P	..... exempt	Allocation of uncommitted Technology and Market Development Funds to the Combined Heat & Power Performance Program.	To consider allocation of uncommitted Technology & Market Development Funds to the Combined Heat & Power Performance Program.
*PSC-30-14-00026-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive,Albany, NY.
*PSC-31-14-00004-P	..... exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00009-P	..... exempt	Refueling options for the Dunkirk generating station located in Dunkirk, New York, and alternatives	To address the joint petition for rehearing of the Commission's Order related to refueling the Dunkirk generating station
*PSC-32-14-00012-P	..... exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-34-14-00009-P	..... exempt	Whether to approve the Quadlogic S10N residential submeter.	Approval of the Quadlogic S10N Smart Meter for use in residential electric submetering is required by 16 NYCRR Parts 93 and 96.
*PSC-35-14-00004-P	..... exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	..... exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter
*PSC-36-14-00009-P	..... exempt	Modification to the Commission's Electric Safety Standards.	To consider revisions to the Commission's Electric Safety Standards.
*PSC-36-14-00010-P	..... exempt	The procurement of Main Tier renewable resources will become the responsibility of the State's electric utilities.	To ensure the development of large-scale renewables in New York State to promote fuel diversity and reduce carbon emissions.
*PSC-36-14-00011-P	..... exempt	To defer pension settlement losses associated with retirements in the year ended March 31, 2014.	To resolve the ratemaking of the pension settlement loss.
*PSC-38-14-00003-P	..... exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program.
*PSC-38-14-00004-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-38-14-00005-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00007-P	..... exempt	Whether to expand Con Edison's low income program to include Medicaid recipients.	Whether to expand Con Edison's low income program to include Medicaid recipients.
*PSC-38-14-00008-P	..... exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn.
*PSC-38-14-00010-P	..... exempt	Inter-carrier telephone service quality standard and metrics and administrative changes.	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines.
*PSC-38-14-00012-P	..... exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2.
*PSC-38-14-00018-P	..... exempt	New electric utility demand response tariffs may be adopted.	To develop mature DER markets by enabling the development and use of DR as an economic system resource.
*PSC-39-14-00020-P	..... exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	..... exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers.
*PSC-40-14-00009-P	..... exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AML functionality.	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1.
*PSC-40-14-00011-P	..... exempt	Late Payment Charge.	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-40-14-00013-P	..... exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY.
*PSC-40-14-00014-P	..... exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process.
*PSC-40-14-00015-P	..... exempt	Late Payment Charge.	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due.
*PSC-42-14-00003-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-42-14-00004-P	..... exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge
*PSC-48-14-00014-P	..... exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-52-14-00019-P	..... exempt	Petition for a waiver to master meter electricity.	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY..
*PSC-01-15-00014-P	..... exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements
*PSC-01-15-00017-P	..... exempt	Reimbursement of costs for construction under 16 NYCRR 230	To determine proper reimbursement for costs related to trenching and construction
*PSC-03-15-00002-P	..... exempt	Waiver of tariff provisions related to SC 14 Non-Core Transportation Services for Electric Generation	To determine whether a waiver is warranted
*PSC-04-15-00008-P	..... exempt	Re-billing SC No. 2 customers from March 2008 through March 2014.	To determine whether re-billing SC No. 2 customers by the Companies' proposed methodology customers is appropriate.
*PSC-04-15-00010-P	..... exempt	To modify the retail access program under SC No. 19 - Seller Transportation Aggregation Service.	To modify the retail access program to implement Tier 2A - Storage Capacity Release and make other tariff changes.
*PSC-04-15-00011-P	..... exempt	To modify the retail access program under SC No. 8 - Seller Services.	To modify the retail access program to implement Tier 2A - Storage Capacity Release and make other tariff changes.
*PSC-04-15-00012-P	..... exempt	Disposition of tax refunds and other related matters.	To determine the disposition of tax refunds and other related matters.
*PSC-07-15-00006-P	..... exempt	Whether to order a remand regarding payphone rates	Whether to order a remand regarding payphone rates and award refunds
*PSC-08-15-00009-P	..... exempt	Approval of a surcharge.	To allow or disallow Emerald Green Lake Louise Marie Water Company, Inc. for a surcharge.
*PSC-08-15-00010-P	..... exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program.	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program.
*PSC-10-15-00007-P	..... exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds
*PSC-10-15-00008-P	..... exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes
*PSC-10-15-00009-P	..... exempt	Contingency Tariffs regarding demand response issues	To consider Contingency Tariffs regarding demand response issues
*PSC-12-15-00007-P	..... exempt	The Annual Reconciliation of Gas Expenses and Gas Cost Recoveries codified at Title 16 NYCRR Section 720.6.5	Examine the Annual Reconciliation of Gas Expenses and Gas Cost Recoveries mechanism
*PSC-13-15-00024-P	..... exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings
*PSC-13-15-00026-P	..... exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-13-15-00027-P	..... exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter
*PSC-13-15-00028-P	..... exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter
*PSC-13-15-00029-P	..... exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters
*PSC-17-15-00004-P	..... exempt	Rehearing of the Commission's Order Adopting Regulatory Policy Framework and Implementation Plan	Consideration of a petition for rehearing
*PSC-17-15-00007-P	..... exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million
*PSC-18-15-00004-P	..... exempt	National Grid's electric Economic Development Programs	To revise the economic development assistance to qualified businesses
*PSC-18-15-00005-P	..... exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism
*PSC-18-15-00007-P	..... exempt	National Grid's Economic Development Programs	To authorize a new economic development program for National Grid's natural gas service territory
*PSC-19-15-00011-P	..... exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid
*PSC-20-15-00006-P	..... exempt	Implementation of the proposed Microgrid Business Model as a reliability and demand management resource	Consider implementation of the proposed Microgrid Business Model as a reliability and demand management resource
*PSC-22-15-00015-P	..... exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)
*PSC-23-15-00005-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	..... exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	..... exempt	Notice of Intent to Submeter electricity.	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York.
*PSC-26-15-00014-P	..... exempt	To consider the request for partial waiver of the energy audit requirements in 16 NYCRR Section 96.5(k)	To consider the request for partial waiver of the energy audit requirements in 16 NYCRR Section 96.5(k)
*PSC-26-15-00016-P	..... exempt	Petition to Submeter electricity	To consider the request of 39 Plaza Housing Corporation to submeter electricity at 39 Plaza Street West, Brooklyn, New York
*PSC-27-15-00014-P	..... exempt	Authorization for NYAW to accrue interest on internal reserve debit balances	To allow NYAW to accrue interest on internal reserve debit balances

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-28-15-00006-P	..... exempt	The minor electric rate filing of Mohawk Municipal Commission	Whether to increase Mohawk Municipal Commission's annual electric revenues by approximately \$113,119 or 13.74%
*PSC-29-15-00018-P	..... exempt	Approval of ratemaking related to amendment a certificate of public convenience and necessity	To approve or reject the ratemaking aspects of SLG's petition to amend its certificate of public convenience and necessity
*PSC-29-15-00025-P	..... exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-31-15-00007-P	..... exempt	Plan to convert petroleum pipeline into a natural gas pipeline	Whether to approve the proposed conversion plan submitted by NIC Holding Corp
*PSC-32-15-00005-P	..... exempt	Petition for rehearing of the Order Adopting Dynamic Load Management Filings with Modifications	To consider a petition for rehearing of the Order Adopting Dynamic Load Management Filings with Modifications
*PSC-32-15-00006-P	..... exempt	Development of a Community Solar Demonstration Project.	To approve the development of a Community Solar Demonstration Project.
*PSC-32-15-00012-P	..... exempt	Proposed standards for Commission oversight of Distributed Energy Resource suppliers.	To consider proposed standards for Commission oversight of Distributed Energy Resource suppliers.
*PSC-33-15-00009-P	..... exempt	Remote net metering of a demonstration community net metering program.	To consider approval of remote net metering of a demonstration community net metering program.
*PSC-33-15-00012-P	..... exempt	Remote net metering of a Community Solar Demonstration Project.	To consider approval of remote net metering of a Community Solar Demonstration Project.
*PSC-34-15-00021-P	..... exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00011-P	..... exempt	Demand based Standby Service Charges levied upon Offset Tariff customers accounts	To consider a revision to demand based Standby Service Charges levied upon Offset Tariff customers accounts
*PSC-35-15-00014-P	..... exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	..... exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-38-15-00008-P	..... exempt	Notice of Intent to submeter electricity	To consider the request of Community Counseling and Mediation to submeter electricity at 226 Linden Blvd., Brooklyn, New York
*PSC-40-15-00014-P	..... exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-41-15-00005-P	..... exempt	Intergrow disputes National Grid's revenue assurance calculations	To consider whether the revenue assurance National Grid is requiring of Intergrow for the new interconnection is appropriate
*PSC-41-15-00007-P	..... exempt	The allocation of costs for the extension of electric service	Whether to grant the complaint of Glenwyck Development, LLC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
*PSC-41-15-00009-P	..... exempt	Main Tier of the Renewable Portfolio Standard program	To consider allocating funding from the Main Tier to an eligible hydroelectric facility
*PSC-41-15-00011-P	..... exempt	Deferral of incremental costs incurred in 2014 associated with increased gas leak response and repair activities	To consider a petition by Con Edison to defer certain incremental costs associated with gas leak response and repair activities
*PSC-42-15-00006-P	..... exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC.	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements.
*PSC-42-15-00013-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-44-15-00028-P	..... exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
PSC-44-15-00030-P	..... exempt	System Improvement Plan mechanism	To consider Bath's petition to implement a SIP mechanism
PSC-47-15-00012-P	..... exempt	Reimbursement of costs for construction under 16 NYCRR 230	To determine proper reimbursement for costs related to trenching and construction
PSC-47-15-00013-P	..... exempt	Whitepaper on Implementing Lightened Ratemaking Regulation.	Consider Whitepaper on Implementing Lightened Ratemaking Regulation.
PSC-48-15-00010-P	..... exempt	Lightened and incidental regulation of a 55 MW electric and steam generating facility.	Consider the lightened and incidental regulation of a 55 MW electric and steam generating facility.
PSC-48-15-00011-P	..... exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016.	Consider the proposed retirement of Huntley Units 67 and 68.
PSC-49-15-00009-P	..... exempt	Petition for rehearing of the Order Establishing Interim Ceilings on the Interconnection of Net Metered Generation	To consider a Petition for rehearing of the Order Establishing Interim Ceilings on the Interconnection of Net Metered Generation
PSC-50-15-00006-P	..... exempt	The reduction of rates.	To consider the reduction of rates charged by Independent Water Works, Inc.
PSC-50-15-00008-P	..... exempt	The transfer of 1,064 utility poles.	To consider the transfer of 1,064 utility poles from Orange and Rockland Utilities, Inc. to Frontier Communications Corp.
PSC-50-15-00009-P	..... exempt	Notice of Intent to submeter electricity.	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York.
PSC-51-15-00010-P	..... exempt	Modification of the EDP	To consider modifying the EDP
PSC-51-15-00011-P	..... exempt	National Grid's electric Economic Development Programs	To consider modifications to the economic development assistance to qualified businesses
PSC-52-15-00015-P	..... exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Astral for its apparent non-compliance with Commission requirements.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-01-16-00002-P	..... exempt	Revenue assurance calculations	To consider whether the revenue assurance National Grid is requiring of Tiashoke for the upgraded service is appropriate
PSC-01-16-00003-P	..... exempt	Deferral of expenses	To consider a petition for the deferral of expenses
PSC-01-16-00005-P	..... exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
PSC-04-16-00007-P	..... exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility.	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility.
PSC-04-16-00010-P	..... exempt	Proposed revisions to add and clarify provisions related to electric generators under SC No. 14.	To consider revisions to SC No. 14 and align the electric generator provisions with its downstate companies, KEDLI and KEDNY.
PSC-04-16-00011-P	..... exempt	Investigation that certain practices of Central Hudson Gas and Electric Corporation resulted in violations of HEFPA.	To consider the Petition of Nobody Leaves Mid-Hudson to investigate Central Hudson for claims of HEFPA violations.
PSC-04-16-00012-P	..... exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station.	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station.
PSC-04-16-00013-P	..... exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic.
PSC-06-16-00007-P	..... exempt	Transfer of water supply assets.	To consider the sale of water supply assets of Northeast Water Services to Suez Water Owego-Nichols, Inc.
PSC-06-16-00010-P	..... exempt	Lakewood disputes National Grid's revenue assurance calculations, specifically the duration used.	To consider whether the revenue assurance National Grid is requiring of Lakewood for the new interconnection is appropriate.
PSC-06-16-00012-P	..... exempt	Inclusion of a Farm and Food Community program in the community distributed generation program.	To consider the inclusion of a Farm and Food Community program in the community distributed generation program.
PSC-06-16-00013-P	..... exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs.	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs.
PSC-06-16-00014-P	..... exempt	MEGA's proposed demonstration CCA program.	To consider MEGA's proposed demonstration CCA program.
PSC-07-16-00016-P	..... exempt	Use of the Electro Industries Shark 200 electric submeter in residential applications	To consider the use of the Electro Industries Shark 200 submeter
PSC-07-16-00018-P	..... exempt	The use of the Open Way Centron 3.5 commercial meter, with 4G LTE cellular or modem communications for electric metering	To consider the use of the Itron Open Way Centron 3.5 meter
PSC-08-16-00007-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of BOP MW Residential Market LLC and BOP MW Residential Affordable LLC to submeter electricity.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-10-16-00010-P	..... exempt	Stock Acquisition	To consider the acquisition of the stock of Whistle Tree Development Corporation by Scribners Catskill Lodge, LLC.
PSC-11-16-00010-P	..... exempt	To establish a process to facilitate the transfer of complete systems of street lighting equipment	To consider the establishment of a process to facilitate the transfer of complete systems of street lighting equipment
PSC-11-16-00011-P	..... exempt	To establish a process to facilitate the transfer of complete systems of street lighting equipment	To consider the establishment of a process to facilitate the transfer of complete systems of street lighting equipment
PSC-11-16-00012-P	..... exempt	To establish a process to facilitate the transfer of complete systems of street lighting equipment	To consider the establishment of a process to facilitate the transfer of complete systems of street lighting equipment
PSC-11-16-00013-P	..... exempt	Leakage survey and corrosion inspection requirements	To establish protocols and timeframes for completing leakage surveys and corrosion inspections on gas service lines
PSC-11-16-00014-P	..... exempt	To establish a process to facilitate the transfer of complete systems of street lighting equipment	To consider the establishment of a process to facilitate the transfer of complete systems of street lighting equipment
PSC-11-16-00015-P	..... exempt	To establish a process to facilitate the transfer of complete systems of street lighting equipment	To consider the establishment of a process to facilitate the transfer of complete systems of street lighting equipment
PSC-11-16-00016-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent of 504 Myrtle Residential Owner LLC to submeter electricity at 504 Myrtle Avenue, Brooklyn, NY
PSC-11-16-00017-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent of 140 West Street Condominium to submeter electricity at 100 Barclay Street, New York, NY
PSC-11-16-00018-P	..... exempt	Rolling Meadows Water Corporation's rates for the provision of water	To consider an increase in Rolling Meadows Water Corporation's annual water revenues by approximately \$169,841 or 34.05%
PSC-12-16-00003-P	..... exempt	The use of the GE Energy low voltage transformers	To consider the use of the GE Energy low voltage transformers
PSC-12-16-00004-P	..... exempt	The option to opt out of using an AMR device, and substitute an electro-mechanical meter, at no additional charge	To consider the option to opt out of using an AMR device, and substitute an electro-mechanical meter, at no additional charge
PSC-12-16-00005-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent of 3475 Third Avenue Owner Realty LLC to submeter electricity at 3475 Third Avenue, Bronx, NY
PSC-12-16-00007-P	..... exempt	Deferral of incremental costs incurred in 2015 associated with increased gas leak response and repair activities	To consider a petition by Con Edison to defer certain incremental costs associated with gas leak response and repair activities
PSC-12-16-00008-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of Promenade Global LLC to submeter electricity at 150 West 225th Street, Bronx, New York.
PSC-13-16-00008-P	..... exempt	Standby rate exemption for Offset Tariff customers	Consideration of the standby rate exemption for Offset Tariff customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-13-16-00009-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent of Franklin Place Condominium to submeter electricity at 5 Franklin Place, New York, New York
PSC-13-16-00011-P	..... exempt	Transfer of stock	To consider the sale of all outstanding Crystal Water Corp. stock to Bruce McNab
PSC-14-16-00007-P	..... exempt	Regulation of customer name changes on pending interconnection applications for grandfathered projects.	To consider regulation of customer name changes on pending interconnection applications for grandfathered projects.
PSC-14-16-00008-P	..... exempt	Resetting retail markets for ESCO mass market customers.	To ensure consumer protections with respect to residential and small non-residential ESCO customers.
PSC-15-16-00010-P	..... exempt	Proposed financial incentives for projects undertaken through the Targeted Demand Management program	To consider financial incentives for projects undertaken through the Targeted Demand Management program
PSC-15-16-00012-P	..... exempt	Adequate service of Verizon New York, Inc.	To consider the adequacy of Verizon New York Inc.'s service quality
PSC-17-16-00004-P	..... exempt	Major electric rate filing	To consider an increase in Con Edison's electric delivery revenues of approximately \$482 million or 9.5%
PSC-17-16-00005-P	..... exempt	Major gas rate filing	To consider an increase in KEDNY's gas delivery revenues by approximately \$290 million or 32%
PSC-17-16-00006-P	..... exempt	Proposal to revise General Rule 20 Standby Service	To consider proposed tariff revisions related to standby service multi-party offset under General Rule 20
PSC-17-16-00007-P	..... exempt	Major gas rate filing	To consider an increase in Con Edison's gas delivery revenues of approximately \$154 million or 13.4%
PSC-17-16-00008-P	..... exempt	Major gas rate filing	To consider an increase in KEDLI's gas delivery revenues by approximately \$175 million or 27%
PSC-18-16-00013-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
PSC-18-16-00014-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
PSC-18-16-00015-P	..... exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process.	To ensure consumer protections for ESCO customers.
PSC-18-16-00016-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
PSC-18-16-00018-P	..... exempt	Amendments to the Uniform Business Practices of ESCOs.	To ensure consumer protection for ESCO customers.
PSC-19-16-00010-P	..... 05/11/17	Technical Amendments of State regulations	To align State regulations with their corollary Federal regulations



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-19-16-00011-P	..... exempt	Public Street Lighting - LED Options	To consider the addition of LED options to O&R's SC No. 4 - Public Street Lighting
PSC-19-16-00012-P	..... exempt	Proposed corporate reorganization and transfer of ownership interests between members in Cricket Valley Energy Center, LLC	To consider corporate reorganization and transfer of ownership interests between members in Cricket Valley Energy Center, LLC
PSC-20-16-00007-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of BAM GO Developers, LLC to submeter electricity at 250 Ashland Place, Brooklyn, New York.
PSC-20-16-00008-P	..... exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP).
PSC-20-16-00009-P	..... exempt	Standards for affiliate relationships.	To consider whether to permit the expansion of employees who are permitted to perform services on behalf of affiliates.
PSC-20-16-00010-P	..... exempt	Deferral and recovery of incremental expense.	To consider deferring costs of conducting leak survey and repairs for subsequent recovery.
PSC-20-16-00011-P	..... exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study.	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device.
PSC-21-16-00007-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent to submeter electricity at 206 Outer Main Street, Building #67, Potsdam, New York
PSC-21-16-00008-P	..... exempt	GE I-210+c with Silver Spring Network Interface Card (NIC) 510	To consider the use of the GE I-210+c with Silver Spring Networks Interface Card (NIC) 510
PSC-22-16-00010-P	..... exempt	Notice of Intent to submeter electricity and waiver of 16 NYCRR § 96.5(k)(3).	To consider the Notice of Intent to submeter electricity and waiver of 16 NYCRR § 96.5(k)(3).
PSC-22-16-00011-P	..... exempt	Petition for rehearing of the Order Modifying Standardized Interconnection Requirements and alternative enforcement mechanisms.	To ensure compliance with the Standardized Interconnection Requirements.
PSC-22-16-00013-P	..... exempt	Disposition of tax refunds and other related matters.	To consider the disposition of tax refunds and other related matters.
PSC-22-16-00015-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 100 Willoughby Street and 210 Duffield Street, Brooklyn, New York.
PSC-23-16-00008-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent to submeter electricity at 135 West 52nd Street, New York, New York
PSC-23-16-00009-P	..... exempt	Transfer of assets and dissolution of Birch Hill Water Company Inc.	To consider the transfer of assets and dissolution of the Birch Hill Water Company Inc.
PSC-23-16-00010-P	..... exempt	Minor water rate filing	To consider an increase in Pheasant Hill Water Corporation's annual water revenues by approximately \$66,325 or 126%
PSC-23-16-00011-P	..... exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s request to retain a portion of a property tax refund

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<b>PUBLIC SERVICE COMMISSION</b>			
PSC-23-16-00013-P	..... exempt	Acquisition of all of the assets of Beaver Dam Lake Water Corporation	To consider the acquisition of all assets of Beaver Dam Lake Water Corporation by New York American Water Company Inc.
PSC-24-16-00008-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 220 Central Park South, New York, New York.
PSC-24-16-00009-P	..... exempt	Petition to submeter gas service.	To consider the Petition of New York City Economic Development Corp. to submeter gas at Pier 17, 89 South Street, New York, NY.
PSC-25-16-00008-P	..... exempt	Consideration of the Avangrid Implementation Plan and audit recommendations.	To consider Avangrid's Implementation Plan.
PSC-25-16-00009-P	..... exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018.	To extend the time period between the Companies' third-party assessments of customer personally identifiable information.
PSC-25-16-00010-P	..... exempt	Consideration of Consolidated Edison Company of New York, Inc.'s Implementation Plan and audit recommendations.	To consider Consolidated Edison Company of New York, Inc.'s Implementation Plan.
PSC-25-16-00011-P	..... exempt	A petition for rehearing of the Order Authorizing Framework for Community Choice Aggregation Opt-out Program.	To determine appropriate rules for Community Choice Aggregation Programs.
PSC-25-16-00012-P	..... exempt	Consideration of NYISO's Western New York PPTN Viability and Sufficiency Assessment.	To identify whether NYISO should proceed to further evaluate solutions to a Western New York PPTN.
PSC-25-16-00013-P	..... exempt	Petition for reconsideration of the Order Authorizing Framework for Community Choice Aggregation Opt-out Program	To determine appropriate rules for Community Choice Aggregation Programs
PSC-25-16-00014-P	..... exempt	Consideration of the National Grid companies' Implementation Plan and audit recommendations.	To consider the National Grid companies' Implementation Plan.
PSC-25-16-00015-P	..... exempt	Consideration of the National Fuel Gas Distribution Corporation Implementation Plan and audit recommendations.	To consider National Fuel Gas Distribution Corporation's Implementation Plan.
PSC-25-16-00016-P	..... exempt	Consideration of the Orange and Rockland Utilities, Inc. Implementation Plan and audit recommendations.	To consider Orange and Rockland Utilities, Inc.'s Implementation Plan.
PSC-25-16-00017-P	..... exempt	Consideration of the Central Hudson Gas & Electric Corporation Implementation Plan and audit recommendations.	To consider Central Hudson Gas & Electric Corporation 's Implementation Plan.
PSC-25-16-00018-P	..... exempt	Proposed Community Choice Aggregation Data Security Agreement.	To ensure appropriate consumer protections in Community Choice Aggregation programs.
PSC-25-16-00019-P	..... exempt	Revision of customer service metrics.	To consider revisions to customer service metrics previously approved by the Commission.
PSC-25-16-00020-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of Gemini Residential, LLC to submeter electricity at 225 East 39th Street, New York, New York.

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<b>PUBLIC SERVICE COMMISSION</b>			
PSC-25-16-00021-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 28th Highline Associates, LLC to submeter electricity at 520 West 28th Street, New York, NY.
PSC-25-16-00022-P	..... exempt	Transfer of ownership interests in Crestwood Pipeline East LLC.	Consideration of transfer of ownership interests in Crestwood Pipeline East LLC.
PSC-25-16-00023-P	..... exempt	Use of the Elster Solutions Energy Axis transponder.	To consider the use of the Elster Solutions Energy Axis transponder.
PSC-25-16-00024-P	..... exempt	Pole Attachment Rules.	To determine that the Commission's existing pole attachment rules apply to wireless providers.
PSC-25-16-00025-P	..... exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel.
PSC-25-16-00026-P	..... exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications.	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications.
PSC-25-16-00027-P	..... exempt	Use of the Badger Meter HR E LCD High Resolution E Series Encoder Register.	To consider the use of the Badger Meter HR E LCD High Resolution E Series Encoder Register.
PSC-25-16-00028-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 421 Kent Development LLC to submeter electricity at 60 South 8th Street, Brooklyn, New York.
PSC-25-16-00029-P	..... exempt	Use of the Orion Water Endpoints meter reading system.	To consider the use of the Orion Water Endpoints.
PSC-26-16-00019-P	..... exempt	Major water rate filing.	To consider a proposal to increase annual base rates by approximately \$11.6 million or 13.7%.
PSC-26-16-00020-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 23-01 42nd Street, Long Island City, New York.
PSC-26-16-00021-P	..... exempt	To extend the implementation date for its retail access program cash-out process.	To consider an extension for the implementation of the retail access program cash-out process.
PSC-28-16-00013-P	..... exempt	Initial Tariff Schedule which includes rates, charges, rules and regulations for water service.	To consider the proposed Initial Tariff Schedule and initial rate for water service.
PSC-28-16-00014-P	..... exempt	Consideration of CECONY and O&R's Implementation Plan for '36 audit recommendations.	To consider CECONY and O&R's Implementation Plan.
PSC-28-16-00015-P	..... exempt	Major water rate filing.	To consider a proposal to increase revenues by approximately \$8.49 million or 8.3% and consolidate tariffs and rates.
PSC-28-16-00016-P	..... exempt	A petition for reconsideration of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.
PSC-28-16-00017-P	..... exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework.	To determine appropriate rules for and calculation of the distributed generation reliability credit.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-29-16-00021-P	..... exempt	Use of the Silver Spring Network Commercial Gas Interface Management Unit - IMU 200.	To consider the use of the Silver Spring Network Commercial Gas Interface Management Unit - IMU 200.
PSC-29-16-00022-P	..... exempt	Petitions for Rehearing of the Commission's Order Adopting Low Income Program Modifications and Directing Utility Filings.	To establish rates, terms, and conditions for low income utility programs.
PSC-29-16-00023-P	..... exempt	Use of the Silver Spring Network Residential Gas Interface Management Unit - IMU 300 and IMU 300A.	To consider the use of the Silver Spring Network Residential Gas Interface Management Unit - IMU 300 and IMU 300A.
PSC-29-16-00024-P	..... exempt	Participation of NYPA customers in surcharge-funded clean energy programs.	To consider participation of NYPA customers in surcharge-funded clean energy programs.
PSC-29-16-00025-P	..... exempt	Proposed modifications to gas safety violations metric adopted in Case 12-G-0202.	To consider NMPC's petition to modify gas safety violations metric.
PSC-30-16-00005-P	..... exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent of 616 First Avenue LLC to submeter electricity at 626 First Avenue, New York, New York
PSC-30-16-00006-P	..... exempt	The application of NYSEG's tariff to a remote net metering host account owned by Cornell University	To determine the appropriate tariff treatment for the Cornell account
PSC-30-16-00007-P	..... exempt	The Municipal Electric and Gas Alliance's Community Choice Aggregation Implementation Plan	To ensure appropriate consumer protections
PSC-31-16-00004-P	..... exempt	Proposed acquisition of 100% of the assets of WBA by NYAW and to address other matters related to the acquisition.	To consider the proposed acquisition of all assets of WBA by NYAW and other matters related to the acquisition.
PSC-32-16-00003-P	..... exempt	Performance Assurance Plan waiver for certain wholesale service quality metrics.	To consider Verizon's waiver petition concerning certain wholesale service quality results.
PSC-32-16-00004-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 58 Corner LLC to submeter electricity at 600 W. 58th Street, New York, New York.
PSC-32-16-00005-P	..... exempt	Major gas rate filing.	To consider an increase in National Fuel's gas delivery revenues of approximately \$41.7 million or 15.27%.
PSC-32-16-00006-P	..... exempt	Proposed revisions for a new Daily Delivery Service and the Winter Bundled Sales Service.	To consider a new Daily Delivery Service and a modified Winter Bundled Sales Service.
PSC-32-16-00007-P	..... exempt	Utility DSIPs to achieve the Commission's Reforming the Energy Vision (REV) initiative.	Development of utility DSIPs for improving utility planning and operations functions under REV.
PSC-32-16-00008-P	..... exempt	Proposed revisions to add and clarify provisions related to electric generators under SC No. 14.	To consider revisions to SC No. 14 - Daily Balancing Service.
PSC-32-16-00009-P	..... exempt	Proposed acquisition of 100% of the assets of New Vernon and Whitlock Farms by NYAW.	To consider the proposed acquisition of assets of New Vernon and Whitlock Farms by NYAW.
PSC-32-16-00010-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 30 Park Place, New York, New York.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-32-16-00011-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 138-35 39th Avenue, Flushing, New York.
PSC-32-16-00012-P	..... exempt	Benefit-Cost Analysis Handbooks.	To evaluate proposed methodologies of benefit-cost evaluation.
PSC-32-16-00021-P	..... exempt	The continued deferral of \$300,000 in property taxes.	To consider the continued deferral of \$300,000 in property taxes.
PSC-33-16-00001-EP	..... 08/17/17	Use of escrow funds for repairs.	To authorize the use of escrow account funds for repairs.
PSC-33-16-00003-P	..... exempt	Use of the Silver Spring Network communication device in utility metering applications.	To consider the use of the Silver Spring Network communication device.
PSC-33-16-00004-P	..... exempt	Design and implementation of Low-Income Energy Efficiency Program proposed by Massena Electric Department.	To consider the design and implementation of a Low-Income Energy Efficiency Program proposed by Massena Electric Department.
PSC-33-16-00005-P	..... exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility.	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges.
PSC-33-16-00006-P	..... exempt	Lightened regulatory regime applicable to Indeck Corinth Limited Partnership.	To determine the extent to which Indeck Corinth Limited Partnership will be regulated under the Public Service Law.
PSC-34-16-00004-P	..... exempt	Proposed revisions to the General Information Section III.8(W) - AMR/AMI Meter Opt-out.	To consider revisions to AMR/AMI meter opt-out and manual meter reading charge provisions.
PSC-34-16-00005-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00006-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00007-P	..... exempt	Proposed revisions to the General Rule 6.10 - AMR/AMI Meter Opt-out.	To consider revisions to AMR/AMI meter opt-out and manual meter reading charge provisions.
PSC-34-16-00008-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00009-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00010-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00011-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00012-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00013-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-34-16-00014-P	..... exempt	Petition to submeter electricity.	To consider the petition of Elmo Homes, Inc. to submeter electricity at 728 41st Street, Brooklyn, New York.
PSC-34-16-00015-P	..... exempt	Community Choice Aggregation (CCA) Data Services.	To consider the implementation of fees for CCA Data Services requested by CCA Administrators.
PSC-34-16-00016-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of Snowplow LH LLC to submeter electricity at 252 East 57th Street, New York, NY.
PSC-34-16-00017-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 212 Fifth Avenue Venture LLC to submeter electricity at 212 Fifth Avenue, New York, NY.
PSC-34-16-00018-P	..... exempt	Standby Service.	To consider the implementation of a standby reliability credit and an offset tariff provision.
PSC-34-16-00019-P	..... exempt	Standby Service.	To consider the implementation of a standby reliability credit and an offset tariff provision.
PSC-34-16-00020-P	..... exempt	Standby Service.	To consider the implementation of a standby reliability credit and an offset tariff provision.
PSC-34-16-00021-P	..... exempt	Standby Service.	To consider the implementation of a standby reliability credit and an offset tariff provision.
PSC-34-16-00022-P	..... exempt	Standby Service.	To consider the implementation of a standby reliability credit and an offset tariff provision.
PSC-35-16-00014-P	..... exempt	Proposed acquisition of 100% of the assets of Hoey-DeGraw by NYAW and to address other matters related to the acquisition	To consider the proposed acquisition of 100% of assets of Hoey-DeGraw by NYAW and other matters related to the acquisition
PSC-35-16-00015-P	..... exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC
PSC-36-16-00003-P	..... exempt	Petition regarding the Commission's July 14, 2016 Order Denying Petition.	To consider the terms and conditions of utility service received by Fastrac Markets, LLC.
PSC-36-16-00004-P	..... exempt	Recovery of costs for installation of electric service.	To consider the recovery of costs for installation of electric service.
PSC-36-16-00005-P	..... exempt	Disposition of tax refunds received by New York American Water Company, Inc.	To determine the disposition of tax refunds and other related matters.
PSC-37-16-00008-P	..... exempt	Sources and mechanisms of funding related to the Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-37-16-00009-P	..... exempt	Transfer of the James A. FitzPatrick Nuclear Power Plant from Entergy Nuclear FitzPatrick, LLC to Exelon Generation Company, LLC	To ensure safe and adequate electric generation facilities.
PSC-37-16-00010-P	..... exempt	Zero Emission Credit requirement of the Clean Energy Standard.	To avoid adverse air emissions from fossil-fueled generation that would replace nuclear generation.
PSC-37-16-00011-P	..... exempt	Temperature Controlled and Interruptible Provisions.	To consider proposed revisions to tariff provisions related to temperature controlled and interruptible customers.



Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-37-16-00012-P	..... exempt	Sources and mechanisms of funding related to the Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-37-16-00013-P	..... exempt	Temperature Controlled and Interruptible Provisions.	To consider proposed revisions to tariff provisions related to temperature controlled and interruptible customers.
PSC-37-16-00014-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 301 East 61st Street, New York, New York.
PSC-37-16-00015-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 10 Sullivan Condominium to submeter electricity at 10 Sullivan Street, New York, New York.
PSC-38-16-00005-P	..... exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
PSC-38-16-00006-P	..... exempt	Request for waiver of the individual metering requirements of Opinion 76-17 and 16 NYCRR Part 96	To consider the request for waiver of the individual metering requirements of Opinion 76-17 and 16 NYCRR Part 96
PSC-38-16-00008-P	..... exempt	The capacity limit for net energy metering of farm waste electric generating equipment	Increase in the capacity threshold, from 1 MW to 2 MW, for net energy metering of farm waste electric generation
PSC-38-16-00009-P	..... exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
PSC-39-16-00012-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00013-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00014-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00015-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00016-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00017-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00018-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00019-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00020-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00021-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00022-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-39-16-00023-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00024-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00025-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00026-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00027-P	..... exempt	The Clean Energy Standard.	To promote and maintain renewable and zero-emission electric energy resources.
PSC-39-16-00028-P	..... exempt	Consolidated Edison Company of New York, Inc.'s replevin acts and practices.	To review Consolidated Edison Company of New York, Inc.'s replevin acts and practices.
PSC-39-16-00029-P	..... exempt	Minor rate filing.	To consider an increase in annual revenues of about \$158,709 or 20%.
PSC-40-16-00001-EP	..... exempt	Prohibition on enrollments, and de-enrollment requirements, on energy service companies (ESCOs) regarding low-income customers.	To protect low-income residential customers from unnecessary costs and to conserve low-income assistance program funds.
PSC-40-16-00003-P	..... exempt	Low income program implementation plan filed by New York State Electric and Gas Corp. and Rochester Gas & Electric Corp.	To establish rates, terms, and conditions for the Companies' low income utility programs.
PSC-40-16-00004-P	..... exempt	Low income program implementation plan filed by KeySpan Gas East Corp. d/b/a National Grid.	To establish rates, terms, and conditions for the Company's low income utility programs.
PSC-40-16-00005-P	..... exempt	Cost recovery for Non-Wire Alternative (NWA) Project.	To consider Central Hudson's proposed revisions regarding the recovery of costs and incentives associated with NWA Project.
PSC-40-16-00006-P	..... exempt	Petition to waive the ECAM proration billing method for SC No. 5 customers.	To consider the request of Central Hudson to waive the ECAM proration billing method for SC No. 5 customers.
PSC-40-16-00007-P	..... exempt	Low income program implementation plan filed by National Fuel Gas Distribution Corporation.	To establish rates, terms, and conditions for the Company's low income utility programs.
PSC-40-16-00008-P	..... exempt	Low income program implementation plan filed by Consolidated Edison Company of New York, Inc.	To establish rates, terms, and conditions for the Company's low income utility programs.
PSC-40-16-00009-P	..... exempt	Low income program implementation plan filed by Niagara Mohawk Power Corporation.	To establish rates, terms, and conditions for the Company's low income utility programs.
PSC-40-16-00010-P	..... exempt	Low income program implementation plan filed by Orange and Rockland Utilities, Inc..	To establish rates, terms, and conditions for the Company's low income utility programs.
PSC-40-16-00011-P	..... exempt	Low income program implementation plan filed by the Brooklyn Union Gas Company d/b/a National Grid NY.	To establish rates, terms, and conditions for the Company's low income utility programs.
PSC-40-16-00012-P	..... exempt	Low income program implementation plan filed by Central Hudson Gas & Electric Corporation.	To establish rates, terms, and conditions for the Company's low income utility programs.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-40-16-00013-P	..... exempt	Surcharge to recover costs of Dynamic Load Management Programs.	To consider a surcharge to recover costs of the Dynamic Load Management Programs.
PSC-40-16-00014-P	..... exempt	Surcharge to recover costs of Dynamic Load Management Programs.	To consider a surcharge to recover costs of the Dynamic Load Management Programs.
PSC-40-16-00015-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 175 Huguenot Street, New Rochelle, New York.
PSC-40-16-00016-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent to submeter electricity at 301 East 50th Street, New York, New York.
PSC-40-16-00017-P	..... exempt	Request for waiver of 16 NYCRR sections 96.5(a) and 96.6(b)	To consider the request for waiver of 16 NYCRR sections 96.5(a) and 96.6(b)
PSC-40-16-00018-P	..... exempt	Petition for commercial electric meter.	To consider the petition to use the Itron OpenWay Riva commercial meter in electric metering applications.
PSC-40-16-00019-P	..... exempt	Surcharge to recover costs of Dynamic Load Management Programs.	To consider a surcharge to recover costs of the Dynamic Load Management Programs.
PSC-40-16-00020-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 501 Broadway Troy, LLC to submeter electricity at 501 Broadway, Troy, New York.
PSC-40-16-00021-P	..... exempt	Surcharge to recover costs of Dynamic Load Management Programs.	To consider a surcharge to recover costs of the Dynamic Load Management Programs.
PSC-40-16-00022-P	..... exempt	The addition of LED lighting options to SC No. 4 - Off-Street Lighting and SC No. 5 - Municipal Street Lighting Service.	To consider the addition of LED lighting options for Jamestown's street and off-street lighting service classifications.
PSC-40-16-00023-P	..... exempt	Major gas rate filing.	To consider an increase in Corning's gas delivery revenues of approximately \$5.9 million or 44.7%.
PSC-40-16-00024-P	..... exempt	Tariff revisions regarding National Grid's LED lighting option wattages.	To consider National Grid's proposed revisions updating its LED lighting option wattages in its street lighting tariff.
PSC-40-16-00025-P	..... exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements.
PSC-40-16-00026-P	..... exempt	Compliance filing establishing an interruptible gas service sales rate.	To consider RG&E's proposed revisions to establish an interruptible gas service sales rate.
PSC-40-16-00027-P	..... exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on Marathon for its apparent non-compliance with Commission requirements.
PSC-40-16-00028-P	..... exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP).	To consider whether to impose consequences on ABC for its apparent non-compliance with Commission requirements.
PSC-41-16-00015-P	..... exempt	To consider proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify the Transition Plan in response to new and unanticipated conditions

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>PUBLIC SERVICE COMMISSION</b>			
PSC-41-16-00016-P	..... exempt	Proposed revision to Rule 25.5 - Meter Reading (Rule 25.5)	To consider a revision to Rule 25.5 regarding the costs for telephone circuits for distributed generation projects
PSC-41-16-00017-P	..... exempt	Utility tariffs to implement the Clean Energy Standard	To promote and maintain renewable and zero-emission electric energy resources
PSC-41-16-00018-P	..... exempt	Rider T - Commercial Demand Response Programs (Rider T)	To consider modifications to Rider T regarding its Commercial System Relief Program and Distribution Load Relief Program
PSC-42-16-00005-EP	..... exempt	Appointment of a temporary operator for Whitlock Farms Water Company and New Vernon Water Company	To ensure the provision of safe and adequate water service to customers by appointment of a temporary operator
PSC-42-16-00007-P	..... exempt	New communications protocols for interruptible customers.	To establish new communications protocols to ensure that interruptible customers have sufficient back-up fuel.
PSC-42-16-00008-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.
PSC-42-16-00009-P	..... exempt	Petition to submeter electricity.	To consider the petition to submeter electricity at 549-561 41st Street, Brooklyn, New York.
PSC-42-16-00010-P	..... exempt	Request for a limited waiver of certain tariff provisions.	To consider a request for a limited waiver of the tariff's unauthorized gas usage and non-compliance penalty provisions.
PSC-42-16-00011-P	..... exempt	Petition for modifications to the New York State Standardized Interconnection Requirements and Application Process.	To update the SIR to clear the backlog, implement cost sharing, and streamline interconnection.
PSC-42-16-00012-P	..... exempt	Transfer of certain streetlights located in the Town of Orangetown.	To consider the transfer of certain streetlights from Orange and Rockland to the Town of Orangetown.
PSC-42-16-00013-P	..... exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider a request for a waiver of certain tariff provisions and 16 NYCRR Section 720-6.5(g).
PSC-42-16-00014-P	..... exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-43-16-00003-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of Haus LLC to submeter electricity at 152 Freeman Street, Brooklyn, New York.
PSC-43-16-00004-P	..... exempt	Notice of Intent to submeter electricity.	To consider the Notice of Intent of 50 West Street Condominium to submeter electricity at 50 West Street, New York, New York.
PSC-43-16-00005-P	..... exempt	NYSRC's revisions to its rules and measurements.	To consider revisions to various rules and measurements of the NYSRC.

**STATE, DEPARTMENT OF**

DOS-39-16-00005-P	..... 09/28/17	Requirements regarding brokers receiving funds, course subjects and hours, and business cards	To provide clarity regarding brokers obligations when receiving compensation, instruction requirements, and business cards
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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>STATE, DEPARTMENT OF</b>			
DOS-40-16-00029-EP	11/21/17	Signs on buildings utilizing truss type, pre-engineered wood or timber construction	To update references
<b>STATE UNIVERSITY OF NEW YORK</b>			
SUN-37-16-00006-P	09/14/17	State University of New York's Patents and Inventions Policy	Model best practices in the areas of innovation & technology transfer & comply with federal law re: intellectual property rights
<b>TAXATION AND FINANCE, DEPARTMENT OF</b>			
TAF-34-16-00023-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period October 1, 2016 through December 31, 2016
<b>TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF</b>			
TDA-46-15-00005-P	11/17/16	Storage of furniture and personal belongings	Provide clarification regarding allowances for the storage of furniture and personal belongings
TDA-36-16-00006-P	09/07/17	Supplemental Nutrition Assistance Program (SNAP)	Update State regulations to reflect federal requirements regarding the trafficking of SNAP benefits
TDA-37-16-00001-P	09/14/17	Child Support	To help ensure the State's compliance with federal rules for safeguarding confidential information, disclosing said information, where appropriate, to authorized persons and entities; and report delinquent child support payors to credit reporting agencies
TDA-37-16-00004-P	09/14/17	Public Assistance (PA) Use of Resources - General Policy	To update current PA resource exemptions related to automobiles
TDA-39-16-00006-P	09/28/17	Operational Plans for Uncertified Shelters for the Homeless	See attached
TDA-39-16-00010-EP	09/28/17	Standard Utility Allowances (SUAs) for the Supplemental Nutrition Assistance Program (SNAP)	These regulatory amendments set forth the federally mandated and approved SUAs as of 10/1/16
<b>TRANSPORTATION, DEPARTMENT OF</b>			
TRN-41-16-00001-P	10/12/17	Provisions applicable to administrative hearings in Office of Proceedings	Update of rules applicable to administrative hearings and repeal of obsolete provisions in Part 558
<b>TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY</b>			
TBA-08-16-00005-P	02/23/17	Proposal to strengthen toll violation enforcement on TBTA bridges and tunnels	To deter toll evasion
<b>WORKERS' COMPENSATION BOARD</b>			
WCB-45-15-00019-P	11/09/16	Stipulations	To streamline the process for parties to enter into stipulations in workers' compensation proceedings

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
<b>WORKERS' COMPENSATION BOARD</b>			
WCB-45-15-00025-P . . . . .	11/09/16	Medical Treatment Guideline variances	Permit the Chair to require submission of variance requests via an electronic medical portal
WCB-45-15-00026-P . . . . .	11/09/16	Medical Authorizations	Permit the Chair to require submission of medical authorization requests via an electronic medical portal
WCB-45-15-00027-P . . . . .	11/09/16	Medical Treatment Guideline optional prior approval	Change the time to respond from business days to calendar days



# ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

## SEALED BIDS

### REPLACE LIGHTING FIXTURES Department of Transportation Region 4 Java, Wyoming County

Sealed bids for Project No. Q1700-E, for Electrical Work, Replace Lighting Fixtures, DOT Region 4, Route 78, Java (Wyoming County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Department of Transportation, until 2:00 p.m. on Wednesday, November 9, 2016, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$3,000 for E.

The value of the Contract is estimated to be between \$25,000 and \$50,000.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp>.

The substantial completion date for this project is 92 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on October 25, 2016 at NYS DOT, 5241 Route 78, Java, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Kristina Green (585) 591-0356.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>.

For questions about purchase of bid documents, please send an e-mail to [D&C.Plans@ogs.ny.gov](mailto:D&C.Plans@ogs.ny.gov), or call 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

By *John D. Lewyckyj*, Deputy Director  
OGS - Design & Construction Group

### CONVERT FLOOR TO ANIMAL LAB New York Psychiatric Center New York, New York County

Sealed bids for Project No. 44219-C, for Construction Work, Provide Conversion of 8th Floor to Animal Lab, Building 4, New York Psychiatric Center, 1051 Riverside Drive, New York, (New York County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, November 9, 2016, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$167,700 for C.

Further, Project Labor Agreement (PLA) Projects require a completed Project Labor Agreement List Of Subcontractors (BDC 59P) be filled out and submitted (included in a separate, sealed envelope) in accordance with Document 002221, Supplemental Instructions to Bidders – PLA. Failure to submit this form correctly will result in a disqualification of the bid.

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract, estimated to be between \$6,000,000 and \$7,000,000 for C.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination

of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp>.

As a condition of award, within 48 hours of receipt of the proposed Contract Agreement from the State, the low bidder shall return the Contract Agreement to the State, properly executed, along with the Bonds as required by said Agreement. Low bidders who cannot meet these provisions may be subject to disqualification and forfeiture of the bid security.

The State intends to expedite award of this Contract and the Contractor shall be prepared to proceed with the Work accordingly. Bidders are warned that time is of the essence of the Contract and substantial completion of the Work must be within 657 days after the Agreement is approved by the Comptroller. Due to the tightness of the construction schedule, bidders should consider the necessity for an increased work force and shift operations.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on October 27, 2016 at New York Psychiatric Center, 1051 Riverside Drive, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

Phone the office of Robin Griffiths, (845) 365-0730 a minimum of 24 hours in advance of the date to provide the names of those who will attend the pre-bid site visit.

It is the policy of the State and the Office of General Services to encourage meaningful minority- and women-owned business enterprise participation in this project by contractors, subcontractors and suppliers under the Contract, and all bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>.

For questions about purchase of bid documents, please send an e-mail to [D&C.Plans@ogs.ny.gov](mailto:D&C.Plans@ogs.ny.gov), or call toll free at 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

By *John D. Lewyckyj*, Deputy Director  
OGS - Design & Construction Group

**REHABILITATE  
FIRE ALARM SYSTEM  
New York School for the Blind  
Batavia, Genesee County**

Sealed bids for Project No. 44870-E, for Electrical Work, Rehabilitate Fire Alarm System, New York School for the Blind, 2A Richmond

Avenue, Batavia (Genesee County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the State Education Department, until 2:00 p.m. on Wednesday, November 9, 2016, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$55,100 for E.

All successful bidders on a multiple trade project or the successful bidder with a bid over \$200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$1,000,000 and \$2,000,000 for E.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp>.

The substantial completion date for this project is 335 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on October 27, 2016 at NYS School for the Blind, 2A Richmond Avenue, Severne Hall, Room 204, Batavia, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Allyson Youdsavage (716) 937-4200.

It is the policy of the State and the Office of General Services to encourage meaningful minority- and women-owned business enterprise participation in this project by contractors, subcontractors and suppliers under the Contract, and all bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 20% for MWBE participation, 10% for Minority-Owned Business Enterprises ("MBE") participation and 10% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the

following link at the OGS website for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>.

For questions about purchase of bid documents, please send an e-mail to [D&C.Plans@ogs.ny.gov](mailto:D&C.Plans@ogs.ny.gov), or call 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

*By John D. Lewyckyj, Deputy Director  
OGS - Design & Construction Group*

**REHABILITATE  
FREIGHT ELEVATORS  
Empire State Plaza  
Albany, Albany County**

Sealed bids for Project Nos. 45269-C, 45269-P, 45269-E, 45269-U, comprising separate contracts for Construction Work, Plumbing Work, Electrical Work and Elevator Work, Rehabilitate Freight Elevators, The Egg, Empire State Plaza, Albany (Albany County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of General Services, until 2:00 p.m. on Wednesday, November 9, 2016, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$24,100 for C, \$5,600 for P, \$22,800 for E, and \$75,900 for U.

All successful bidders on a multiple trade project or the successful bidder with a bid over \$200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$250,000 and \$500,000 for C, between \$50,000 and \$100,000 for P, between \$250,000 and \$500,000 for E, and between \$2,000,000 and \$3,000,000 for U.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp>.

The substantial completion date for this project is 426 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on October 27, 2016 at Empire State Plaza, Concourse Level, Room 130, Albany, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on

facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Mary Murphy (518) 486-5652.

It is the policy of the State and the Office of General Services to encourage meaningful minority- and women-owned business enterprise participation in this project by contractors, subcontractors and suppliers under the Contract, and all bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs) for Construction and Electrical. The total contract goal can be obtained by utilizing any combination of MBE and /or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>.

For questions about purchase of bid documents, please send an e-mail to [D&C.Plans@ogs.ny.gov](mailto:D&C.Plans@ogs.ny.gov), or call 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

*By John D. Lewyckyj, Deputy Director  
OGS - Design & Construction Group*

**REPLACE  
WINDOW GASKETS  
Buffalo Psychiatric Center  
Buffalo, Erie County**

Sealed bids for Project No. 45306-C, for Construction Work, Replace Window Gaskets, West Side Building 62, Buffalo Psychiatric Center, 400 Forest Avenue, Buffalo (Erie County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the Office of Mental Health, until 2:00 p.m. on Wednesday, November 2, 2016, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a certified check, bank check, or bid bond in the amount of \$23,400 for C.

All successful bidders on a multiple trade project or the successful bidder with a bid over \$200,000 on a single trade project, will be required to furnish a Performance Bond and a Labor and Material Bond in the statutory form of public bonds required by Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$500,000 and \$1,000,000 for C. The requirement for Labor and Material and Performance Bonds may be waived on a bid under \$200,000 on a single trade project.

Pursuant to State Finance Law § 139-j and § 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest notice of intent to solicit offers through final award and approval of the Procurement Contract by OGS D&C and Office of the State Comptroller ("restricted period") to other than designated staff unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Frank Peris and Carl Ruppert in the Division of Contract Management, telephone (518) 474-0203,



fax (518) 473-7862 and John Lewyckyj, Deputy Director, Design & Construction Group, telephone (518) 474-0201, fax (518) 486-1650. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the new Legislative and State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: <http://ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.asp>.

The substantial completion date for this project is 434 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 9:00 a.m. on October 21, 2016 at Buffalo Psychiatric Center, West Side Front Entrance Building 62, 400 Forest Avenue, Buffalo, NY. Prospective bidders are urged to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply and all vehicles will be subject to search.

For assistance pertaining to the site visit only, please phone Jeremy Krupski (716) 885-0908.

It is the policy of the State and the Office of General Services to encourage meaningful minority- and women-owned business enterprise participation in this project by contractors, subcontractors and suppliers under the Contract, and all bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available on compact disc (CD) only, and may be obtained for an \$8.00 deposit per set, plus a \$2.00 per set shipping and handling fee. Contractors and other interested parties can order CD's on-line through a secure web interface available 24 hours a day, 7 days a week. Please use the following link at the OGS website for ordering and payment instructions: <http://www.ogs.ny.gov/bu/dc/esb/acquirebid.asp>.

For questions about purchase of bid documents, please send an e-mail to [D&C.Plans@ogs.ny.gov](mailto:D&C.Plans@ogs.ny.gov), or call 1-877-647-7526.

For additional information on this project, please use the link below and then click on the project number: <https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp>.

*By John D. Lewyckyj, Deputy Director  
OGS - Design & Construction Group*

# NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Housing Trust Fund Corporation  
Office of Community Renewal  
Hampton Plaza  
38-40 State St.  
4th Fl. South  
Albany, NY 12207

UNITS OF LOCAL GOVERNMENT, TO INCLUDE: COUNTIES, CITIES, TOWNS AND VILLAGES; NON-PROFIT CORPORATIONS INCORPORATED UNDER STATE NON-PROFIT CORPORATION LAW; COMMUNITY HOUSING DEVELOPMENT ORGANIZATIONS (CHDO); PUBLIC HOUSING AUTHORITIES  
**New York State Home Local Program**

The Housing Trust Fund Corporation's (HTFC) Office of Community Renewal (OCR) announces the availability of approximately \$18 Million of Federal Fiscal Year 2016 and 2017 HOME Investment Partnership Program funds for the following program: New York State HOME Local Program

The NYS Home Local Program (HOME Local) is a federally funded program administered by the OCR. The program is designed to fund a variety of residential housing activities to expand the supply of decent, safe, and affordable housing throughout the State of New York. The funds made available in this NOFA represent 2016 and 2017 fiscal year HOME funds designated for use by Local Program Administrators (LPAs).

NOTE: Applications will be permitted from not-for-profit organizations within HOME Participating Jurisdictions. Please refer to the Request for Proposals for more details.

To be eligible to apply for an award, LPAs must have been in existence and providing recent and relevant residential housing services to the community for at least one year prior to application. Applicants must be able to demonstrate a local market need for the program proposed and the capacity to utilize the amount of funding requested within the two year contract term.

**ELIGIBLE ACTIVITIES, MAXIMUM AWARD AMOUNTS, MAXIMUM PER UNIT AMOUNTS:**

1. Homeowner Housing Rehabilitation With or Without Rental Units:

Homeowner Housing Rehabilitation: funds may be requested for the repair or rehabilitation of an owner-occupied single-family (1-4) unit dwelling unit to meet NYS and/or Local Code upon completion of construction activities.

Maximum Award up to \$450,000 – Maximum Per-unit up to \$50,000

Homeowner Housing Rehabilitation with Rental Units: funds may be requested for housing rehabilitation of the homeowner unit and additionally rehabilitating HOME eligible rental units in a single family (2-4 unit) property.

Maximum Award up to \$450,000 – Maximum Per-unit up to \$50,000

2. Manufactured Housing Replacement

As an owner-occupied housing rehabilitation activity, funds may be requested to assist an owner to demolish and dispose of a sub-standard manufactured home and replace it with a new manufactured home. Manufactured home replacement is considered reconstruction for the purposes of the HOME Local program.

Maximum Award up to \$600,000 – Maximum Per-unit up to \$100,000

3. Homebuyer Purchase Assistance With or Without Housing Rehabilitation

Homebuyer Purchase Assistance: funds may be requested to assist a homebuyer to provide down payment and/or closing cost assistance to purchase a single family (1-4) unit, non-HOME assisted existing home or newly constructed home.

Maximum Award up to \$400,000 – Maximum Per-unit up to \$40,000

Homebuyer Purchase Assistance with Housing Rehabilitation: funds may be requested to assist a homebuyer to provide down payment and/or closing cost assistance and funds for housing rehabilitation to purchase a single family (1-4) unit, non-HOME assisted existing home.

Maximum Award up to \$600,000 – Maximum Per-unit up to \$60,000

4. Homebuyer Development New Construction or Acquisition with Housing Rehabilitation (CHDO and non-CHDO)

Funds may be requested to assist a CHDO or an LPA to acquire an existing, vacant, substandard home that will be substantially rehabilitated and then sold to a HOME eligible home buyer, or to build a new construction home that will be sold to a HOME eligible buyer.

Maximum Award up to \$750,000 – Maximum Per-unit up to \$75,000

5. Rental Rehabilitation

Funds may be requested to provide housing rehabilitation of non-owner-occupied eligible rental units that are scattered site or a multiple unit single site. The project may assist 11 units or less. All HOME assisted rental units must be rehabilitated to meet NYS and/or Local Code upon completion. The owner must agree to HOME rental requirements pertaining to occupancy, affordability and lease up requirements throughout the required affordability period.

Maximum Award up to \$715,000 – Maximum Per-unit up to \$65,000

6. Tenant Based Rental Assistance (TBRA)

Funds may be requested to provide monthly tenant-based rental assistance to assist families at or below 60% of area median income that have applied for a Housing Choice Voucher (Section 8) rental subsidy and are currently on the waiting list for assistance. Security deposits and tenant utility deposits may also be eligible.

Maximum Award up to \$400,000 – Maximum Per-unit up to \$40,000

Applicants may submit a maximum of two applications in response to this NOFA; applicants may only choose one eligible activity to administer per awarded contract.

**APPLICATION FOR FUNDING**

The NYS Home Local Program application for funds available through this NOFA and corresponding RFP will be available on the NYS Homes and Community Renewal website, [www.nyshcr.org/Funding/](http://www.nyshcr.org/Funding/), on Friday, October 28, 2016. Applications are due no later than 4:00 PM (EST) on Friday, December 16, 2016. Applicants have 7 weeks to complete the application. Applications must be submitted using the Community Development Online Application System (CDOL) at: <http://www.nyshcr.org/Apps/CDOnline/>.

The above-stated application deadline is firm as to date and hour. In the interest of fairness to all competing applicants, applications received after the specified date and time will be deemed ineligible and will not be considered for funding. Applicants should make early submission of their applications to avoid risks of ineligibility resulting from unanticipated delays or other delivery-related problems.

Applicants may make a request, based on demonstrated need, to submit a paper application in lieu of using the CDOL application system. Requests for approval to submit a paper application must be received by the OCR no later than Thursday, November 10, 2016 at the following address: NYS Homes and Community Renewal, Office of Community Renewal, Hampton Plaza, 4 Floor South, 38-40 State Street, Albany, NY 12207, ATTN: FFY 2016 and 2017 NYS HOME Local Program.

#### NEW YORK STATE GRANTS GATEWAY PREQUALIFICATION

New York State Grants Gateway is a statewide effort that will improve the way New York State administers grants by simplifying and streamlining the grants management process. Effective August 1, 2013, not-for-profit organizations must be prequalified in order to do business with New York State. To prequalify, not-for-profit organizations must submit an online Prequalification Application through the Grants Gateway. The Prequalification Application is comprised of five components to gauge your organizational structure and the types of services you provide. The required forms and document uploads are all part of the Grants Gateway Document Vault. Resources to complete the application and associated document vault can be found in the Quick Links Section of the Grants Gateway page at <http://grantsreform.ny.gov/>.

#### CONTACT INFORMATION

*For inquiries or technical assistance regarding the NYS HOME Local Program, please contact:* Homes and Community Renewal, Office of Community Renewal, at the above address or call (518) 474-2057



# MISCELLANEOUS NOTICES/HEARINGS

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## Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311  
or visit our web site at:  
[www.osc.state.ny.us](http://www.osc.state.ny.us)

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

## PUBLIC NOTICE

### Department of Environmental Conservation

Pursuant to Title 3, Article 49 of the Environmental Conservation Law, the Department of Environmental Conservation hereby gives public notice of the following:

Notice is hereby given, pursuant to Section 49-0305(9) of the Environmental Conservation Law, of the Department's intent to acquire a Conservation Easement from Open Space Institute over certain lands located in the Towns of Dannemora, Saranac and Black Brook, Clinton County, New York.

*For further information contact:* Robert A. Burgher, Superintendent, Bureau of Real Property, Department of Environmental Conservation, 625 Broadway, Albany, NY 12233-4256, (518) 402-9442

## PUBLIC NOTICE

### Department of Health

Pursuant to 42 CFR Section 447.205, the Department of Health hereby gives public notice of the following:

The Department of Health proposes to amend the Title XIX (Medicaid) State Plan for long term care services to comply with enacted statutory provisions. The following changes are proposed:

#### Long Term Care Services

Effective on or after December 1, 2016, the Commissioner of Health shall implement a restorative care unit demonstration program with the goal of developing a program through which hospital admission from nursing homes can be decreased. The program could provide benefit to the over 100,000 residents of New York State nursing homes.

The estimated annual net aggregate increase in gross Medicaid expenditures attributable to this initiative contained in the budget for state fiscal year 2016/2017 is \$5,000,000. The fiscal impact of this demonstration to the Medicaid program would be spread over three years as the program is developed and implemented on a limited basis.

The public is invited to review and comment on this proposed State Plan Amendment (SPA), a copy of which will be available for public review on the Department's website at [http://www.health.ny.gov/regulations/state\\_plans/status](http://www.health.ny.gov/regulations/state_plans/status). In addition, SPAs approved since 2011 are also available for viewing on this website.

Copies of the proposed State Plan Amendment will be on file in each local (county) social services district and available for public review.

For the New York City district, copies will be available at the following places:

New York County  
250 Church Street  
New York, New York 10018

Queens County, Queens Center  
3220 Northern Boulevard  
Long Island City, New York 11101

Kings County, Fulton Center  
114 Willoughby Street  
Brooklyn, New York 11201

Bronx County, Tremont Center  
1916 Monterey Avenue  
Bronx, New York 10457

Richmond County, Richmond Center  
95 Central Avenue, St. George  
Staten Island, New York 10301

*For further information and to review and comment, please contact:* Department of Health, Division of Finance and Rate Setting, 99 Washington Ave., One Commerce Plaza, Suite 1460, Albany, NY 12210, [spa\\_inquiries@health.ny.gov](mailto:spa_inquiries@health.ny.gov)

## PUBLIC NOTICE

### Department of State F-2016-0635

Date of Issuance – October 26, 2016

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2016-0635, Village of Amityville, is proposing to construct a new 55 linear foot vinyl bulkhead to connect to an existing adjoining bulkhead. The bulkhead height will be at an elevation of 4.9 feet (ten

year storm). The purpose of the proposed is to provide resiliency against storm surge and rising tides and to prevent sedimentation of the creek. The proposed bulkhead is located in Ketcham's Creek, East end of Meadow Lane, Village of Amityville, Suffolk County.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, November 10, 2016.

Comments should be addressed to the Consistency Review Unit, Department of State, Office of Planning and Development, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

## PUBLIC NOTICE

Department of State  
F-2016-0637

Date of Issuance – October 26, 2016

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The applicant has certified that the proposed activity complies with and will be conducted in a manner consistent with the approved New York State Coastal Management Program. The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2016-0637, Village of Amityville, is proposing to construct a new 52 linear foot vinyl bulkhead to connect to an existing adjoining bulkhead. The bulkhead height will be at an elevation of 4.9 feet (ten year storm). The purpose of the proposed is to provide resiliency against storm surge and rising tides and to prevent sedimentation of the creek. The proposed bulkhead is located in Amityville Creek, West end of McDonald Avenue, Village of Amityville, Suffolk County.

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 days from the date of publication of this notice, or, November 10, 2016.

Comments should be addressed to the Consistency Review Unit, Department of State, Office of Planning and Development, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-6000, Fax (518) 473-2464. Electronic submissions can be made by email at: CR@dos.ny.gov.

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

## PUBLIC NOTICE

Department of State  
*Proclamation*

### Revoking Limited Liability Partnerships

**WHEREAS**, Article 8-B of the Partnership Law, requires registered limited liability partnerships and New York registered foreign limited liability partnerships to furnish the Department of State with a statement every five years updating specified information, and

**WHEREAS**, the following registered limited liability partnerships and New York registered foreign limited liability partnerships have not furnished the department with the required statement, and

**WHEREAS**, such registered limited liability partnerships and New York registered foreign limited liability partnerships have been provided with 60 days notice of this action;

**NOW, THEREFORE**, I, Rossana Rosado, Secretary of State of the State of New York, do declare and proclaim that the registrations of the following registered limited liability partnerships are hereby

revoked and the status of the following New York foreign limited liability partnerships are hereby revoked pursuant to the provisions of Article 8-B of the Partnership Law, as amended:

### DOMESTIC REGISTERED LIMITED LIABILITY PARTNERSHIPS

#### A

ADLER VERMILLION LLP (11)

ASARO&CO., LLP (11)

ASCHETTINO STRUHS L.L.P. (11)

AUGUSTINE & EBERLE LLP (06)

#### B

BEREIT LLP (11)

#### C

CHARLENE M. WEINSTEIN, L.L.P. (96)

CHILD BEHAVIOR THERAPY ASSOCIATES, LLP (11)

COHEN, PONTANI, LIEBERMAN & PAVANE LLP (06)

#### F

FRIEDMAN LAW GROUP LLP (06)

#### G

GABBARD GROUP LLC (11)

GARY N. INWALD, D.O., MICHAEL G. DEMPSEY, D.O.,  
EDWARD J. ME (06)

GIORDANO & CUCCHI L.L.P. (11)

GREENE & COMPANY, L.L.P. (96)

#### H

HIRSHFIELD AND KANTOR LLP (01)

#### J

JACKSON & BERGMAN LLP (11)

#### K

KIRKWOOD & MCKENNA LLP (11)

#### L

LAW OFFICE OF BENNETTE AND PELESZ, LLP (11)

LAW OFFICES OF GRIMBLE & LOGUIDICE LLP (11)

LAW OFFICES OF GUANG JUN GAO, LLP (11)

LEVI AND ZOHAR, LLP (11)

LIPSIUS-BENHAIM LAW, LLP (11)

#### M

MANSFIELD, GAUTIER & ROSENTHAL LLP (11)

MAYER CPAS LLP (11)

MCCAULEY AND SHOLLENBERGER, LLP (11)

MONTAGUE REALTY GROUP, LLP (11)

#### O

OSTRER ROSENWASSER, LLP (06)

#### P

PALMETTO MEDICAL GROUP LLP (11)

PULMONARY GROUP OF WESTERN NEW YORK, LLP (06)

#### R

R & B MEDICAL GROUP, LLP (96)

REICH & PAOLELLA LLP (11)

#### S

SCHLEMMER & MANIATIS, LLP (06)

SEBIT LLP (06)

SHEINDLIN & SULLIVAN, LLP (01)

SIMON & NEWMAN, LLP (01)

SLOSS LAW OFFICE LLP (01)

STONE BONNER & ROCCO LLP (01)

#### T

T.A. AUGUSTINE, MD & R.L. LADUCA, MD, LLP (01)

THE BELLANTONI LAW FIRM, LLP (11)

THE EDELSTEINS, FAEGENBURG & BROWN LLP (01)

THE LAW OFFICES OF ORENSTEIN & KANDEL, LLP (06)

V

VASCULAR ASSOCIATES OF LONG ISLAND, LLP (96)

W

WARWICK MEDICAL ASSOCIATES, LLP (06)

FOREIGN REGISTERED LIMITED

LIABILITY PARTNERSHIPS

B

BEHMAN HAMBELTON LLP (11) (MA)

BROWN WERNER & BROTHERS LLP (11) (PA)

BURKE HUNTER ADAMS LLP (11) (EW)

H

HINCH NEWMAN LLP (11) (CA)

M

MCKENNA LONG & ALDRIDGE LLP (06) (DC)

R

RICHARDSON & PATEL, LLP (06) (CA)

RUTA, SOULIOS & STRATIS LLP (11) (NJ)

T

THE MORTGAGE LAW GROUP, LLP (11) (CA)

[SEAL]

WITNESS my hand and the official seal  
of the Department of State at its office in  
the City of Albany this twenty-sixth day  
of October in the year two thousand  
sixteen.

ROSSANA ROSADO

*Secretary of State*





# Department of Taxation and Finance

## Depositories for the Funds of the State of New York

Month End: September 30, 2016

Prepared by the Division of the Treasury  
Investments, Cash Management and Accounting Operations

Jerry Boone  
Commissioner of Taxation and Finance

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 9/30/2016
<b>ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER</b>		
Unemployment Insurance Funding Account	Key Bank	2,291,070.64
Occupational Training Act Funding Account	Key Bank	373,053.69
Unemployment Insurance Exchange Account	Key Bank	0.00
Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(162,946,596.45)
PIT Refund Debit Card Services	Bank of America, N.A.	389.00
General Checking	Key Bank	(608,358,061.69)
Direct Deposit Account	Wells Fargo	66,044.30
<b>TOTAL</b>		<b>(160,216,038.82)</b>
<b>01000 - EXECUTIVE CHAMBER</b>		
Executive Chamber Advance Account	Key Bank	No report received
<b>01010 - DIVISION OF BUDGET</b>		
Advance Account	Bank of America, N.A.	8,500.00
<b>01020 - DIVISION OF PAROLE</b>		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Division Of Parole Petty Cash Account	Key Bank	No report received
Division Of Parole Regional Advance Account	Key Bank	No report received
Emergency Support (Bronx)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Account	Key Bank	No report received
Emergency Support Fund (Albany)	Trustco Bank	No report received
Emergency Support Fund (Binghamton)	Citizens Bank	No report received
Emergency Support Fund (Buffalo)	Bank of America, N.A.	No report received
Emergency Support Fund (Elmira)	Chemung Canal Trust	No report received
Emergency Support Fund (Manhattan 1)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Nassau)	Bank of America, N.A.	No report received
Emergency Support Fund (Poughkeepsie)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Queens 1)	HSBC	No report received
Emergency Support Fund (Queens 2)	HSBC	No report received
Emergency Support Fund (Rochester 1)	M&T Bank	No report received
Emergency Support Fund (Syracuse)	Key Bank	No report received
Manhattan V - Emergency Support	JPMorgan Chase Bank, N.A.	No report received
Northeast Emergency Support Fund	Key Bank	No report received
Parole Supervision Fee	Key Bank	No report received
Parole Supervision Fee	Wachovia Bank NA	No report received
Rochester II Emergency Support Fund	Bank of America, N.A.	No report received
Subpoena Fund Account	Key Bank	No report received
Utica Emergency Support Fund	Bank of Utica	No report received
<b>01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL</b>		
Albany		
SLA Investigations Account	Key Bank	1,901.00
<b>01050 - OFFICE OF GENERAL SERVICES</b>		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
OGS Binghamton Office Bldg	First Niagara Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
<b>01060 - DIVISION OF STATE POLICE</b>		
CNET Confidential Account	Key Bank	12,642.45
Div Headquarters - Petty Cash	Key Bank	557.85
Key Advantage Account	Key Bank	53,813.80
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,845,230.76
SIU Confidential Fund Account	Key Bank	5,108.65
Special Fund	Key Bank	48,392.48
State Police Receipts Account	Bank of America, N.A.	5,454,649.64
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,060.00
Troop A Batavia-Confidential	Bank of America, N.A.	2,000.00
Troop B Confidential	Key Bank	4,984.63
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	830.00
Troop D Oneida - Confidential	Alliance Bank	607.78
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	1,856.84
Troop E Petty Cash	Canandaigua National Bank	904.00



Troop F Confidential	JPMorgan Chase Bank, N.A.	1,000.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	801.41
Troop G Petty Cash	Bank of America, N.A.	692.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,485.56
Troop L Confidential Fund	Bank of America, N.A.	5,470.60
Troop L Petty Cash	Bank of America, N.A.	1,000.00
<b>01070 - DIVISION OF MILITARY &amp; NAVAL AFFAIRS</b>		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
<b>01077 - OFFICE OF HOMELAND SECURITY</b>		
Academy Of Fire Science	Chemung Canal Trust	No report received
<b>01080 - DIVISION OF HOUSING &amp; COMMUNITY RENEWAL</b>		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	2,550.00
Revenue Account	JPMorgan Chase Bank, N.A.	76,666.22
<b>01090 - DIVISION OF HUMAN RIGHTS</b>		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
<b>01150 - OFFICE OF EMPLOYEE RELATIONS</b>		
1986 Panel Administration Esc	Key Bank	3,030.07
GOER/LMC Advance Account	Key Bank	1,940.00
NYS Dependent Care Acct	Key Bank	51,980.25
<b>01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS</b>		
Agency Advance Account	Key Bank	1,358.00
<b>01300 - ADIRONDACK PARK AGENCY</b>		
General Fund	Community Bank	2,002.00
Petty Cash	Community Bank	3,259.37
<b>01400 - CRIME VICTIMS COMPENSATION BOARD</b>		
Crime Victims	JPMorgan Chase Bank, N.A.	No report received
Emergency Award Account	M&T Bank	No report received
Emergency Claims	Key Bank	No report received
Frances Featherstones	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
REST/SUBROG Escrow Account	Key Bank	No report received
<b>01490 - DIVISION OF CRIMINAL JUSTICE SERVICES</b>		
Advance Account	Bank of America, N.A.	2,875.56
FBI Fee Account	Bank of America, N.A.	6,860.96
Fingerprint Fee Account	Bank of America, N.A.	20,445.25
<b>01510 - NYS RACING &amp; WAGERING BOARD</b>		
Charitable Gaming Account	Key Bank	162,394.13
Fingerprint Concentration Account	Key Bank	11,834.64
License Revenue Account	Bank of America, N.A.	25,574.42
Petty Cash Account	Key Bank	11,250.13
Racing Refund Account	Key Bank	1,251,177.04
<b>01530 - STATE COMMISSION OF CORRECTION</b>		
Advance Account	Bank of America, N.A.	2,000.00
<b>01540 - STATE BOARD OF ELECTIONS</b>		
Revenue Account	Key Bank	No report received
<b>01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE</b>		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
<b>02000 - OFFICE OF THE STATE COMPTROLLER</b>		
Admissions	Bank of America, N.A.	515,770.42
Alcohol Beverage	Bank of America, N.A.	29,586.30
Alcoholic Bev Control License	Wells Fargo Bank	121,328.90
Alcoholic Beverage Control License	M&T Bank	253,724.42
Assessments Bulk	JPMorgan Chase Bank, N.A.	104,236.27
Assessments Receivable	JPMorgan Chase Bank, N.A.	8,604,490.53
Assessments Receivable (EFT)	Wells Fargo Bank	2,149,893.59
Beverage Container	JPMorgan Chase Bank, N.A.	0.00
Boxing And Wrestling Tax	Bank of America, N.A.	92,247.37
Capital Gains Tax On Real Estate	Bank of America, N.A.	0.00
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	1,802,904.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	98,984.46
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	9,410,781.24
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	269,558.19
Cigarette Tax Tobacco Products	Bank of America, N.A.	108,373.90
Comptroller's Refund Account	JPMorgan Chase Bank, N.A.	No report received
Corporation Tax	Wells Fargo Bank	821,982.34
Corporation Tax - Art 9	Bank of America, N.A.	1,002,342.51
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	249,531.97
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	201,248.59
Estate Tax	Bank of America, N.A.	2,334,310.70
Estate Tax Article 10 Section 241	Key Bank	0.01

Estimated Tax	JPMorgan Chase Bank, N.A.	12,923,556.87
Gift Tax	Bank of America, N.A.	25,976.69
Hazardous Waste	Key Bank	26,298.55
Highway Use - Permits & Reg.	Bank of America, N.A.	39,760.58
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	598,635.10
Highway Use-Permits & Reg. (EFT)	JPMorgan Chase Bank, N.A.	0.00
Hudson River-Black River	Bank of America, N.A.	603,917.13
Hudson River-Black River	Community Bank	11,674.56
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	62,361.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	31,162.32
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	47,579.55
IFTA-Fuel Use	Bank of America, N.A.	39,834.25
Image Cash Letter Corp Tax	JPMorgan Chase Bank, N.A.	689,565.29
Image Cash Letter Highway Use Tax	JPMorgan Chase Bank, N.A.	944,645.82
Image Cash Letter Sales Tax	JPMorgan Chase Bank, N.A.	1,376,456.33
Income Tax - LLC	JPMorgan Chase Bank, N.A.	41,610.70
Income Tax - Main	JPMorgan Chase Bank, N.A.	492,593.76
Justice Court	Key Bank	558,536.12
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	15,002.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	140,909.08
Medical Marijuana Tax Collections	Bank of America, N.A.	962.74
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	52,457.97
NYC General Debt Service	Bank of America, N.A.	0.00
NYC General Debt Service-RAN	JPMorgan Chase Bank, N.A.	0.00
Ogdensburg Bridge & Port	Community Bank	3,670.24
Payroll Revolving Exchange Acct.	Key Bank	84,672.65
Personal Income Tax	Wells Fargo Bank	4,516,247.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	0.00
Petroleum Products Tax	Bank of America, N.A.	429,779.67
PIT Bulk	JPMorgan Chase Bank, N.A.	18,338.00
Port Of Oswego	Key Bank	198,755.67
Promptax - MCTMT	Wells Fargo Bank	1,090,750.65
Promptax - Petroleum Business Tax	Wells Fargo Bank	658,807.51
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	1,146,728.46
Promptax - Withholding	Wells Fargo Bank	(32,443,519.79)
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	80,119.15
Real Estate Transfer Tax	Key Bank	15,304.50
Revenue Holding	First Niagara Bank	0.00
Sales Tax	JPMorgan Chase Bank, N.A.	998,673.00
Sales Tax	Wells Fargo Bank	2,183,943.66
SUNY Concentration	First Niagara Bank	125,000,000.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	7,283,200.00
Uncashed Winning Tickets	Bank of America, N.A.	8,921.42
Unclaimed Funds	JPMorgan Chase Bank, N.A.	345,767.66
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	1,006.57
Withholding	Wells Fargo Bank	8,193,959.16
Withholding Tax	JPMorgan Chase Bank, N.A.	10,345,729.67
Cash Advance Accounts		
Advance For Travel Account	Key Bank	16,101.30
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,715.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(16,408.38)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	295,385.63
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Miscellaneous		
Fee Account	Key Bank	256.70
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
<b>03000 - DEPARTMENT OF LAW</b>		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received

Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
<b>03010 - OFFICE OF THE ATTORNEY GENERAL</b>		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
<b>03020 - MEDICAID FRAUD CONTROL</b>		
dept atty gen vs john doe	First Niagara Bank	32,782,656.48
National Global Settlement	JPMorgan Chase Bank, N.A.	8,062,320.77
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	37,605.22
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,212.75
<b>04020 - NYS ASSEMBLY</b>		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
<b>04030 - ASSEMBLY WAYS &amp; MEANS COMMITTEE</b>		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
<b>04040 - LEGISLATIVE BILL DRAFTING COMMISSION</b>		
NY LBDC - Legislative Computer Services Fund	Key Bank	3,594.95
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	3,989.88
<b>04250 - REAPPORTIONMENT</b>		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
<b>05000 - OFFICE OF COURT ADMINISTRATION</b>		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	2,804,895.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	9,043,885.00
Finger Print Account	JPMorgan Chase Bank, N.A.	15,825.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
<b>05005 - OCA OFFICE OF BUDGET &amp; FINANCE</b>		
Petty Cash Account	Key Bank	2,500.00
<b>05008 - LAWYERS FUND FOR CLIENT PROTECTION</b>		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
<b>05012 - MENTAL HYGIENE LEGAL SERVICES - 2ND JUDICIAL DEPARTMENT</b>		
Mental Hygiene Sec Dept Petty Cash	Bank of America, N.A.	879.59
<b>05023 - COMMISSION ON PROFESSIONAL STANDARDS - 3RD JUDICIAL DEPARTMENT</b>		
Comm On Prof Stands 3rd Jud Dept	M&T Bank	735.00
<b>05071 - COURT OF APPEALS</b>		
Chief Judge Advance	Key Bank	3,922.14
Clerk Of The Court Of Appeals	Key Bank	2,940.00
<b>05072 - STATE BOARD OF LAW EXAMINERS</b>		
State Board Of Law Examiners Fee	Key Bank	8,700.00
<b>05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT</b>		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	54,645.25
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	250.01
<b>05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT</b>		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	92,666.00
<b>05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT</b>		
Third Dept Civil Fees Acct - Revenue	Key Bank	21,620.00
<b>05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT</b>		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	18,409.34
<b>05090 - COURT OF CLAIMS</b>		
Court Of Claims Revenue Account	Key Bank	5,512.00
<b>05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION</b>		
Glen Cove City Court		
Glen Cove City Court - Bail	Bank of America, N.A.	150,274.66
Glen Cove Court Revenue	Bank of America, N.A.	73,011.55
Long Beach City - Nassau		
City Court Of Long Beach - Bail	Bank of America, N.A.	227,956.13
City Court Of Long Beach - Revenue	Bank of America, N.A.	82,418.32
Nassau Co Family		
Nassau Co Family Court Bail	Citibank	0.00
Nassau County Court		
Nassau County Assessment	Citibank	43,811.85

Nassau County Bail	Citibank	0.00
Nassau District Court - Civil		
Nassau County Dist Ct - Civil Revenue	Bank of America, N.A.	39,281.76
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Bank of America, N.A.	387,504.84
Nassau Dist Ct Criminal Trust Acct - Criminal Bail	Bank of America, N.A.	16,775.00
Nassau Surrogate		
Nassau County Surrogate Court - Revenue	Citibank	94,523.67
<b>05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION</b>		
Suffolk County Court		
Suffolk County Court - Court Fund	Suffolk County National	24,561.45
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	Suffolk County National	60,739.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	No report received
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	No report received
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	No report received
<b>05210 - NYC-CIVIL COURT</b>		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	256,334.41
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	7,466.52
Kings Civil Court - Civil		
Kings Civil Court - Civil Revenue	HSBC	406,718.81
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	149,391.06
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	179,800.77
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	62,232.09
<b>05215 - NYC-CRIMINAL COURT</b>		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	75,793.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	98,368.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	210,564.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	44,750.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	162,169.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	68,430.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	152,438.00
Richmond County Criminal Court		
Richmond Criminal Court	Citibank	136,871.00
Richmond Criminal Court- State Funds	Citibank	40,057.00
<b>05220 - NYC-FAMILY COURT</b>		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	235.00
<b>05231 - SUPREME COURT - BRONX COUNTY</b>		
NYS Office of Court Admin	JPMorgan Chase Bank, N.A.	14,607.00
<b>05235 - SUPREME COURT - KINGS COUNTY</b>		
Kings Co Supreme		
Supreme Court Kings County - Bail	HSBC	550.00
Supreme Court Kings County- Revenue	HSBC	16,135.95
<b>05240 - SUPREME COURT - QUEENS COUNTY</b>		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	22,690.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
<b>05250 - NEW YORK COUNTY CLERK</b>		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	2,009,312.85
New York County Clerk		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	0.00
<b>05255 - BRONX COUNTY CLERK</b>		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	711,263.50
Bronx Cnty Clerk-Bails /Fines C&T	JPMorgan Chase Bank, N.A.	411,974.09
<b>05260 - KINGS COUNTY CLERK</b>		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,400,185.81
<b>05265 - QUEENS COUNTY CLERK</b>		
Queens County Clerk-Revenue Acct	Sterling Bank	1,174,605.98

<b>05270 - RICHMOND COUNTY CLERK</b>		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	6,531,551.11
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	935.00
<b>05275 - NEW YORK COUNTY SURROGATES COURT</b>		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	104,904.50
<b>05280 - BRONX COUNTY SURROGATES COURT</b>		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	29,828.25
<b>05285 - KINGS COUNTY SURROGATES COURT</b>		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	80,172.00
<b>05290 - QUEENS COUNTY SURROGATES COURT</b>		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	125,919.50
<b>05295 - RICHMOND COUNTY SURROGATES COURT</b>		
Richmond County Surrogate Court Revenue Account	Victory State Bank	30,248.00
<b>05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION</b>		
Albany City Court		
Albany Police Ct Fines & Forfeiture - Revenue	Key Bank	26,750.08
Albany City Court - (traffic)		
Albany Traffic Court - Bail	Bank of America, N.A.	1,250.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	15,214.21
Albany City Court - Traffic Ct		
Albany Traffic Court - Revenue	Bank of America, N.A.	124,130.75
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	43,479.75
Albany Police Court		
Albany Police Court Bail Account	Key Bank	24,190.51
Cohoes City Court		
Cohoes City Court Bail	First Niagara Bank	45,857.90
Cohoes City Court Fees/Fines Account	First Niagara Bank	21,875.57
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	7,041.50
Greene County Surrogate		
Greene County Surrogate - Revenue	First Niagara Bank	4,503.25
Hudson City Court		
Hudson City Court Bail Acct	Trustco Bank	29,330.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	4,581.93
Kingston City Court		
Kingston City Court Bail	Key Bank	20,948.43
Kingston City Court Fees Fines - Revenue	Key Bank	52,309.87
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	4,719.35
Rensselaer City Court Bail Acct	Key Bank	4,850.50
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	21,452.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	276.00
Sullivan County Surrogate		
Sullivan County Surrogates Court - Revenue	Key Bank	3,659.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	81,915.32
Troy Police Court Bail Account	Bank of America, N.A.	30,816.18
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	6,288.25
Watervliet City Court		
Watervliet City Court - Revenue	Bank of America, N.A.	35,142.86
Watervliet City Court Bail	Bank of America, N.A.	32,742.00
<b>05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Amsterdam City Court		
Amsterdam City Court - Bail	First Niagara Bank	90,882.17
Amsterdam City Court - Revenue	First Niagara Bank	26,934.65
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	1,522.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	197.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	1,902.25
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,115.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	17,854.67

Glens Falls City Court Bail Acct	Glens Falls National	43,324.31
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	31,846.50
Gloversville City Court Revenue	NBT Bank	10,102.50
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	566.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	6,971.16
Johnstown City Court Fines/Fees - Revenue	Key Bank	7,367.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	8,450.00
Mechanicville City Ct Revenue Acct	TD Bank	11,427.76
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	1,178.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	11,493.74
Ogdensburg City Court Revenue	Community Bank	3,736.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	68,587.74
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	26,908.33
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	14,395.75
Saratoga Springs City Court		
Saratoga Springs Bail Account - Revenue	The Adirondack Trust Company	110,308.80
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	50,255.96
Schenectady City Court		
Schenectady City Crim Trust - Bail	Bank of America, N.A.	126,208.76
Schenectady Police Court Fines - Revenue	Bank of America, N.A.	85,121.19
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	5,892.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	1,612.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	3,937.25
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,588.75
<b>05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	29,226.46
Fulton City Court Revenue	Key Bank	14,442.16
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	205.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	1,624.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	678.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	3,500.00
Little Falls City Court Revenue	M&T Bank	4,810.12
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	6,843.74
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	26,016.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	8,116.50
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	40,037.20
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	20,040.24
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	15,072.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	90,210.50
Rome City Court - Revenue	Bank of America, N.A.	64,425.49
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	500.00
Sherrill City Court Fees - Revenue	Alliance Bank	894.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	73,687.22
Syracuse City Court - Fees - Revenue	Alliance Bank	326,925.00
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	116,567.50
Utica City Court Revenue Account	Key Bank	74,254.45
Watertown City Court		
Watertown City Court Bail	Key Bank	74,161.11



Watertown City Court Fees & Fines - Revenue	Key Bank	22,404.83
<b>05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	71,314.18
Binghamton City Court Revenue	M&T Bank	25,794.09
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	11,148.75
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	4,560.25
Chenango County Surrogates		
Chenango County Surrogates Court Acct	NBT Bank	2,123.50
Cortland City Court		
Court City Court Bail	NBT Bank	54,832.37
Court City Court- Revenue	NBT Bank	16,484.30
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	2,721.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	8,267.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	22,384.76
Elmira City Court Bail	Chemung Canal Trust	49,285.01
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	11,445.00
Ithaca City Court Revenue	Tompkins County Trust	44,630.28
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	3,978.25
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	3,870.00
Norwich City Court Revenue Acct	NBT Bank	2,993.57
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	20,785.50
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	13,537.07
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	9,007.80
Oneonta City Court Bail Account	Community Bank	22,500.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	6,751.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	3,697.25
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,903.25
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	5,057.00
<b>05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Auburn City Court		
Auburn City Court Bail Acct	First Niagara Bank	61,751.90
Auburn City Court Fees & Fines - Revenue	First Niagara Bank	21,721.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	29,100.78
Canandaigua City Court Revenue	Canandaigua National Bank	20,690.30
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,451.00
Corning City Court		
Corning City Court - Revenue	Community Bank	9,019.37
Corning City Court Bail	M&T Bank	44,718.94
Geneva City Court		
Geneva City Bail Account	Five Star Bank	84,580.00
Geneva City Court Revenue Acct	Five Star Bank	21,125.30
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	32,005.26
Hornell City Court Revenue	Steuben Trust Co.	6,310.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	2,824.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	29,655.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	3,220.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	604,334.40
Rochester City Revenue	M&T Bank	45,858.58
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,697.75
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	2,117.00

Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	3,429.50
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	250.75
<b>05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	1,444.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	12,682.65
Batavia City Court Revenue	M&T Bank	21,532.65
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	286,294.24
Buffalo City Revenue Account	M&T Bank	48,311.32
Cattaraugus County Surrogates		
Cattaraugus Surrogates Court - Revenue	Cattaraugus County Bank	0.00
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	2,378.00
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	3,936.00
Dunkirk City Court		
Dunkirk City - Revenue	First Niagara Bank	9,109.05
Dunkirk City Court - Bail	First Niagara Bank	20,097.50
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	135.15
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	43,476.05
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	1,850.00
Jamestown City Court		
Jamestown City Court Special Bail	Key Bank	2,836.00
State of New York Office of Court Administration Jamestown City Court Bail	First Niagara Bank	52,811.70
State of New York Office of Court Administration Jamestown City Court Revenue	First Niagara Bank	14,555.60
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	11,949.50
Lackawanna City Court Revenue Account	Key Bank	30,593.60
Lockport City Court		
Lockport City - Bail	Key Bank	51,558.40
Lockport City HESC EFT Account - Revenue	Key Bank	27,227.95
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	59,246.00
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	46,755.28
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	6,185.00
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	48,047.80
N. Tonawanda City Court Revenue	M&T Bank	36,798.00
Olean City Court		
Olean City Court Bail Account	Community Bank	10,700.00
Olean City Court Revenue Account	Community Bank	9,838.90
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	270.25
Salamanca City Court		
Salamanca City Court Bail	Community Bank	4,596.00
Salamanca City Court City Judge - Revenue	Community Bank	12,334.40
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	26,769.73
Tonawanda City Court Revenue	M&T Bank	44,299.34
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	2,381.00
Wyoming Co Surrogate Court - Revenue	Five Star Bank	0.00
<b>05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION</b>		
Poughkeepsie City Court- Bail	JPMorgan Chase Bank, N.A.	0.00
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	32,025.60
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	58,777.23
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	41,639.85
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	140,367.95
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	76,530.84
Mt. Vernon City Court		
Mt Vernon City Court State Revenue	JPMorgan Chase Bank, N.A.	66,178.41
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	33,719.82
New Rochelle City Court		

New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	352,618.28
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	92,892.37
Newburgh City Court		
Newburgh Bail Account	Bank of America, N.A.	68,573.71
Newburgh City Court Revenue	Bank of America, N.A.	22,969.35
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	17,704.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	13,044.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	116,205.33
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	46,679.75
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	14,857.95
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	120,529.50
Poughkeepsie City Court -Revenue	Wells Fargo Bank	108,272.23
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	13,102.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	14,237.00
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	34,402.15
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	54,257.73
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	61,343.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	210,994.73
White Plains City Court		
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	150,276.81
Yonkers City Court		
Yonkers City Bail Account- Bail	Sterling Bank	512,750.50
Yonkers City State Account - Revenue	Sterling Bank	5,337.11
Yonkers City Court - Escrow		
Yonkers City Escrow Account - Bail	Sterling Bank	43,525.42
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Sterling Bank	111,707.12
<b>06000 - AGRICULTURE &amp; MARKETS</b>		
Administration Account	Key Bank	11,932.30
Agency Advance Account	Key Bank	20,000.00
Agriculture Producers Sec Fund	Key Bank	22,517.00
Animal Population Control Account	Key Bank	35,116.99
Apple Marketing Order Fund	Key Bank	25,148.60
Consumer Food Industry Account	Key Bank	44,573.50
Dairy Industry Services Account	Key Bank	9,770.61
Dairy Promotion Order Fund	Key Bank	30,911.66
Farm Products Grading	JPMorgan Chase Bank, N.A.	251,086.22
Milk Producers Security Fund	Key Bank	45,780.07
NYS Farmers Market Program	Key Bank	2,587,532.39
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	88.21
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,640.76
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	318.45
Onion Marketing Order	Key Bank	200.00
Plants Industry Account	Key Bank	43,340.26
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	200.00
Weights & Measures Account	Key Bank	35,297.07
State Fair		
NYS Fair Operating Account	Solvay Bank	937,198.90
NYS Fair Petty Cash/Travel	Solvay Bank	3,534.58
NYS Fair Special Account	Solvay Bank	121,663.49
State Fair Premium Award Account	Solvay Bank	255,744.78
<b>08000 - DEPARTMENT OF CIVIL SERVICE</b>		
Agency Advance Account	Bank of America, N.A.	3,000.00
Employee Insurance Pending Account	Wells Fargo Bank	No report received
Examination Application Fees Account	Bank of America, N.A.	15,627.00
Examination Application Fees Account	First Niagara Bank	121,800.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
<b>08010 - PUBLIC EMPLOYEE RELATIONS BOARD</b>		
Petty Cash And Travel Advance Account	Key Bank	1,052.77
<b>09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION</b>		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,846.05
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	487,956.31

Conservation Petty Cash Account	M&T Bank	22,653.07
DEC/Exchange Account	M&T Bank	15,787.94
ENCON License Issuing Office	M&T Bank	4,794.75
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,120.79
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,651.84
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,543,441.33
Hunting Trapping & Fishing Account	M&T Bank	928,388.48
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	233,530.95
Lockbox Account	Wells Fargo Bank	1,565,823.00
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,313.53
NY Conservationist	Bank of America, N.A.	95,882.11
Program Fee	JPMorgan Chase Bank, N.A.	1,664.38
Revenue Account	Bank of America, N.A.	1,523,404.08
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,250,133.42
SNY Dept Of Environmental Conservation	JPMorgan Chase Bank, N.A.	283,856.58
State of New York	First Niagara Bank	365,199.81
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,036.60
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	253,359.17
Region 1		
Marine Permit Account	Bank of Smithtown	7,130.00
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 4 Office Account	Key Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	7.75
Land & Forest Region 5W	TD Bank	1,454.69
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	302.00
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,033.87
Tree Nursery	Bank of America, N.A.	2,485.00
Region 6		
Fish & Wildlife Watertown	Key Bank	113.92
Lands & Forest District #7	Community Bank	25.00
Lands & Forests District #6	Community Bank	2,703.50
Lands And Forests District 10	M&T Bank	19.90
SNY Dept Of Environmental Conserv	Community Bank	14.05
Region 7		
Div Of Fish & Wildlife	Alliance Bank	0.00
Lands & Forests District #3	Alliance Bank	0.00
Regional Office Checking Acct	JPMorgan Chase Bank, N.A.	0.00
Region 8		
Lands & Forests Dist No. 14	Five Star Bank	4,808.37
<b>10000 - ATTICA CORRECTIONAL FACILITY</b>		
Agency Advance Account	Five Star Bank	1,371.57
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	29,619.45
General Cash Fund	Five Star Bank	7,546.27
Inmate Occupational Therapy Fund	Five Star Bank	76,475.85
Inmate Savings Account	Five Star Bank	252,462.06
Spendable Fund	Five Star Bank	172,455.22
<b>10010 - AUBURN CORRECTIONAL FACILITY</b>		
Advance Account	Bank of America, N.A.	6,993.11
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	20,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	33,581.39
Inmate Spendable Account	Bank of America, N.A.	196,244.55
Misc Receipts	Bank of America, N.A.	19.25
Money Market	Bank of America, N.A.	35,000.00
<b>10020 - CLINTON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	13,885.89
Employee Benefit Fund	Key Bank	6,200.47
General Fund	Key Bank	2,565.67
Inmate Funds	Key Bank	237,192.86
Inmate Funds Money Market Account	Key Bank	840,846.74
Inmate Occupational Therapy Acct	Key Bank	119,275.24
<b>10030 - WATERTOWN CORRECTIONAL FACILITY</b>		
Agency Advance Account	Key Bank	2,320.92
Inmate Occupational Therapy Fund	Key Bank	12,109.74

Inmate Spendable Funds	Key Bank	63,519.63
Inmate Spendable Savings Account	Key Bank	65,406.75
Miscellaneous Receipts	Key Bank	14,159.52
<b>10040 - GREAT MEADOW CORRECTIONAL FACILITY</b>		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Employee Assistance Program	Glens Falls National	2,266.62
Employee Benefit Fund	Glens Falls National	6,774.28
Facility Advance	Glens Falls National	8,343.85
General Fund Account	Glens Falls National	12,948.86
Inmate Fund	Glens Falls National	104,406.33
Inmate Fund Savings Account	Glens Falls National	302,500.00
Occupational Therapy	Glens Falls National	18,330.87
<b>10050 - FISHKILL CORRECTIONAL FACILITY</b>		
Agency Advance	M&T Bank	8,030.83
Employee Benefits	M&T Bank	13,569.64
Inmate Spending Account	M&T Bank	273,929.85
Inmates Account	M&T Bank	461,940.66
Inmates Benefit	M&T Bank	48,298.97
Misc Receipts	M&T Bank	37,590.97
Occupational Therapy Account	M&T Bank	23,631.24
<b>10060 - WALLKILL CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	2,700.10
Employee Benefit	Key Bank	2,287.17
Inmate Occupational Therapy Fund	Key Bank	26,558.86
Inmate Savings Account	Key Bank	87,888.86
Inmates Fund Account	Key Bank	96,398.01
Misc. Receipts	Key Bank	25,577.18
<b>10070 - SING SING CORRECTIONAL FACILITY</b>		
Cash Advance	Sleepy Hollow National Bank	7,502.37
Inmate Spending	Sleepy Hollow National Bank	164,104.31
Misc Receipts	Sleepy Hollow National Bank	16,408.11
Money Market	Sleepy Hollow National Bank	450,674.82
Occupational Therapy	Sleepy Hollow National Bank	105,084.02
<b>10080 - GREEN HAVEN CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	3,175.85
General Fund	Key Bank	16,476.59
Inmates Money Market	Key Bank	286,856.16
Inmates Now Checking	Key Bank	1,072,138.73
Occupational Therapy Fund	Key Bank	113,152.90
<b>10090 - ALBION CORRECTIONAL FACILITY</b>		
Albion Advance Account	Bank of America, N.A.	3,926.25
Employee Benefit Fund	Bank of America, N.A.	19,049.97
Inmate Funds	Bank of America, N.A.	123,997.67
Inmate Funds Savings	Bank of America, N.A.	103,259.07
Misc Receipts	Bank of America, N.A.	2,385.78
Occupational Therapy	Bank of America, N.A.	30,804.01
<b>10100 - EASTERN NEW YORK CORRECTIONAL FACILITY</b>		
Agency Advance Account	Sterling Bank	2,602.05
Employee Benefit Fund	Sterling Bank	8,432.16
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	242,738.00
Inmate Occupational Therapy Account	Sterling Bank	37,805.01
Misc. Receipts	Sterling Bank	2,983.58
<b>10110 - ELMIRA CORRECTIONAL &amp; RECEPTION CENTER</b>		
Agency Advance Account	Chemung Canal Trust	5,565.52
ECF Clubhouse	Chemung Canal Trust	17,485.74
Employee Benefit Fund	Chemung Canal Trust	10,649.87
Inmate CD Account	M&T Bank	213,019.32
Inmates Fund	Chemung Canal Trust	205,915.84
Miscellaneous Receipts	Chemung Canal Trust	0.00
Occupational Therapy Fund	Chemung Canal Trust	58,003.21
<b>10120 - BEDFORD HILLS CORRECTIONAL FACILITY</b>		
Advance Account	JPMorgan Chase Bank, N.A.	7,665.66
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,270.76
Inmate Funds	JPMorgan Chase Bank, N.A.	139,113.40
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	20,284.05
Misc. Receipts	JPMorgan Chase Bank, N.A.	4,204.25
Occupational Therapy	JPMorgan Chase Bank, N.A.	40,029.17
<b>10130 - COXSACKIE CORRECTIONAL FACILITY</b>		
Agency Advance Account	National Bank of Coxsackie	1,912.75
Employee Benefits Fund	National Bank of Coxsackie	7,194.40

Inmates Fund	National Bank of Coxsackie	337,800.98
Inmates Fund Savings Acct	National Bank of Coxsackie	23,533.23
Misc. Revenue	National Bank of Coxsackie	37,735.14
Money Market Acct	National Bank of Coxsackie	159,596.18
Occupational Therapy Acct	National Bank of Coxsackie	16,272.92
<b>10140 - WOODBOURNE CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	1,166.36
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
General Fund	Bank of America, N.A.	14,798.26
Inmate Funds Checking	Bank of America, N.A.	261,835.74
Occupational Therapy Account	Bank of America, N.A.	17,836.52
<b>10160 - DEPARTMENT OF CORRECTIONAL SERVICES</b>		
Agency Advance Account	Key Bank	24,610.16
Employee Benefit Fund	Key Bank	23,955.80
Inmate Escrow Account	Key Bank	395,923.56
Misc. Receipts Account	Key Bank	79,543.35
Special Account	Key Bank	112.38
<b>10170 - QUEENSBORO CORRECTIONAL FACILITY</b>		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,110.21
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,482.10
Inmate Funds Account	JPMorgan Chase Bank, N.A.	7,125.46
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	494.14
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	3,997.25
Savings Account	JPMorgan Chase Bank, N.A.	15,420.76
<b>10230 - ADIRONDACK CORRECTIONAL FACILITY</b>		
ADK QWL	Community Bank	4,458.83
Agency Advance	Community Bank	1,588.20
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	5,291.96
General Fund	Community Bank	1,281.60
Inmate Funds	Community Bank	44,578.90
Inmate Occupational Therapy Fund	Community Bank	5,402.50
Inmate Savings Money Market	Community Bank	57,221.32
Make A Difference Day	Community Bank	40.14
<b>10240 - DOWNSTATE CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	809.75
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	14,214.25
Inmate Fund	JPMorgan Chase Bank, N.A.	134,865.08
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,214.96
Misc. Receipts	JPMorgan Chase Bank, N.A.	23,342.48
Occupational Therapy	JPMorgan Chase Bank, N.A.	37,695.86
<b>10250 - TACONIC CORRECTIONAL FACILITY</b>		
Inmate Funds	JPMorgan Chase Bank, N.A.	84,012.72
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,923.58
Money Market	JPMorgan Chase Bank, N.A.	30,606.41
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	26,259.13
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,331.92
<b>10270 - HUDSON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	3,884.42
Employee Benefit Fund Account	Key Bank	6,728.39
Inmate Funds Account	Key Bank	10,155.40
Inmate Key Advantage Account	Key Bank	65,177.93
Inmate Occupational Therapy Account	Key Bank	8,125.99
Miscellaneous Receipts Account	Key Bank	962.03
<b>10290 - OTISVILLE CORRECTIONAL FACILITY</b>		
Cash Advance	Jeff Bank	41.24
General Fund	Jeff Bank	1,818.88
Inmate Funds	Jeff Bank	78,554.24
Inmate Occupational Therapy	Jeff Bank	50,435.88
Inmate Savings	Jeff Bank	51,147.14
Inmate Savings CD	Hometown Bank	75,986.45
<b>10300 - ROCHESTER CORRECTIONAL FACILITY</b>		
Consolidated Advance Account	M&T Bank	517.18
Employee Recreation Fund	M&T Bank	497.24
Inmate Deposit Account	M&T Bank	104,811.52
Inmate Occupational Therapy	M&T Bank	26.26
Misc Fees	M&T Bank	2,054.47
Work Release Advance Account	M&T Bank	1,411.00
<b>10320 - EDGECOMBE CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	5,234.00
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,575.61
Inmate Cash Account	JPMorgan Chase Bank, N.A.	79,539.25
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	143.32



Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,444.76
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
<b>10350 - OGDENSBURG CORRECTIONAL FACILITY</b>		
Agency Advance Account	Community Bank	4,228.63
Inmate Fund	Community Bank	66,267.20
Inmate Savings	Community Bank	45,157.22
Misc. Receipts	Community Bank	195.21
Occupational Therapy	Community Bank	8,397.59
<b>10360 - LINCOLN CORRECTIONAL FACILITY</b>		
Agency Advance	JPMorgan Chase Bank, N.A.	3,345.97
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,910.40
General Fund	JPMorgan Chase Bank, N.A.	1,770.99
Inmate Funds	JPMorgan Chase Bank, N.A.	277,596.50
Inmate Savings	JPMorgan Chase Bank, N.A.	59,588.06
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	2,516.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	9,552.58
<b>10370 - FIVE POINTS CORRECTIONAL FACILITY</b>		
Consolidated Advance	Five Star Bank	2,262.41
EBF Checking	Five Star Bank	22,336.65
EBF Savings	Five Star Bank	45,729.99
Inmate Savings	Five Star Bank	232,702.52
Inmate Spendable	Five Star Bank	134,767.87
Misc Receipts	Five Star Bank	464.42
Occupational Therapy	Five Star Bank	54,378.68
<b>10390 - MOHAWK CORRECTIONAL FACILITY</b>		
Agency Advance	First Niagara Bank	1,377.10
Employee Benefit Fund Checking	Bank of America, N.A.	22,566.15
Inmate Funds Checking	First Niagara Bank	114,214.18
Inmate Funds Savings	First Niagara Bank	313,188.99
Miscellaneous Revenue	First Niagara Bank	10.00
Occupational Therapy	First Niagara Bank	23,323.69
<b>10430 - WENDE CORRECTIONAL FACILITY</b>		
Consolidated Advance	Alden State Bank	32.46
Employee Benefit Account	Alden State Bank	51,602.55
Inmate Savings	Alden State Bank	194,389.09
Inmates Funds	Alden State Bank	327,520.06
Misc. Receipts	Alden State Bank	30,498.24
Occupational Therapy	Alden State Bank	35,447.39
<b>10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER</b>		
Office of Nutritional Services		
Miscellaneous Receipts	First Niagara Bank	0.00
<b>10450 - GOWANDA CORRECTIONAL FACILITY</b>		
Advance Account	Evans National Bank	3,852.94
Employee Benefit Fund	Evans National Bank	24,481.38
Inmate Funds	Evans National Bank	46,291.39
Inmate Funds - CD	Evans National Bank	131,708.64
Inmate Funds Savings	Evans National Bank	163,476.81
Miscellaneous Revenue	Evans National Bank	4,002.32
Occupational Therapy	Evans National Bank	19,454.51
<b>10460 - GROVELAND CORRECTIONAL FACILITY</b>		
Agency Advance Account	Five Star Bank	3,023.31
Employee Commission Account	Five Star Bank	11,430.08
Inmate Funds Account	Five Star Bank	109,578.67
Inmate Funds Account - Savings	Five Star Bank	132,959.42
Miscellaneous Receipts Account	Five Star Bank	1,429.35
Occupational Therapy Account	Five Star Bank	16,227.76
<b>10470 - COLLINS CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	8,070.85
Employee Activities	Community Bank	18,993.44
Inmate Fund Checking	Community Bank	105,717.99
Inmate Savings	Community Bank	130,371.86
Miscellaneous Revenue	Community Bank	3,521.45
Occupational Therapy	Community Bank	18,840.70
<b>10480 - MID-STATE CORRECTIONAL FACILITY</b>		
Agency Advance	First Niagara Bank	2,012.77
Employee Benefit Fund	Bank of America, N.A.	32,345.40
Inmate Funds	First Niagara Bank	131,400.34
Inmate Savings	First Niagara Bank	197,927.02
Misc. Revenue	First Niagara Bank	13,518.62
Occupational Therapy	First Niagara Bank	42,968.13
<b>10490 - MARCY CORRECTIONAL FACILITY</b>		
Agency Advance Account	First Niagara Bank	3,566.01
Employee Benefit Fund Account	Bank of America, N.A.	16,083.60
Inmate Fund Account	First Niagara Bank	45,476.12

Inmate Funds Checking	First Niagara Bank	45,985.31
Misc receipts Acct	First Niagara Bank	7,982.02
NYS DOCS Marcy Corr Facility	First Niagara Bank	210,973.53
Occupational Therapy fund Acct	First Niagara Bank	29,492.10
<b>10500 - NYC CENTRAL ADMINISTRATION</b>		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	439.17
<b>10501 - CENTRAL PHARMACY</b>		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
<b>10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY</b>		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,720.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,388.20
Moriah Shock Incarceration Occ Therapy	Glens Falls National	3,776.59
Moriah Shock Misc Receipts	Glens Falls National	72.95
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	81,719.16
<b>10530 - FRANKLIN CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	4,928.83
Employee Benefit Account	Key Bank	5,718.79
Inmate Funds	Key Bank	83,849.83
Inmate Occupational Therapy	Key Bank	29,279.57
Inmate Savings	Key Bank	346,948.52
Misc. Receipts	Key Bank	9,932.06
<b>10540 - ALTONA CORRECTIONAL FACILITY</b>		
Cons Adv Travel Petty Cash	NBT Bank	3,820.10
Employees Vending Benefit	NBT Bank	9,709.70
Inmates Funds	NBT Bank	93,347.64
Misc Revenues General Fund	NBT Bank	395.30
Occupational Therapy	NBT Bank	8,988.97
<b>10550 - CAYUGA CORRECTIONAL FACILITY</b>		
Agency Advance	First National Bank of Groton	5,932.57
Cert Of Deposit	First National Bank of Groton	86,170.36
Employee Benefit Fund	First National Bank of Groton	6,868.40
Inmate Occupational Therapy Account	First National Bank of Groton	29,160.68
Inmate Spendable	First National Bank of Groton	248,222.87
Inmate Spendable	First National Bank of Groton	0.00
Misc Receipts	First National Bank of Groton	224.90
<b>10560 - BARE HILL CORRECTIONAL FACILITY</b>		
Agency Advance	Key Bank	2,658.16
Employee Benefit Fund	Key Bank	9,969.64
Inmate Spendable Funds	Key Bank	80,330.47
Key Public Money Market Checking	Key Bank	236,257.97
Miscellaneous Receipts	Key Bank	605.49
Occupational Therapy	Key Bank	25,372.83
<b>10570 - RIVERVIEW CORRECTIONAL FACILITY</b>		
Agency Advance Account	Key Bank	1,523.03
Inmate Accounts	Key Bank	120,256.75
Inmate Savings Account	Key Bank	105,289.57
Miscellaneous Receipts Account	Key Bank	6,587.89
Occupational Therapy	Key Bank	12,822.66
<b>10580 - CAPE VINCENT CORRECTIONAL FACILITY</b>		
Advance Account	Community Bank	4,382.30
Employee Benefit Fund	Community Bank	38,952.35
Inmate Occupation Therapy Acct	Community Bank	17,372.88
Inmate Savings	Community Bank	131,414.32
Inmate Spendable Account	Community Bank	139,403.88
Miscellaneous Receipts Account	Community Bank	3,285.74
<b>10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	4,200.00
Employee Benefit Fund - Sav	Community Bank	6,767.34
Inmate Funds	Community Bank	24,470.36
Inmate Funds - Sav	Community Bank	94,624.09
Miscellaneous Revenue	Community Bank	926.42
Occupational Therapy	Community Bank	1,335.73
Occupational Therapy - Sav	Community Bank	5,008.09
<b>10610 - ULSTER CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	3,949.80
Employee Benefit Fund	Bank of America, N.A.	4,891.49
Inmate Fund	Bank of America, N.A.	94,893.24
Inmate Funds Savings	Bank of America, N.A.	12,249.91
Misc. Receipts	Bank of America, N.A.	4,728.97
Occupational Therapy	Bank of America, N.A.	10,799.36
<b>10630 - SOUTHPORT CORRECTIONAL FACILITY</b>		
Advance Account	Chemung Canal Trust	1,591.21
Employee Benefit Fund	Chemung Canal Trust	17,041.37

Inmate Funds	Chemung Canal Trust	123,663.75
Inmate Funds Account	Chemung Canal Trust	40,457.37
Misc. Receipts Account	Chemung Canal Trust	48,744.00
Occupational Therapy Account	Chemung Canal Trust	16,876.07
<b>10640 - ORLEANS CORRECTIONAL FACILITY</b>		
Agency Advance	Bank of America, N.A.	3,750.36
Employee Benefit Fund	Bank of America, N.A.	6,948.51
Inmate Funds	Bank of America, N.A.	161,573.47
Inmate Savings	Bank of America, N.A.	107,082.51
Miscellaneous Receipts	Bank of America, N.A.	48,454.33
Occupational Therapy	Bank of America, N.A.	25,702.51
<b>10650 - WASHINGTON CORRECTIONAL FACILITY</b>		
Advance Account	Key Bank	1,910.16
General Account	Key Bank	0.00
Inmate Account	Key Bank	114,710.98
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,028.97
Inmate Savings Account	Key Bank	14,320.94
Occupational Therapy Account	Key Bank	13,439.10
<b>10660 - WYOMING CORRECTIONAL FACILITY</b>		
Agency Advance	Five Star Bank	8,199.51
Employee Benefit Fund	Five Star Bank	11,271.77
Inmate Occupational Therapy	Five Star Bank	41,783.15
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,024.72
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	167,572.99
Misc. Receipts Account	Five Star Bank	25,522.62
<b>10670 - GREENE CORRECTIONAL FACILITY</b>		
Consolidated Advance	National Bank of Cocksackie	5,023.12
Inmate Accounts	National Bank of Cocksackie	98,809.12
Inmate Savings	National Bank of Cocksackie	191,820.26
Misc. Receipts	National Bank of Cocksackie	606.86
Occupational Therapy	National Bank of Cocksackie	28,997.60
<b>10680 - SHAWANGUNK CORRECTIONAL FACILITY</b>		
Consolidated Advance Account	Key Bank	323.19
Inmate Funds	Key Bank	149,987.58
Inmates Funds Account	Key Bank	25,808.41
Misc. Receipts Account	Key Bank	181.91
Occupational Therapy Acct	Key Bank	21,945.23
<b>10690 - SULLIVAN CORRECTIONAL FACILITY</b>		
Consolidated Advance	Bank of America, N.A.	9,655.56
Inmate Funds	Bank of America, N.A.	76,888.19
Miscellaneous Receipts	Bank of America, N.A.	3,399.06
Occupational Therapy	Bank of America, N.A.	28,205.22
Savings	Bank of America, N.A.	100,139.20
<b>10800 - LIVINGSTON CORRECTIONAL FACILITY</b>		
Consolidated Advance	Five Star Bank	2,359.27
Employee Benefit Fund	Five Star Bank	7,280.95
Inmate Funds CD	Five Star Bank	75,211.50
Inmate Funds Checking	Five Star Bank	51,039.81
Inmate Savings	Five Star Bank	45,235.39
Miscellaneous Receipts	Five Star Bank	6,327.23
Occupational Therapy	Five Star Bank	15,918.16
<b>10810 - GOUVERNEUR CORRECTIONAL FACILITY</b>		
Agency Advance	Community Bank	2,782.68
Inmate Occupational Therapy	Community Bank	21,673.93
Inmate Savings	Community Bank	264,813.39
Inmate Spendable Fund	Community Bank	94,962.60
Misc Receipts	Community Bank	2,150.47
<b>10820 - WILLARD DRUG TREATMENT CENTER</b>		
Consolidated Advance	Community Bank	1,268.05
Employee Benefit Fund	Community Bank	18,977.84
Inmate Funds	Community Bank	52,442.71
Inmate Occupational Therapy	Community Bank	3,741.88
Misc Receipts	Community Bank	2,147.99
<b>10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1</b>		
Advance Account	Key Bank	3,800.00
Facility Committees	Key Bank	10,254.77
Inmate Fund	Key Bank	48,668.42
Inmate Fund Savings	Key Bank	365,015.83
Inmate Occupational Therapy Fund	Key Bank	7,362.43
Miscellaneous Account	Key Bank	180,202.25
<b>10850 - HALE CREEK ASACTC</b>		

Consolidated Advance	Key Bank	1,005.34
Employee Benefit Fund	Bank of America, N.A.	13,213.12
Inmate Funds	Key Bank	62,095.47
Inmate Interest Bearing Account	Key Bank	15,000.76
Misc Receipts	Key Bank	541.47
Occupational Therapy	Key Bank	23,274.11
<b>10916 - CENTRAL OFFICE - INDUSTRIES</b>		
Div of Ind Petty Cash Acct	Key Bank	6,470.00
Div of Ind Revenue Acct	Key Bank	1,234,026.50
<b>11000 - EDUCATION DEPARTMENT</b>		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
<b>11100 - NYS HIGHER EDUCATION SERVICES CORPORATION</b>		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
<b>11260 - BATAVIA SCHOOL FOR THE BLIND</b>		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
<b>11270 - ROME SCHOOL FOR THE DEAF</b>		
Miscellaneous Receipts	NBT Bank	368.03
Petty Cash	NBT Bank	1,800.00
Student Activity Fund	NBT Bank	584.43
<b>11280 - ARCHIVES PARTNERSHIP TRUST</b>		
Endowment	Janney Montgomery Scott LLC	No report received
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	No report received
<b>12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION</b>		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	No report received
EPIC Provider Receipt Account	Bank of America, N.A.	No report received
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	No report received
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	77,303.55
<b>12010 - ROSWELL PARK MEMORIAL INSTITUTE</b>		
Office Of Patient Accounts	M&T Bank	4,747,969.53
<b>12030 - HELEN HAYES HOSPITAL</b>		
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
<b>12120 - NYS VETERANS HOME-OXFORD</b>		
Agency Advance	NBT Bank	No report received
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
<b>12150 - NYS VETERANS HOME-ST ALBANS</b>		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	2,809,718.53
<b>12180 - WESTERN NEW YORK VETERANS HOME</b>		
Advance Account	Bank of America, N.A.	No report received
Exchange Account	Bank of America, N.A.	No report received
Maintenance Account	NBT Bank	1,062,757.05
Resident Funds	Bank of America, N.A.	No report received
<b>12190 - VETERANS HOME AT MONTROSE</b>		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	2,492,031.99
Residence Account	Bank of America, N.A.	No report received
<b>12200 - OFFICE OF MEDICAID INSPECTOR GENERAL</b>		
Albany Confidential Account	Key Bank	309.59
Albany Petty Cash Account	Key Bank	500.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	185.65
<b>14000 - DEPARTMENT OF LABOR</b>		
Agency Advance Account	Key Bank	29,184.96

Exchange Account	Bank of America, N.A.	107,299.94
Fee And Permit Account	Key Bank	796,684.89
Min Wage & Claim Funding Acct	Key Bank	259,121.40
Minimum Wage & Wage Claim Acct	Key Bank	560,328.80
Misc Receipts	Bank of America, N.A.	161,809.63
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	1,813,425.12
UI Fund ACH Transactions	Wells Fargo Bank	100,000.00
<b>16000 - PUBLIC SERVICE COMMISSION</b>		
Advance For Travel	Key Bank	15,000.00
Cable Account	Key Bank	5,568.36
Petty Cash Account	Key Bank	12,971.73
Special Fee Account	Key Bank	10,127.71
<b>17000 - NYS DEPARTMENT OF TRANSPORTATION</b>		
Contractors Bid And Guarantee	Key Bank	6,335.93
Driver Improvement Program (DIP)	Key Bank	17,068.31
Emergency Card Funding Account	Bank of America, N.A.	247,132.81
Main Office Advance For Travel	Key Bank	379,954.87
Revenue Unit	Key Bank	119,423.38
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	68,202.72
<b>17100 - NYS DEPARTMENT OF TRANSPORTATION-REGION 10</b>		
Region 10 Advance For Travel	Citibank	No report received
<b>19000 - DEPARTMENT OF STATE</b>		
Athletic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
<b>19001 - TUG HILL COMMISSION</b>		
Agency Advance Account	Key Bank	No report received
<b>19002 - LAKE GEORGE PARK COMMISSION</b>		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
<b>19005 - COMMISSION ON PUBLIC INTEGRITY</b>		
JCOPE Petty Cash Account	Bank of America, N.A.	363.50
JCOPE Revenue Account	Bank of America, N.A.	38,237.82
<b>20000 - DEPARTMENT OF TAXATION &amp; FINANCE</b>		
Exchange	Bank of America, N.A.	124,793.50
Fee Account	Key Bank	224,443.01
IFTA Funding	JPMorgan Chase Bank, N.A.	5,283.51
Misc Tax Account - Exchange	Bank of America, N.A.	466,164.81
Petty Cash	Bank of America, N.A.	54,074.05
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	48,200.00
Waste Tire Fee (EFT)	Wells Fargo Bank	1,878,583.84
Waste Tire Tax	JPMorgan Chase Bank, N.A.	174,862.77
<b>20050 - DIVISION OF THE LOTTERY</b>		
Custody Account	US Bank	No report received
Lottery Concentration Account	Key Bank	683,972.52
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	656,549.20
Video Gaming Revenue Account	Key Bank	10,380,859.02
<b>21012 - WELFARE INSPECTOR GENERAL</b>		
Confidential Fund	Bank of America, N.A.	No report received
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
<b>21110 - OFFICE OF REGULATORY REFORM</b>		
Petty Cash	Key Bank	No report received
<b>21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT</b>		
Checking- General Fund Acct.	Community Bank	11,648.05
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	81,448.84
Money Market	Bank of America, N.A.	907.20
Petty Cash Fund	Bank of America, N.A.	6,500.00
<b>21700 - OFFICE OF THE STATE INSPECTOR GENERAL</b>		
Office Of The State Inspector General Confidential Account	JPMorgan Chase Bank, N.A.	No report received
Office Of The State Inspector General Pass Thru Account	Key Bank	No report received
OSIG Confidential Account	Key Bank	No report received
OSIG Petty Cash Account	Key Bank	No report received
<b>21820 - STATE COMMISSION ON JUDICIAL CONDUCT</b>		
Petty Cash Account	JPMorgan Chase Bank, N.A.	511.52
Petty Cash Account	Key Bank	26.70
Petty Cash Fund	JPMorgan Chase Bank, N.A.	606.56
<b>21940 - NYS FINANCIAL CONTROL BOARD</b>		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received

**23000 - DEPARTMENT OF MOTOR VEHICLES**

Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	329,996.02
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	24,504.72
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	13,518.50
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	120,159.24
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	119,639.93
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	891.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	145,931.60
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	150,002.41
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	41,713.55
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	94,898.36
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Chemical Concentration (CTY)(OSC)		
Chemical Concentration (CTY)(OSC)	First Niagara Bank	0.00
Chemical Concentration (DO)(OSC)		
Chemical Concentration (DO)(OSC)	First Niagara Bank	0.00
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	6,277.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,279,466.06
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	22,744.85
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	4,024,591.24
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	27,812.66
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	69,400.02
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	99,730.45
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
HCK,WHD,BYS,PSK,WPD,BRX,BXI,BRK		
Revenue Account - Downstate	Wells Fargo Bank	940,719.16
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	84,111.87
IRP (Albany Central Office)		
International Registration	M&T Bank	599,706.07
International Registration	Wells Fargo Bank	128,827.17
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	164,217.40
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	206,521.00
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	739,403.77
Nassau Region 1		
Div. of Vehicle Safety	Citibank	940,719.16
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	70,209.19
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	26,059.06
Oneida County		



DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	39,395.24
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,390.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	121,224.23
Search Exchange (Albany Central Office)		
MV Search	First Niagara Bank	737,165.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	58,960.76
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	271,507.50
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	4,694.00
TVB Acct		
TVB Acct	Wells Fargo Bank	320,931.31
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	631,141.67
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	252,898.11
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	2,141,384.42
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	2,403.00
<b>25000 - OFFICE OF CHILDREN &amp; FAMILY SERVICES</b>		
Brentwood Resid Advance	JPMorgan Chase Bank, N.A.	206.40
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	698.99
Bronx CMSO Cash Advance #237	JPMorgan Chase Bank, N.A.	500.00
Brooklyn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	380.00
Brookwood Cash Advance	Key Bank	1,189.76
Brookwood Resid Residential Cash	Key Bank	15,361.33
Check Exchange	Bank of America, N.A.	697,384.00
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	23,751.87
Columbia Girls Secure Center-Advance Acct	Bank of America, N.A.	262.79
Columbia Girls Secure Center-Youth Savings	Bank of America, N.A.	2,838.90
Ella Mcqueen Reception Center For Boys	Bank of America, N.A.	500.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,342.06
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	2,810.64
Goshen Cash Advance	Bank of America, N.A.	2,030.40
Goshen Residents Account	Bank of America, N.A.	22,479.18
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	1,736.70
Highland Res Ctr Residents Acct	Bank of America, N.A.	3,426.60
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,786.72
Industry Res Account	JPMorgan Chase Bank, N.A.	1,628.51
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,049.22
MacCormick Resid Advance	Tompkins County Trust	9,533.75
Manhattan CMSO	JPMorgan Chase Bank, N.A.	500.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	1,095,388.36
Mid Hudson #236	Bank of America, N.A.	500.00
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	14,800.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	14,251.03
Queens CMSO Cash Advance	Bank of America, N.A.	400.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	473.04
Red Hook Res Ctr Resident Cash	Key Bank	354.86
Red Hook Resid Ctr Cash Advance	Key Bank	50.11
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	486.25
SCR Credit Card Revenue Account	Bank of America, N.A.	16,650.00
State Central Register	Bank of America, N.A.	115,224.00
Taberg Cash Advance Account	M&T Bank	124.92
Taberg Cash Advance Account	NBT Bank	553.60
Taberg Residents Account	M&T Bank	436.25
Taberg Residents Account	NBT Bank	454.80
Training Academy Cash Advance	Key Bank	0.00
Youth Leadership Academy	NBT Bank	5,748.69
Youth Leadership Cash Advance	NBT Bank	290.40
<b>27000 - OFFICE OF TEMPORARY &amp; DISABILITY ASSISTANCE</b>		

Exchange Account	Key Bank	0.70
Title IV D Of Social Security	Key Bank	259,475.81
Travel Advance	Key Bank	10,000.00
<b>28010 - SUNY ALBANY</b>		
Fee Account	Key Bank	(557,129.62)
Loan Services Center Account	Key Bank	124,708.49
Petty Cash/Travel Advance	Key Bank	30,115.00
<b>28020 - SUNY BINGHAMTON</b>		
SUNY Binghamton	M&T Bank	5,164,395.37
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	73,072.47
<b>28030 - SUNY BUFFALO</b>		
Agency Advance Account	Bank of America, N.A.	2,400.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	1,290,087.96
General Revenue Account	Key Bank	0.00
Imprest Account	Key Bank	5,696.57
<b>28050 - SUNY STONY BROOK</b>		
Central Funding	JPMorgan Chase Bank, N.A.	1,677,608.61
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	6,285,816.85
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	280,936.94
LISVH Fees Depository	Sterling Bank	646,037.61
LISVH Residence Fund	Sterling Bank	374,744.29
Payroll Advance	JPMorgan Chase Bank, N.A.	7,629.76
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
State Consolidated Petty Cash/Travel Advance	JPMorgan Chase Bank, N.A.	25,242.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	149,115.36
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	2,593,100.50
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,778.14
<b>28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN</b>		
Center Revenue	JPMorgan Chase Bank, N.A.	101,661.16
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	2,694,266.38
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	450,792.54
Petty Cash	JPMorgan Chase Bank, N.A.	61,223.15
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
<b>28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE</b>		
College Revenue	Key Bank	432,795.64
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	1,984,588.00
Parking	Key Bank	289,059.69
<b>28150 - SUNY BROCKPORT</b>		
Agency Advance	M&T Bank	28,150.00
Brockport-REOC Account	Key Bank	14,502.64
Concentration Acct	M&T Bank	616,538.64
Controlled Disb	M&T Bank	0.00
<b>28160 - SUNY BUFFALO STATE COLLEGE</b>		
Advance Account	M&T Bank	86,039.19
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	1,323.14
Students Acct Office	M&T Bank	168,086.14
<b>28170 - SUNY CORTLAND</b>		
General Checking Account	Key Bank	68,112.77
Petty Cash	Key Bank	34,450.00
<b>28180 - SUNY FREDONIA</b>		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	22,905.28
Petty Cash	M&T Bank	27,900.00
<b>28190 - SUNY GENESEO</b>		
Advance Account	Key Bank	41,612.68
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	142,683.39
<b>28200 - SUNY OLD WESTBURY</b>		
Local Depository	JPMorgan Chase Bank, N.A.	14,413.25
Petty Cash	JPMorgan Chase Bank, N.A.	17,000.00
<b>28210 - SUNY NEW PALTZ</b>		
Disbursement Account	Key Bank	0.00
Petty Cash	Key Bank	70,108.38
State Revenue	Key Bank	212,190.24
<b>28220 - SUNY ONEONTA</b>		

Petty Cash Advance Account	NBT Bank	64,387.00
Revenue Account	NBT Bank	1,211,304.59
<b>28230 - SUNY OSWEGO</b>		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	550,853.52
Imprest Account	Key Bank	38,353.43
<b>28240 - SUNY PLATTSBURGH</b>		
General Revenue	TD Bank	1,224,495.74
Petty Cash	Glens Falls National	24,304.57
<b>28250 - SUNY POTSDAM</b>		
Control Disbursement Account	Key Bank	0.00
Petty Cash Account	Key Bank	17,068.60
State Fee Reconciliation Account	Key Bank	73,518.71
<b>28260 - SUNY PURCHASE</b>		
General Income Fund	Key Bank	192,002.27
Petty Cash/Travel	Key Bank	500.00
SUNY Purchase	Key Bank	0.00
<b>28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME</b>		
Advance Account	Bank of America, N.A.	2,342.29
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	157,504.46
<b>28280 - SUNY EMPIRE STATE COLLEGE</b>		
Concentration Account	Key Bank	198,587.64
Distribution Center Account	Key Bank	47,926.46
Zero Balance Controlled Disbursement Account	Key Bank	0.00
<b>28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED</b>		
Fees Account	Community Bank	1,125,424.04
Petty Cash Account	Community Bank	34,045.00
<b>28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON</b>		
Community Cash Deposits	NBT Bank	42,197.93
Consolidated Advance	Key Bank	30,000.00
Dental Hygiene	NBT Bank	3,894.00
Income Fund	Key Bank	1,393.85
International Program Account	Key Bank	8,702.64
<b>28370 - SUNY AGRICULTURAL &amp; TECHNICAL COLLEGE AT COBLESKILL</b>		
Income Fund	Key Bank	30,373.65
<b>28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI</b>		
General Revenue	Delaware National Bank	707,569.54
Petty Cash Fund	Delaware National Bank	21,283.99
<b>28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE</b>		
Advance Account	Citibank	23,427.46
Income Fund	Citibank	373,541.34
<b>28400 - SUNY AGRICULTURAL &amp; TECHNICAL COLLEGE AT MORRISVILLE</b>		
Income Fund	Key Bank	(190,799.13)
Revenue Account	NBT Bank	24,762.94
<b>28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE &amp; FORESTRY</b>		
Advance Account	M&T Bank	0.00
Agency Advance	Key Bank	21,353.87
Controlled Disb	Key Bank	0.00
Controlled Disb	M&T Bank	0.00
ESF/GSA	Key Bank	486.40
Forestry	Community Bank	1,675.10
Regular Account	Key Bank	32,484.90
Revenue Account	M&T Bank	0.00
Student Government	Key Bank	163,822.08
<b>28559 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE &amp; FORESTRY-CURRENT</b>		
NYS College Of Environmental	HSBC	0.00
<b>28570 - SUNY MARITIME COLLEGE</b>		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	76,487.35
Petty Cash Account	JPMorgan Chase Bank, N.A.	7,254.13
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	62,797.19
Revenue EFT Account	JPMorgan Chase Bank, N.A.	47,080.21
<b>28580 - SUNY COLLEGE OF OPTOMETRY</b>		
Consolidated Advance	JPMorgan Chase Bank, N.A.	3,300.00
General Revenue	JPMorgan Chase Bank, N.A.	201,042.51
Medical Transportation	JPMorgan Chase Bank, N.A.	1,247.38
<b>28650 - SUNY CENTRAL SYSTEM ADMINISTRATION</b>		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,009,050.82
Petty Cash	Key Bank	5,000.00
Revenue	Key Bank	168,527.13
<b>37000 - DEPARTMENT OF FINANCIAL SERVICES</b>		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,824.34

Confidential Investigations	JPMorgan Chase Bank, N.A.	12,046.19
Fire Tax Account (Main)	Key Bank	12,003.23
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	28,124.04
General Fund	Key Bank	89,103.37
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	88,145,604.20
Miscellaneous Account	JPMorgan Chase Bank, N.A.	129,532.86
Petty Cash	Key Bank	5,367.73
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,011.16
<b>49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION</b>		
Contractors Bid (SA)	Bank of America, N.A.	68,926.68
Revenue (SA)	Glens Falls National	10,589.71
<b>49020 - LONG ISLAND STATE PARK COMMISSION</b>		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	25,294.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	619,539.11
Revenue (LI)	Suffolk County National	152,026.73
<b>49030 - GENESEE STATE PARK COMMISSION</b>		
Contractors Bid (GE)	Bank of Castile	39,484.36
Revenue (GE)	Bank of Castile	972,448.76
<b>49040 - NIAGARA FRONTIER STATE PARK COMMISSION</b>		
Contractors Bid (NIA)	Key Bank	974.23
Revenue (NIA)	Evans National Bank	1,380.25
<b>49050 - PALISADES INTERSTATE STATE PARK COMMISSION</b>		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	2,859.84
<b>49070 - OFFICE OF PARKS &amp; RECREATION</b>		
Main Office - Change Fund	Key Bank	29,985.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	First Niagara Bank	223,827.38
Petty Cash (ALB)	Key Bank	39,940.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	337,621.78
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	97,904.42
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	29,756.07
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	83,052.41
Revenue (various)	Wells Fargo Bank	55,467.50
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	625,913.54
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	First Niagara Bank	168,639.49
Statewide Housing Security Deposits	Key Bank	166,509.64
<b>49090 - FINGER LAKES STATE PARK COMMISSION</b>		
Contractors Bid (FL)	Tompkins County Trust	14,820.78
Revenue (FL)	Savannah Bank	249,934.48
Revenue (FL-Multi)	Community Bank	9,843.13
Revenue (FL-Multi-Facilities)	Tompkins County Trust	994,768.15
<b>49100 - ALLEGANY STATE PARK COMMISSION</b>		
Contractors Bid (AL)	Five Star Bank	113,093.48
Regional Account (AL)	Five Star Bank	1,623.00
Revenue (AL)	Five Star Bank	392,073.84
<b>49120 - CENTRAL NEW YORK STATE PARK COMMISSION</b>		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	13,699.82
Revenue (CE, SA, TI)	NBT Bank	230,767.78
<b>49130 - TACONIC STATE PARK COMMISSION</b>		
Contractors Bid (TA)	M&T Bank	No report received
<b>49140 - THOUSAND ISLANDS STATE PARK COMMISSION</b>		
Revenue (TI -Long Point River)	Citizens Bank	15,159.29
Revenue (TI)	Citizens Bank	22,928.81
Revenue (TI-Multi)	Community Bank	1,066.11
<b>50000 - OFFICE OF MENTAL HEALTH</b>		
Consolidated Advance	Bank of America, N.A.	11,151.10
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	71,581.34
Petty Cash Manufacturing	Bank of America, N.A.	300.00
Reimbursement Account	Bank of America, N.A.	2,785,168.61
<b>50010 - GREATER BINGHAMTON HEALTH CENTER</b>		
Agency Advance Account	JPMorgan Chase Bank, N.A.	25,545.02
Facility Holding Account	JPMorgan Chase Bank, N.A.	20,797.17
Patient Cash Funds	JPMorgan Chase Bank, N.A.	445,374.37
Patients Cash Account	JPMorgan Chase Bank, N.A.	69,473.64
Security Deposit	JPMorgan Chase Bank, N.A.	2,131.23
<b>50020 - KINGSBORO PSYCHIATRIC CENTER</b>		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	183,822.77
Medicaid Outpatient Travel	Banco Popular	4,955.84
Patient Cash Acct (MM)	Banco Popular	349,803.52

Patient Checking Account	Citibank	75,760.46
Patient Savings Account	Banco Popular	332,580.18
Security Deposit	Banco Popular	2,488.22
Urban Oasis/EBT	Banco Popular	13,234.44
<b>50030 - BUFFALO PSYCHIATRIC CENTER</b>		
Advance Account	M&T Bank	45,364.69
Employee Building & Donation	M&T Bank	17,390.53
Facility Holding	M&T Bank	5,907.27
Patient Cash Checking	M&T Bank	188,723.44
<b>50060 - HUDSON RIVER PSYCHIATRIC CENTER</b>		
Patients Cash	JPMorgan Chase Bank, N.A.	(12,614.62)
<b>50080 - MANHATTAN PSYCHIATRIC CENTER</b>		
Advance Account	Hudson Valley National Bank	438.40
Advance Account	Sterling Bank	11,471.44
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	8,737.54
Patient Cash Checking	Hudson Valley National Bank	602,002.34
Patients Money Market	Hudson Valley National Bank	72,723.67
Social Service Tokens	Hudson Valley National Bank	37,625.41
<b>50110 - ROCHESTER PSYCHIATRIC CENTER</b>		
Agency Advance	Key Bank	24,846.05
Facility Holding	Key Bank	50,560.06
Patients Cash Account	Key Bank	252,546.94
Patients Fund Savings	Key Bank	102,603.50
<b>50120 - ST LAWRENCE PSYCHIATRIC CENTER</b>		
Facility Advance Account	Community Bank	16,308.08
Facility Holding Account	Community Bank	27,404.07
Patients Cash Account	Community Bank	25,632.85
Patients Cash Savings	Community Bank	310,040.65
<b>50150 - CREEDMOOR PSYCHIATRIC CENTER</b>		
Advance Account	HSBC	59,725.91
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	68,812.49
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	730,521.33
Patient Cash Account	HSBC	112,969.50
Rent Holding Account	HSBC	1,508.00
<b>50170 - ROCKLAND PSYCHIATRIC CENTER</b>		
Advance Account	JPMorgan Chase Bank, N.A.	41,172.86
Exchange Account	JPMorgan Chase Bank, N.A.	102,443.01
Holding Account	JPMorgan Chase Bank, N.A.	24,010.03
Investment CD	Provident Bank	100,173.27
Investment CD	Sterling Bank	100,114.49
Investment CD	Sterling Bank	100,114.49
Investment CD	Sterling Bank	100,099.18
Investment CD	Sterling Bank	100,099.59
Investment CD	Sterling Bank	100,095.80
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	204,303.56
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	837,024.87
<b>50180 - NYS PSYCHIATRIC INSTITUTE</b>		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,799.21
General	JPMorgan Chase Bank, N.A.	12,530.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,701.69
<b>50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER</b>		
Advance Account	Key Bank	20,687.91
Clients Count	Key Bank	161,467.93
Holding Account	Key Bank	19,582.63
<b>50200 - PILGRIM PSYCHIATRIC CENTER</b>		
Facility Advance Account	JPMorgan Chase Bank, N.A.	92,545.08
Facility Holding Acct	JPMorgan Chase Bank, N.A.	163,931.40
Family Care Advance Account	JPMorgan Chase Bank, N.A.	0.00
Patient Cash	JPMorgan Chase Bank, N.A.	624,406.57
<b>50210 - MOHAWK VALLEY PSYCHIATRIC CENTER</b>		
Advance Fund	First Niagara Bank	16,494.66
Facility Holding	First Niagara Bank	32,270.91
Patient Cash Checking	First Niagara Bank	29,032.28
Patient Cash Savings	First Niagara Bank	52,260.50
Security Deposit	First Niagara Bank	6,497.17
<b>50310 - BRONX PSYCHIATRIC CENTER</b>		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,470.49
Misc. Receipts	JPMorgan Chase Bank, N.A.	26,857.13

Patients Cash MM	JPMorgan Chase Bank, N.A.	84,385.53
Patients Checking Acct	JPMorgan Chase Bank, N.A.	647,955.39
<b>50340 - NATHAN KLINE INSTITUTE</b>		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,170.08
<b>50350 - KIRBY FORENSIC PSYCHIATRIC CENTER</b>		
Consolidated Advance Account	Hudson Valley National Bank	18,171.06
Holding Account	Hudson Valley National Bank	9,641.97
Patient Cash Account	Hudson Valley National Bank	78,334.37
<b>50390 - CENTRAL NY PSYCHIATRIC CENTER</b>		
Agency Advance Account	First Niagara Bank	9,670.50
General Fund	First Niagara Bank	15,699.93
Patient Checking	First Niagara Bank	21,466.73
Patient Savings	First Niagara Bank	125,856.49
Security Deposit	First Niagara Bank	1,581.48
<b>50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER</b>		
Facility Advance Account	Key Bank	15,975.00
Facility Holding Account	Key Bank	2,255.27
Patients Account	Key Bank	133,141.87
<b>50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER</b>		
Petty Cash Advance Account	Banco Popular	6,807.36
<b>50790 - SOUTH BEACH PSYCHIATRIC CENTER</b>		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	13,951.01
Facility Holding Checking	JPMorgan Chase Bank, N.A.	13,810.24
Family Care Checking	JPMorgan Chase Bank, N.A.	1,500.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	162,027.00
<b>50800 - BRONX CHILDRENS PSYCHIATRIC CENTER</b>		
General Fund	JPMorgan Chase Bank, N.A.	No report received
<b>50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER</b>		
Agency Advance	M&T Bank	2,165.32
Exchange Account	M&T Bank	3,301.97
Patients Cash Acct	M&T Bank	0.00
<b>50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER</b>		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	37,070.02
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	17,995.00
<b>50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER</b>		
Advance Account	JPMorgan Chase Bank, N.A.	7,942.43
<b>50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER</b>		
Money Management Account	HSBC	16,095.21
Queens Adv Acct	HSBC	9,016.21
Queens Childrens Account	HSBC	6,059.00
<b>50920 - ELMIRA PSYCHIATRIC CENTER</b>		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,103.59
General Fund Account	Chemung Canal Trust	5,585.58
IMMA	Chemung Canal Trust	43,813.88
Patients Fund Account	Chemung Canal Trust	149,748.44
<b>50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER</b>		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
<b>51000 - OFFICE OF MENTAL RETARDATION &amp; DEVELOPMENTAL DISABILITIES</b>		
DIS Funded Depreciation Acct	Bank of America, N.A.	549.99
MMIS Intercept Debt Serv Acct	Bank of America, N.A.	No report received
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	4,604,194.31
Travel Advance Account	Bank of America, N.A.	No report received
<b>51210 - HUDSON VALLEY DDSO</b>		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
<b>51240 - CENTRAL NY DDSO</b>		
Advance Account	M&T Bank	18,000.00
Advance Accounts	Key Bank	16,243.85
Clients Account	Key Bank	71,938.19
Consumer Cash - Checking	M&T Bank	27,557.82
Consumer Cash - Savings	Key Bank	1,789,233.80
Consumer Cash - Savings	M&T Bank	2,415,612.18



EBT Checking Account	Key Bank	206,916.17
Exchange Account	Key Bank	27,043.98
<b>51250 - TACONIC DDSO</b>		
Agency Advance Account	M&T Bank	55,413.91
Electronic Benefits Transfer	M&T Bank	79,765.51
General Fund	M&T Bank	49,650.74
Patients Cash Checking	M&T Bank	89,778.65
Patients Fund Savings	M&T Bank	2,258,016.35
<b>51270 - STATEN ISLAND DDSO</b>		
Clients Cash Account	JPMorgan Chase Bank, N.A.	423,528.22
General Fund Account	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	274,772.92
Petty Cash Account	JPMorgan Chase Bank, N.A.	5,426.02
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	0.00
<b>51290 - CAPITAL DISTRICT DDSO</b>		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
<b>51330 - WESTERN NY DDSO</b>		
Agency Advance Account	M&T Bank	58,059.56
CD-Patient Property Funds	Key Bank	3,374,519.82
Exchange Account	M&T Bank	23,935.48
Food Stamp Account	M&T Bank	256,785.62
General Account	Community Bank	242,420.62
Patient Property Funds	M&T Bank	185,766.93
WNY DDSO Perrysburg Client Cash	M&T Bank	352,070.23
<b>51350 - LONG ISLAND DDSO</b>		
Consolidated Advance	JPMorgan Chase Bank, N.A.	13,113.69
EBT Checking Account	JPMorgan Chase Bank, N.A.	616,117.11
General Fund	JPMorgan Chase Bank, N.A.	20,628.15
Patient Cash	JPMorgan Chase Bank, N.A.	1,601,683.26
<b>51380 - BROOKLYN DDSO</b>		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	No report received
Consumers Money Management	JPMorgan Chase Bank, N.A.	No report received
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	No report received
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	No report received
<b>51420 - SUNMOUNT DDSO</b>		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
EBT	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
<b>51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES</b>		
Petty Cash	JPMorgan Chase Bank, N.A.	12,526.24
<b>51450 - METRO NY DDSO</b>		
Consolidated Acct	JPMorgan Chase Bank, N.A.	19,199.33
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	47,261.97
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	101,243.17
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	692,307.40
Patients Cash Account	JPMorgan Chase Bank, N.A.	22,761.60
Patients Money Market Account	JPMorgan Chase Bank, N.A.	61.01
Petty Cash	JPMorgan Chase Bank, N.A.	36.50
<b>51470 - BERNARD M FINESON DDSO</b>		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	82,136.00
Money Market Account	JPMorgan Chase Bank, N.A.	303,316.14
Patient Fund Account	JPMorgan Chase Bank, N.A.	686,858.02
Petty Cash Fund	JPMorgan Chase Bank, N.A.	29,422.45
<b>51780 - FINGER LAKES DDSO</b>		
Advance Account	JPMorgan Chase Bank, N.A.	81,599.70
F.L. Newark Resident Checking	Community Bank	56,129.72
Finger Lakes Geneseo CD	Key Bank	1,000,000.00
Finger Lakes Monroe CD	Key Bank	800,000.00
Finger Lakes Newark CD	Key Bank	1,850,000.00
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	328,268.95

FL Vending Machine Account	Community Bank	101,682.90
General Account	JPMorgan Chase Bank, N.A.	73,194.29
Geneseo Client Cash	Bank of America, N.A.	11,202.27
Kelsey Trust Fund	Bank of America, N.A.	5,000.00
Mary Moore Trust Fund	Bank of America, N.A.	20,000.00
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	188,538.20
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	100,051.67
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	1,011,037.09
<b>51940 - BROOME DDSO</b>		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	First Niagara Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
<b>53000 - OFFICE OF ALCOHOLISM &amp; SUBSTANCE ABUSE SERVICES</b>		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
<b>53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER</b>		
Petty Cash Advance Account	Bank of America, N.A.	967.58
<b>55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY &amp; INNOVATION</b>		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
<b>70000 - CUNY UNIVERSITY MANAGEMENT &amp; PROGRAM BOARD OF HIGHER EDUCATION</b>		
CUNY Admin Imprest Cash Account	Citibank	No report received
CUNY Admin UAO Travel Advance	Citibank	No report received
<b>70030 - CUNY HUNTER COLLEGE</b>		
Travel Petty Cash Account	Citibank	No report received
<b>70060 - CUNY JOHN JAY COLLEGE</b>		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	No report received
<b>70070 - CUNY LEHMAN COLLEGE</b>		
Lehman College	Citibank	No report received
<b>70080 - CUNY YORK COLLEGE</b>		
York College Imprest Fund	JPMorgan Chase Bank, N.A.	No report received
York College Imprest Funds	Citibank	No report received
York College Travel Advance Fund	Citibank	No report received
York College Travel Allowance	JPMorgan Chase Bank, N.A.	No report received
<b>70100 - CUNY COLLEGE OF STATEN ISLAND</b>		
CSI Imprest Cash	TD Bank	No report received
<b>70120 - CUNY NYC COLLEGE OF TECHNOLOGY</b>		
NY City College Of Technology College Travel Fund	Popular Community Bank	No report received
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	No report received
<b>70150 - CUNY SCHOOL OF LAW</b>		
CUNY School Of Law	TD Bank	No report received

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*  
**Christopher Curtis** Deputy Commissioner and State Treasurer

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE  
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 09/30/2016**

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 9/30/2016
<b>COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND</b>			
0226	Upstate Community Colleges, FIT Series 2000A	Key Bank	54,835.63
0231	Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232	Upstate Community Colleges, Series 2005B	Key Bank	118,173.94
0233	Upstate Community Colleges, Series 2005C	Key Bank	109,010.07
<b>DORMITORY AUTHORITY OF THE STATE OF NEW YORK</b>			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	72,344,256.89
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	11,339.88
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	161,731.51
0149	State Advances Repayment Account	Bank of America, N.A.	53.09
1202	DA DFRF MAC #1202 Binghamton	Key Bank	26,442,060.85
1203	DA DFRF MAC #1203 Oneonta	Key Bank	6,479,003.64
1205	DA DFRF MAC #1205 Delhi	Key Bank	2,147,285.74
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	5,731,517.61
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	2,166,920.73
1208	DA DFRF MAC #1208 Alfred	Key Bank	4,509,414.86
1209	DA DFRF Mac #1209 Fredonia	Key Bank	5,087,518.28
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	1,613,817.01
1212	DA DFRF Mac #1212 Oswego	Key Bank	9,585,113.72
1214	DA DFRF Mac #1214 Cortland	Key Bank	5,645,919.84
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	28,017,053.17
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	805,450.33
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	511,024.62
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	1,154,182.02
1219	DA DFRF MAC #1219 Maritime	Key Bank	3,319,389.74
1221	DA DFRF MAC #1221 Brockport	Key Bank	3,704,474.79
1222	DA DFRF MAC #1222 Geneseo	Key Bank	8,582,818.22
1223	DA DFRF MAC #1223 Purchase	Key Bank	9,192,419.13
1224	DA DFRF MAC #1224 New Paltz	Key Bank	8,574,411.93
1225	DA DFRF MAC #1225 Canton	Key Bank	1,808,354.66
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	3,457,583.59
1227	DA DFRF MAC #1227 Potsdam	Key Bank	2,295,224.15
1228	DA DFRF MAC #1228 Morrisville	Key Bank	434,349.52
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	1,299,419.04
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	3,087,194.81
1239	DA DFRF MAC #1239 Albany	Key Bank	14,018,385.77
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	6,421,513.49
2203	DA OMRR MAC #2203 Oneonta	Key Bank	52.36
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,930,505.97
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	1,660,901.90
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	611,303.14
2208	DA OMRR MAC #2208 Alfred	Key Bank	53.26
2209	DA OMRR MAC #2209 Fredonia	Key Bank	99.30
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	87.05
2212	DA OMRR MAC #2212 Oswego	Key Bank	101.63
2214	DA OMRR MAC #2214 Cortland	Key Bank	3,448,210.60
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	237.23
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	953,376.47
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	57.01
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	123,397.86
2219	DA OMRR MAC #2219 Maritime	Key Bank	1,339,753.31
2221	DA OMRR MAC #2221 Brockport	Key Bank	98.11
2222	DA OMRR MAC #2222 Geneseo	Key Bank	2,222,296.49
2223	DA OMRR MAC #2223 Purchase	Key Bank	107.80
2224	DA OMRR MAC #2224 New Paltz	Key Bank	89.69
2225	DA OMRR MAC #2225 Canton	Key Bank	81.96
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	95.96
2227	DA OMRR MAC #2227 Potsdam	Key Bank	74.01
2228	DA OMRR MAC #2228 Morrisville	Key Bank	60.01
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	172,362.99
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	2,837,937.85
2239	DA OMRR MAC #2239 Albany	Key Bank	150.83
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	9,990,890.99
<b>HOMELESS HOUSING ASSISTANCE CORPORATION</b>			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	6,046,844.77

**NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION**

0315	Nelson A. Rockefeller Empire State Plaza Performing Arts Center Corporation	Key Bank	44,584.00
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**NEW YORK CONVENTION CENTER**

0300	Operating Fund	JPMorgan Chase Bank, N.A.	5,223,688.10
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**NEW YORK JOB DEVELOPMENT AUTHORITY**

0036	Special Purpose Fund	Bank of America, N.A.	1,277,633.97
0371	Series H Commercial Paper	Bank of America, N.A.	300,934.74
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	90,533.48
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,862.27
0423	Escrow Account for USA Industries Inc.	Key Bank	37,266.75
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,778.18

**NYS AFFORDABLE HOUSING CORPORATION**

0491	Disbursement Account	JPMorgan Chase Bank, N.A.	898,370.30
0520	Development Account	Key Bank	4,244,994.34
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	0.00
0523	Recapture Account	Key Bank	0.00
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00

**NYS DEPARTMENT OF TAXATION AND FINANCE**

0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	212,523.89
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,046.74
0626	Criminal Investigation Division	Key Bank	602,646.83
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	1,299,540.18
0827	Stock Transfer Incentive Fund	Key Bank	1,031,663.27
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00

**NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY**

0028	Green Jobs - Green New York Fund	Bank of America, N.A.	7,450,153.93
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	1,424,636.33

**NYS HOUSING FINANCE AGENCY**

0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	31,783.60
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	36,262.44
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,721,021.94
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	121,876.24
0266	Community Related Programs Operating Account	Key Bank	1,114,464.55
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	280.85
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	32.77
0270	Claims Repayment Account	Key Bank	104,304.38
0271	Pre-Bond Revenue Account	Key Bank	129,766.72
0272	TCAP Account	Key Bank	213.97
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	192,980.37
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	2.40
0283	Small Owner's Assistance Program Account	Key Bank	23,632.46
0285	Public Purpose Account	Key Bank	2,014,643.00
0286	Disbursement Account	Key Bank	2,844,519.73
0287	Infrastructure Development Fund	Key Bank	23,271.34
0288	Mobile Home Cooperative Fund	Key Bank	6,440.77
0290	Low Rent Lease Account	Key Bank	177,196.42
0292	Homeless Housing Initiatives	Key Bank	242,145.01
0295	Castleton Park Escrow	Key Bank	0.00
0296	NYC Contribution PHHF	Key Bank	51,791.12
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,000.00

**NYS HOUSING TRUST FUND CORPORATION**

0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	12,554.60
0460	Escrow Account	M&T Bank	1,744,071.52
0461	Housing Modernization Account	M&T Bank	1,223,523.87
0462	General Custodial Account	M&T Bank	47,960,713.46
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	6,303,168.10
0466	Homes for Working Families Account	M&T Bank	9,385,596.53
0467	Section 8 Administrative Account	M&T Bank	6,323,026.39
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	18,331,373.94
0470	HCV Main Account	Bank of America, N.A.	16,017,442.10
0471	Empire State Relief Fund	M&T Bank	1,623,991.51

0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,928,419.93
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	250,178.93
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	535,300.95
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	3,758,753.52
0891	Small Cities Community Development Block Grant Program	M&T Bank	30,412.46
0892	Disaster Recovery Initiative Account	M&T Bank	27,843.98
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	5,090,626.09
<b>NYS INSURANCE DEPARTMENT</b>			
0001	Property/Casualty Insurance Security Fund	Key Bank	2,615,650.69
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	694,665.41
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	794,684.86
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	746,480.54
<b>NYS TEACHERS RETIREMENT SYSTEM</b>			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	(8,135,042.12)
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	1,912,409.90
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
<b>STATE INSURANCE FUND</b>			
0053	State Insurance Fund	Bank of America, N.A.	133,656,336.51
0054	State Insurance Fund	Bank of America, N.A.	8,535,633.41
0055	State Insurance Fund	Bank of America, N.A.	9,061,929.93
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	43,396.33
<b>STATE UNIVERSITY CONSTRUCTION FUND</b>			
0034	Income Fund	Key Bank	583,609.30
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,420.27
0870	Deductions Account	Key Bank	181,725.07
0871	Bio-Tech Incubator at Farmingdale	Key Bank	1.90
<b>WORKERS COMPENSATION BOARD</b>			
0006	Fund for Reopened Cases	Bank of America, N.A.	2,848,352.17
0007	Special Disability Fund	Bank of America, N.A.	(4,897,621.81)
0008	Special Fund for Disability Benefits	Bank of America, N.A.	289,726.00
0008	Special Fund for Disability Benefits	Key Bank	328,540.90
0011	Uninsured Employers Fund	Bank of America, N.A.	2,063,803.86
0012	WCB Asset Transfer Account	Bank of America, N.A.	770.53
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	91,661.37

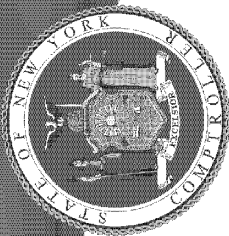
The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

*Division of the Treasury, Department of Taxation and Finance*

**Christopher Curtis** *Deputy Commissioner and State Treasurer*







Office of the NEW YORK STATE  
COMPTROLLER

# Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTEMBER 2016

NYS Comptroller  
**THOMAS P. DINAPOLI**

Office of Operations  
Division of Payroll, Accounting and Revenue Services  
Bureau of Financial Reporting and Oil Spill Remediation



**STATE OF NEW YORK**  
**OFFICE OF OPERATIONS**  
**DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES**  
**BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION**

**THOMAS P. DINAPOLI**  
**STATE COMPTROLLER**

**COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING**

September 30, 2016

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## EXHIBIT A

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(Amounts in millions)

	GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease		
RECEIPTS:																		
(4) Personal Income Tax	\$ 3,419.9	\$ 17,094.6	\$ 108.4	\$ 529.9	\$ 1,178.1	\$ 5,674.8	\$ -	\$ -	\$ -	\$ -	\$ 4,704.4	\$ 23,499.3	\$ 5,122.9	\$ 24,233.6	\$ (734.3)	-3.0%		
Consumption/Use Taxes	713.5	3,560.5	183.5	1,094.4	652.5	3,273.8	70.2	321.2			1,619.7	8,248.9	1,614.6	8,118.5	130.4	1.6%		
Business Taxes	1,078.5	2,304.6	246.9	738.2	-	-	57.5	321.8			1,382.9	3,364.6	1,351.1	3,729.3	(364.7)	-9.8%		
Other Taxes	88.3	549.2	104.7	608.9	88.8	536.8	11.9	47.6			293.7	1,742.5	350.4	2,110.2	(367.7)	-17.4%		
Miscellaneous Receipts	282.0	1,447.1	1,877.8	8,813.4	42.1	231.7	655.8	1,777.5			2,857.7	12,269.7	2,448.9	13,285.3	(1,015.6)	-7.6%		
Federal Receipts	-	0.3	5,019.4	24,622.8	36.7	392.4	1,255.2				5,381.8	25,915.0	4,371.2	23,726.2	2,188.8	9.2%		
Total Receipts	5,582.2	24,995.3	7,540.7	36,367.6	1,958.5	9,953.8	1,157.8	3,723.3			16,240.2	75,040.0	15,259.1	75,203.1	(163.1)	-0.2%		
DISBURSEMENTS:																		
(3) Local Assistance Grants:																		
Education	1,854.8	10,205.7	2,388.4	4,319.6	-	-	2.7	16.4			4,245.9	14,541.7	5,766.8	15,590.3	(1,048.6)	-6.7%		
Environment and Recreation	0.3	3.4	0.5	3.5	-	-	5.2	43.3			6.0	50.2	17.1	53.1	(2.9)	-5.5%		
General Government	99.7	687.1	5.2	125.8	-	-	35.4	159.0			140.3	971.9	128.3	837.5	134.4	16.0%		
Public Health:																		
Medicaid	1,261.3	7,224.5	2,552.0	18,320.7	-	-	-	-			3,813.3	25,545.2	4,790.4	24,458.5	1,086.7	4.4%		
Other Public Health	200.4	797.7	676.5	3,456.7	-	-	11.8	46.6			888.7	4,301.0	670.2	3,332.9	988.1	29.0%		
Public Safety	26.8	79.3	108.2	753.9	-	-	10.4	18.3			146.4	851.5	288.1	958.0	(106.5)	-11.1%		
Public Welfare	194.7	1,325.2	976.5	2,450.8	-	-	15.7	72.5			1,188.9	3,846.5	982.1	4,132.5	(284.0)	-6.9%		
Support and Regulate Business	16.4	81.2	3.4	14.9	-	-	40.2	441.0			60.0	537.1	87.6	506.2	30.9	6.1%		
Transportation	-	58.2	432.9	2,397.6	-	-	232.0	534.4			684.9	2,990.2	603.5	2,653.7	336.5	12.7%		
Total Local Assistance Grants	3,654.4	20,462.3	7,148.6	31,843.5	-	-	353.4	1,331.5			11,154.4	53,637.3	13,295.1	52,522.7	1,114.6	2.1%		
Departmental Operations:																		
Personal Service	577.8	3,116.5	681.0	3,802.7	-	-	-	-			1,258.8	6,919.2	1,059.7	6,755.4	163.8	2.4%		
Non-Personal Service	171.3	689.5	481.6	2,342.9	3.4	24.1	-	-			656.3	3,256.5	773.6	3,283.3	(26.8)	-0.8%		
General State Charges	-	3,791.9	453.4	1,164.1	-	-	-	-			453.4	4,956.0	573.4	4,811.9	144.1	3.0%		
Debt Service, Including Payments on																		
Financing Agreements	-	-	-	-	790.0	1,463.2	-	-			790.0	1,463.2	732.5	1,675.2	(212.0)	-12.7%		
Capital Projects	4,403.5	28,260.2	1.6	2.4	-	-	689.1	3,164.0			690.7	3,168.4	857.4	3,129.0	37.4	1.2%		
Total Disbursements	4,403.5	28,260.2	8,764.2	39,155.6	793.4	1,487.3	1,042.5	4,495.5			15,003.6	73,398.6	17,291.7	72,177.5	1,221.1	1.7%		
Excess (Deficiency) of Receipts over Disbursements	1,178.7	(3,264.9)	(1,223.5)	(2,788.0)	1,166.1	8,466.5	115.3	(772.2)			1,236.6	1,641.4	(2,032.6)	3,025.6	(1,384.2)	-45.7%		
OTHER FINANCING SOURCES (USES):																		
(2) Bond Proceeds (net)	-	-	-	-	-	-	-	-			-	-	-	-	-	0.0%		
Transfers from Other Funds	2,178.5	9,030.1	196.5	4,383.1	331.1	1,371.8	(0.5)	1,199.2			2,705.6	15,984.2	3,443.2	17,432.8	(1,448.6)	-8.3%		
(2) Transfers to Other Funds	(20.4)	(5,133.5)	(184.9)	(836.4)	(2,231.3)	(9,623.5)	(265.3)	(415.0)			(2,702.9)	(16,008.4)	(3,443.4)	(17,486.8)	(1,478.4)	-8.5%		
Total Other Financing Sources (Uses)	2,158.1	3,896.6	11.6	3,546.7	(1,900.2)	(8,251.7)	(265.8)	784.2			2.7	(24.2)	(5.2)	(54.0)	28.8	55.2%		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,336.8	631.7	(1,211.9)	758.7	(734.1)	214.8	(151.5)	12.0			1,238.3	1,617.2	(2,037.8)	2,971.6	(1,354.4)	-45.6%		
Beginning Fund Balances (Deficits)	6,228.0	8,934.1	5,577.7	3,607.1	1,108.6	159.7	(727.3)	(890.8)			12,188.0	11,810.1	14,365.0	9,355.6	2,454.5	26.2%		
Ending Fund Balances (Deficits)	\$ 9,565.8	\$ 9,565.8	\$ 4,365.8	\$ 4,365.8	\$ 374.5	\$ 374.5	\$ (878.8)	\$ (878.8)			\$ 13,427.3	\$ 13,427.3	\$ 12,327.2	\$ 12,327.2	\$ 1,100.1	8.9%		

**STATE OF NEW YORK  
GOVERNMENTAL FUNDS-STATE OPERATING (\*)  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(Amounts in millions)**

**EXHIBIT A  
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS			
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015
<b>RECEIPTS:</b>										
Personal Income Tax	(4)	\$ 3,419.9	\$ 17,094.6	\$ 108.4	\$ 529.9	\$ 1,176.1	\$ 5,874.8	\$ 23,499.3	\$ 5,122.9	\$ 24,233.6
Consumption/Use Taxes		713.5	3,593.5	183.5	1,054.4	652.5	3,273.8	1,549.5	1,544.9	7,798.9
Business Taxes		1,078.5	2,304.6	246.9	738.2	-	-	3,042.8	1,295.6	3,407.6
Other Taxes		88.3	549.2	104.7	608.9	88.8	536.8	281.8	338.5	2,062.6
Miscellaneous Receipts		282.0	1,447.1	1,888.8	8,708.4	42.1	231.7	2,192.9	2,144.8	11,476.2
Federal Receipts		-	0.3	(0.1)	(0.6)	-	36.7	(0.1)	0.1	36.7
<b>Total Receipts</b>		<b>5,582.2</b>	<b>24,995.3</b>	<b>2,512.2</b>	<b>11,639.2</b>	<b>1,959.5</b>	<b>9,953.8</b>	<b>46,588.3</b>	<b>10,446.8</b>	<b>49,015.6</b>
<b>DISBURSEMENTS:</b>										
Local Assistance Grants:	(3)									
Education		1,854.8	10,205.7	2,261.6	2,985.8	-	-	13,191.5	5,498.3	13,951.4
Environment and Recreation		0.3	3.4	0.2	1.2	-	-	4.6	0.2	4.6
General Government		99.7	687.1	3.6	107.1	-	-	794.2	116.6	767.8
Public Health:										
Medicaid		1,261.3	7,224.5	406.0	2,786.0	-	-	10,010.5	1,957.6	9,661.0
Other Public Health		200.4	797.7	306.9	1,195.7	-	-	1,953.4	426.4	1,772.0
Public Safety		26.8	79.3	15.7	78.9	-	-	158.2	39.9	169.2
Public Welfare		194.7	1,325.2	0.1	2.6	-	-	1,327.8	424.3	1,473.9
Support and Regulate Business		16.4	81.2	3.4	13.3	-	-	94.5	78.3	111.6
Transportation		-	55.2	428.7	2,365.1	-	-	2,423.3	400.8	2,254.5
<b>Total Local Assistance Grants</b>		<b>3,654.4</b>	<b>20,462.3</b>	<b>3,428.2</b>	<b>9,495.7</b>	<b>-</b>	<b>-</b>	<b>29,958.0</b>	<b>8,942.4</b>	<b>30,166.0</b>
Departmental Operations:										
Personal Service		577.8	3,116.5	633.9	3,490.8	-	-	6,607.3	1,017.2	6,462.3
Non-Personal Service		171.3	889.5	302.9	1,676.5	3.4	24.1	2,590.1	549.5	2,644.5
General State Charges		-	3,791.9	416.6	1,032.8	-	-	4,824.7	558.9	4,679.5
Debt Service, Including Payments on Financing Agreements		-	-	-	-	790.0	1,463.2	1,463.2	732.5	1,675.2
Capital Projects	(1)	-	-	1.6	2.4	-	-	2.4	0.3	0.6
<b>Total Disbursements</b>		<b>4,403.5</b>	<b>28,260.2</b>	<b>4,781.2</b>	<b>15,698.2</b>	<b>793.4</b>	<b>1,487.3</b>	<b>45,445.7</b>	<b>11,800.8</b>	<b>45,628.1</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>		<b>1,178.7</b>	<b>(3,264.9)</b>	<b>(2,269.0)</b>	<b>(4,059.0)</b>	<b>1,166.1</b>	<b>8,466.5</b>	<b>1,142.6</b>	<b>(1,354.0)</b>	<b>3,387.5</b>
<b>OTHER FINANCING SOURCES (USES):</b>										
Transfers from Other Funds	(2)	2,178.5	9,030.1	218.6	4,604.0	331.1	1,371.8	15,005.9	3,107.1	16,739.6
Transfers to Other Funds	(2)	(20.4)	(5,133.5)	(42.5)	(131.0)	(2,231.3)	(9,623.5)	(14,888.0)	(2,942.8)	(16,162.7)
<b>Total Other Financing Sources (Uses)</b>		<b>2,158.1</b>	<b>3,896.6</b>	<b>176.1</b>	<b>4,473.0</b>	<b>(1,900.2)</b>	<b>(8,251.7)</b>	<b>117.9</b>	<b>164.3</b>	<b>576.9</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>		<b>3,336.8</b>	<b>631.7</b>	<b>(2,092.9)</b>	<b>414.0</b>	<b>(734.1)</b>	<b>214.8</b>	<b>1,260.5</b>	<b>(1,189.7)</b>	<b>3,964.4</b>
<b>Beginning Fund Balances (Deficits)</b>		<b>6,229.0</b>	<b>8,934.1</b>	<b>6,054.3</b>	<b>3,547.4</b>	<b>1,108.6</b>	<b>159.7</b>	<b>12,641.2</b>	<b>15,044.9</b>	<b>9,890.8</b>
<b>Ending Fund Balances (Deficits)</b>		<b>\$ 9,565.8</b>	<b>\$ 9,565.8</b>	<b>\$ 3,961.4</b>	<b>\$ 3,961.4</b>	<b>\$ 374.5</b>	<b>\$ 374.5</b>	<b>\$ 13,901.7</b>	<b>\$ 13,855.2</b>	<b>\$ 13,855.2</b>

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.  
(\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**GOVERNMENTAL FUNDS FOOTNOTES**

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$119.8 million
Urban Development Corporation (Youth Facilities)	13.9
Housing Finance Agency (HFA)	201.9
Housing Assistance Fund	13.6
Dormitory Authority (Mental Hygiene)	421.1
Dormitory Authority and State University Income Fund	412.2
Federal Capital Projects	447.8
State bond and note proceeds	34.9

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

**General Fund** - "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$686.1 million
General Debt Service Fund	308.7
Banking Services Account	21.7
Court Facilities Incentive Aid Fund	60.4
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	363.6
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation (Non-MTA)	2.5
Environmental Protection Fund	38.0
Hazardous Waste Remediation Oversight and Assistance Account	5.0
Housing Debt Service Fund	3.4
Mental Hygiene Program Fund	850.0
Mental Hygiene Patient Income Account	850.0
MTA Operating Assistance Fund	24.3
MTA Financial Assistance Fund	190.8
NYC County Courts Operating Fund	4.4
SUNY - Hospital IFR	29.3
SUNY - Income Fund	816.9

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$7.1m), the State University Income Fund (\$169.3m), the Mental Hygiene Program Account (\$639.1m) and Miscellaneous State Special Revenue Account (\$0.4m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2016 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

**EXHIBIT A NOTES**  
September 2016

**Special Revenue Funds** - "Transfers To Other Funds" includes transfers to Debt Service funds of (\$936.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds (\$72.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Certificate of Need Account	\$1.1 million
Dept of Labor - Fee & Penalty Account	8.4
Examination & Miscellaneous Revenue Account	1.6
Energy Research Account	3.9
Federal Dept of Health & Human Services Fund	47.4
Federal USDA/Food and Nutrition Services Fund	10.1
Unemployment Insurance Administration Fund	9.5
Unemployment Insurance - Interest & Penalty Account	3.3
SUNY Income Fund	15.3

**Debt Service Funds** - "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$5,475.7 million
Local Government Assistance Tax Fund	1,594.7
Sales Tax Revenue Bond Tax Fund	1,339.9
Clean Water/Clean Air Fund	502.9

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$72.6m) and Mental Hygiene (\$637.9m).

**Capital Projects Funds** - "Transfers To Other Funds" includes transfers to the General Fund (\$12.2m), the General Debt Service Fund (\$205.4m), and the Revenue Bond Tax Fund (\$191.2m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 2,820,158
Medicaid Recoveries - Audit	-	854,361
Medicaid Recoveries - Third Parties	-	14,036,573
Pharmacy Rebates	-	1,777,803
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	\$ -	\$ 19,488,895

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$524.8m) as of September 30, 2016.

STATE OF NEW YORK  
PROPRIETARY FUNDS  
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
(Amounts in millions)

EXHIBIT B

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	6 MOS. ENDED SEPT. 30, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>RECEIPTS:</b>												
Miscellaneous Receipts	\$ 8.6	\$ 32.7	\$ 29.5	\$ 188.2	\$ 188.2	\$ 38.1	\$ 220.9	\$ 73.3	\$ 294.7	\$	\$ (73.8)	-25.0%
Federal Receipts	1.5	8.6	-	-	-	1.5	8.6	4.7	15.4		(6.8)	-44.2%
Unemployment Taxes	150.9	1,002.4	-	-	-	150.9	1,002.4	176.9	1,100.9		(98.5)	-8.9%
<b>Total Receipts</b>	<b>161.0</b>	<b>1,043.7</b>	<b>29.5</b>	<b>188.2</b>	<b>188.2</b>	<b>190.5</b>	<b>1,231.9</b>	<b>254.9</b>	<b>1,411.0</b>		<b>(179.1)</b>	<b>-12.7%</b>
<b>DISBURSEMENTS:</b>												
Departmental Operations:												
Personal Service	0.1	1.7	7.7	48.3	48.3	7.8	50.0	7.0	46.0		4.0	8.7%
Non-Personal Service	5.9	25.7	39.0	264.1	264.1	44.9	289.8	80.1	282.5		7.3	2.6%
General State Charges	0.1	0.4	2.9	21.2	21.2	3.0	21.6	0.6	20.2		1.4	6.9%
Unemployment Benefits	152.1	1,054.9	-	-	-	152.1	1,054.9	167.0	1,096.6		(41.7)	-3.8%
<b>Total Disbursements</b>	<b>158.2</b>	<b>1,082.7</b>	<b>49.6</b>	<b>333.6</b>	<b>333.6</b>	<b>207.8</b>	<b>1,416.3</b>	<b>254.7</b>	<b>1,445.3</b>		<b>(29.0)</b>	<b>-2.0%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>2.8</b>	<b>(39.0)</b>	<b>(20.1)</b>	<b>(145.4)</b>	<b>(145.4)</b>	<b>(17.3)</b>	<b>(184.4)</b>	<b>0.2</b>	<b>(34.3)</b>		<b>(150.1)</b>	<b>-437.6%</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Transfers from Other Funds	-	-	4.5	21.7	21.7	4.5	21.7	13.1	30.8		(9.1)	-29.5%
Transfers to Other Funds	-	-	(7.5)	(7.7)	(7.7)	(7.5)	(7.7)	(7.8)	(7.9)		(0.2)	-2.5%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>(3.0)</b>	<b>14.0</b>	<b>14.0</b>	<b>(3.0)</b>	<b>14.0</b>	<b>5.3</b>	<b>22.9</b>		<b>(8.9)</b>	<b>-38.9%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2.8</b>	<b>(39.0)</b>	<b>(23.1)</b>	<b>(131.4)</b>	<b>(131.4)</b>	<b>(20.3)</b>	<b>(170.4)</b>	<b>5.5</b>	<b>(11.4)</b>		<b>(159.0)</b>	<b>-1,394.7%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>24.3</b>	<b>66.1</b>	<b>(235.5)</b>	<b>(127.2)</b>	<b>(127.2)</b>	<b>(211.2)</b>	<b>(61.1)</b>	<b>(163.0)</b>	<b>(146.1)</b>		<b>85.0</b>	<b>58.2%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>\$ 27.1</b>	<b>\$ 27.1</b>	<b>\$ (258.6)</b>	<b>\$ (258.6)</b>	<b>\$ (258.6)</b>	<b>\$ (231.5)</b>	<b>\$ (231.5)</b>	<b>\$ (157.5)</b>	<b>\$ (157.5)</b>		<b>\$ (74.0)</b>	<b>-47.0%</b>



## STATE OF NEW YORK

## EXHIBIT C

**TRUST FUNDS**  
**COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES**  
 (Amounts in millions)

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016		MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016		MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	\$ Increase/ (Decrease)	% Increase Decrease
<b>RECEIPTS:</b>												
Miscellaneous Receipts	\$ 4.8	\$ 33.3	\$ 0.1	\$ 0.1	\$ (1.2)		\$ 4.9	\$ 32.1	\$ 5.0	\$ 58.0	\$ (25.9)	-44.7%
<b>Total Receipts</b>	<b>4.8</b>	<b>33.3</b>	<b>0.1</b>	<b>0.1</b>	<b>(1.2)</b>		<b>4.9</b>	<b>32.1</b>	<b>5.0</b>	<b>58.0</b>	<b>(25.9)</b>	<b>-44.7%</b>
<b>DISBURSEMENTS:</b>												
Departmental Operations:												
Personal Service	4.7	30.7	-	-	0.1		4.7	30.8	4.7	31.4	(0.6)	-1.9%
Non-Personal Service	0.9	6.1	-	-	-		0.9	6.1	0.6	5.3	0.8	15.1%
General State Charges	-	11.5	0.1	0.1	0.1		0.1	11.6		14.4	(2.8)	-19.4%
<b>Total Disbursements</b>	<b>5.6</b>	<b>48.3</b>	<b>0.1</b>	<b>0.1</b>	<b>0.2</b>		<b>5.7</b>	<b>48.5</b>	<b>5.3</b>	<b>51.1</b>	<b>(2.6)</b>	<b>-5.1%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>(0.8)</b>	<b>(15.0)</b>	<b>-</b>	<b>-</b>	<b>(1.4)</b>		<b>(0.8)</b>	<b>(16.4)</b>	<b>(0.3)</b>	<b>6.9</b>	<b>(23.3)</b>	<b>-337.7%</b>
<b>OTHER FINANCING SOURCES (USES):</b>												
Transfers from Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
<b>Total Other Financing Sources (Uses)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.0%</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(0.8)</b>	<b>(15.0)</b>	<b>-</b>	<b>-</b>	<b>(1.4)</b>		<b>(0.8)</b>	<b>(16.4)</b>	<b>(0.3)</b>	<b>6.9</b>	<b>(23.3)</b>	<b>-337.7%</b>
<b>Beginning Fund Balances (Deficits)</b>	<b>(14.1)</b>	<b>0.1</b>	<b>10.2</b>	<b>10.2</b>	<b>11.6</b>		<b>(3.9)</b>	<b>11.7</b>	<b>1.8</b>	<b>(5.4)</b>	<b>17.1</b>	<b>316.7%</b>
<b>Ending Fund Balances (Deficits)</b>	<b>(14.9)</b>	<b>(14.9)</b>	<b>(14.9)</b>	<b>(14.9)</b>	<b>10.2</b>		<b>(4.7)</b>	<b>(4.7)</b>	<b>1.5</b>	<b>1.5</b>	<b>\$ (6.2)</b>	<b>-413.3%</b>

## EXHIBIT D

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

	ALL GOVERNMENTAL FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>				
Taxes:				
Personal Income	\$ 24,740.0	\$ 23,922.0	\$ 23,499.3	\$ (1,240.7)
Consumption/Use	8,121.0	8,153.0	8,248.9	127.9
Business	3,236.0	3,079.0	3,364.6	128.6
Other	1,677.0	1,690.0	1,742.5	65.5
Miscellaneous Receipts	11,646.0	12,016.0	12,269.7	623.7
Federal Receipts	26,594.0	26,518.0	25,915.0	(679.0)
<b>Total Receipts</b>	<b>76,014.0</b>	<b>75,378.0</b>	<b>75,040.0</b>	<b>(974.0)</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants	53,694.0	53,903.0	53,637.3	(56.7)
Departmental Operations	9,927.0	10,043.0	10,175.7	248.7
General State Charges	4,840.0	4,939.0	4,956.0	116.0
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)
Capital Projects	3,701.0	3,204.0	3,166.4	(534.6)
<b>Total Disbursements</b>	<b>73,656.0</b>	<b>73,580.0</b>	<b>73,398.6</b>	<b>(257.4)</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,358.0</b>	<b>1,798.0</b>	<b>1,641.4</b>	<b>(716.6)</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Bond and Note Proceeds, net	10.0	8.0	-	(10.0)
Transfers from Other Funds	17,193.0	16,577.0	15,984.2	(1,208.8)
Transfers to Other Funds	(17,217.0)	(16,589.0)	(16,008.4)	(1,208.6)
<b>Total Other Financing Sources (Uses)</b>	<b>(14.0)</b>	<b>(4.0)</b>	<b>(24.2)</b>	<b>(10.2)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,344.0</b>	<b>1,794.0</b>	<b>1,617.2</b>	<b>(726.8)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>11,810.0</b>	<b>11,810.0</b>	<b>11,810.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 14,154.0</b>	<b>\$ 13,604.0</b>	<b>\$ 13,427.3</b>	<b>\$ (726.7)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

EXHIBIT D  
(continued)

	STATE OPERATING FUNDS <sup>(****)</sup>			
	Enacted Financial Plan (*)	Updated Financial Plan <sup>(**)</sup>	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>				
Taxes:				
Personal Income	\$ 24,740.0	\$ 23,922.0	\$ 23,499.3	\$ (422.7)
Consumption/Use	7,825.0	7,854.0	7,927.7	73.7
Business	2,923.0	2,761.0	3,042.8	281.8
Other	1,629.0	1,642.0	1,694.9	52.9
Miscellaneous Receipts	9,792.0	9,991.0	10,387.2	396.2
Federal Receipts	37.0	47.0	36.4	(10.6)
<b>Total Receipts</b>	<b>46,946.0</b>	<b>46,217.0</b>	<b>46,588.3</b>	<b>371.3</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants	28,771.0	29,329.0	29,958.0	629.0
Departmental Operations	9,067.0	9,174.0	9,197.4	23.4
General State Charges	4,704.0	4,805.0	4,824.7	19.7
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)
Capital Projects	-	-	2.4	2.4
<b>Total Disbursements</b>	<b>44,036.0</b>	<b>44,799.0</b>	<b>45,445.7</b>	<b>646.7</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,910.0</b>	<b>1,418.0</b>	<b>1,142.6</b>	<b>(275.4)</b>
<b>OTHER FINANCING SOURCES (USES):</b>				
Transfers from Other Funds	15,020.0	15,199.0	15,005.9 <sup>(****)</sup>	(193.1)
Transfers to Other Funds	(15,971.0)	(15,121.0)	(14,888.0) <sup>(****)</sup>	(233.0)
<b>Total Other Financing Sources (Uses)</b>	<b>(951.0)</b>	<b>78.0</b>	<b>117.9</b>	<b>39.9</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,959.0</b>	<b>1,496.0</b>	<b>1,260.5</b>	<b>(235.5)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>12,641.0</b>	<b>12,641.0</b>	<b>12,641.2</b>	<b>0.2</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 14,600.0</b>	<b>\$ 14,137.0</b>	<b>\$ 13,901.7</b>	<b>\$ (698.3)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

(\*\*\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(\*\*\*\*) Eliminations between Special Revenue - State and Federal Funds are not included.

**STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)**

**EXHIBIT D  
(continued)**

	GENERAL FUND			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>				
Taxes:				
Personal Income	\$ 18,025.0	\$ 17,412.0	\$ 17,094.6	\$ (317.4)
Consumption/Use	3,538.0	3,556.0	3,599.5	43.5
Business	2,229.0	2,081.0	2,304.6	223.6
Other	517.0	519.0	549.2	30.2
Miscellaneous Receipts	1,340.0	1,360.0	1,447.1	87.1
Federal Receipts	-	-	0.3	0.3
Transfers From:				
PI/T in excess of Revenue Bond Debt Service	5,763.0	5,562.0	5,475.7	(86.3)
Sales Tax in excess of LGAC / STRBF Debt Service	2,880.0	2,899.0	2,934.6	35.6
Real Estate Taxes in excess of CW/CA Debt Service	472.0	497.0	502.9	5.9
All Other	97.0	127.0	116.9	(10.1)
<b>Total Receipts and Other Financing Sources</b>	<b>34,861.0</b>	<b>34,013.0</b>	<b>34,025.4</b>	<b>12.4</b>
<b>DISBURSEMENTS:</b>				
Local Assistance Grants	19,233.0	19,819.0	20,462.3	643.3
Departmental Operations	3,913.0	3,975.0	4,006.0	31.0
General State Charges	3,752.0	3,814.0	3,791.9	(22.1)
Transfers To:				
Debt Service	321.0	333.0	308.7	(24.3)
Capital Projects	2,041.0	1,264.0	1,126.3	(914.7)
State Share Medicaid	676.0	765.0	815.9	139.9
SUNY Operations	818.0	817.0	816.9	(1.1)
Other Purposes	2,226.0	2,246.0	2,065.7	(160.3)
<b>Total Disbursements and Other Financing Uses</b>	<b>32,980.0</b>	<b>33,033.0</b>	<b>33,393.7</b>	<b>360.7</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>1,881.0</b>	<b>980.0</b>	<b>631.7</b>	<b>(348.3)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>8,934.0</b>	<b>8,934.0</b>	<b>8,934.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 10,815.0</b>	<b>\$ 9,914.0</b>	<b>\$ 9,565.8</b>	<b>\$ (348.2)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

(\*\*\*) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

EXHIBIT D  
(continued)STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Personal Income	\$ 529.0	\$ 529.0	\$ 529.9	\$ -	\$ 529.9	\$ 0.9
Consumption/Use	1,065.0	1,068.0	1,054.4	-	1,054.4	(10.6)
Business	694.0	680.0	738.2	-	738.2	44.2
Other	605.0	594.0	608.9	-	608.9	3.9
Miscellaneous Receipts	8,329.0	8,598.0	8,813.4	-	8,813.4	484.4
Federal Receipts	25,583.0	25,448.0	24,622.8	-	24,622.8	(960.2)
Transfers from Other Funds(***)	4,491.0	4,613.0	4,604.0	(220.9)	4,383.1	(107.9)
<b>Total Receipts and Other Financing Sources</b>	<b>41,296.0</b>	<b>41,520.0</b>	<b>40,971.6</b>	<b>(220.9)</b>	<b>40,750.7</b>	<b>(545.3)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants	32,890.0	32,740.0	31,843.5	-	31,843.5	(1,046.5)
Departmental Operations	5,985.0	6,040.0	6,145.6	-	6,145.6	160.6
General State Charges	1,088.0	1,125.0	1,164.1	-	1,164.1	76.1
Capital Projects	-	-	2.4	-	2.4	2.4
Transfers to Other Funds(***)	1,035.0	1,231.0	1,057.3	(220.9)	836.4	(198.6)
<b>Total Disbursements and Other Financing Uses</b>	<b>40,998.0</b>	<b>41,136.0</b>	<b>40,212.9</b>	<b>(220.9)</b>	<b>39,992.0</b>	<b>(1,006.0)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>298.0</b>	<b>384.0</b>	<b>758.7</b>	<b>-</b>	<b>758.7</b>	<b>460.7</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>3,607.0</b>	<b>3,607.0</b>	<b>3,607.1</b>	<b>-</b>	<b>3,607.1</b>	<b>0.1</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 3,905.0</b>	<b>\$ 3,991.0</b>	<b>\$ 4,365.8</b>	<b>\$ -</b>	<b>\$ 4,365.8</b>	<b>\$ 374.8</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

(\*\*\*) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

## STATE OF NEW YORK

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)EXHIBIT D  
(continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 529.0	\$ 529.0	\$ 529.9	\$ 0.9	\$ 0.9	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,065.0	1,058.0	1,054.4	(10.6)	(3.6)	-	-	-	-	-
Business	694.0	738.2	680.0	44.2	58.2	-	-	-	-	-
Other	805.0	594.0	608.9	3.9	14.9	-	-	-	-	-
Miscellaneous Receipts	8,221.0	8,488.0	8,708.4	487.4	220.4	108.0	110.0	105.0	(3.0)	(5.0)
Federal Receipts	-	10.0	(0.6)	(0.6)	(10.6)	25,583.0	25,438.0	24,623.4	(959.6)	(814.6)
Transfers from Other Funds	4,491.0	4,613.0	4,604.0	113.0	(8.0)	-	-	-	-	-
Total Receipts and Other Financing Sources	15,605.0	15,972.0	16,243.2	638.2	271.2	25,691.0	25,548.0	24,728.4	(962.6)	(819.6)
DISBURSEMENTS:										
Local Assistance Grants	9,538.0	9,510.0	9,495.7	(42.3)	(14.3)	23,352.0	23,230.0	22,347.8	(1,004.2)	(882.2)
Departmental Operations	5,125.0	5,171.0	5,167.3	42.3	(3.7)	860.0	869.0	978.3	118.3	109.3
General State Charges	952.0	991.0	1,032.8	80.8	41.8	136.0	134.0	131.3	(2.7)	(4.7)
Capital Projects	-	-	2.4	2.4	2.4	-	-	-	-	-
Transfers to Other Funds	192.0	175.0	131.0	(61.0)	(44.0)	843.0	1,056.0	926.3	83.3	(129.7)
Total Disbursements and Other Financing Uses	15,807.0	15,847.0	15,829.2	22.2	(17.8)	25,191.0	25,289.0	24,383.7	(807.3)	(905.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(202.0)	125.0	414.0	616.0	289.0	500.0	259.0	344.7	(155.3)	85.7
Fund Balances (Deficits) at April 1	3,547.0	3,547.0	3,547.4	0.4	0.4	60.0	60.0	59.7	(0.3)	(0.3)
Fund Balances (Deficits) at September 30, 2016	\$ 3,345.0	\$ 3,672.0	\$ 3,961.4	\$ 616.4	\$ 289.4	\$ 560.0	\$ 319.0	\$ 404.4	\$ (155.6)	\$ 85.4

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

**STATE OF NEW YORK**  
**BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL**  
**STATE FISCAL YEAR ENDED MARCH 31, 2017**  
**FOR SIX MONTHS ENDED SEPTEMBER 30, 2016**  
(Amounts in millions)

**EXHIBIT D**  
**(continued)**

DEBT SERVICE FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
<b>RECEIPTS:</b>					
Taxes:					
Personal Income	\$ 6,186.0	\$ 5,981.0	\$ 5,874.8	\$ (311.2)	\$ (106.2)
Consumption/Use	3,222.0	3,240.0	3,273.8	51.8	33.8
Other	507.0	529.0	536.8	29.8	7.8
Miscellaneous Receipts	231.0	143.0	231.7	0.7	88.7
Federal Receipts	37.0	37.0	36.7	(0.3)	(0.3)
Transfers from Other Funds	1,317.0	1,501.0	1,371.8	54.8	(129.2)
<b>Total Receipts and Other Financing Sources</b>	<b>11,500.0</b>	<b>11,431.0</b>	<b>11,325.6</b>	<b>(174.4)</b>	<b>(105.4)</b>
<b>DISBURSEMENTS:</b>					
Departmental Operations	29.0	28.0	24.1	(4.9)	(3.9)
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Transfers to Other Funds	9,697.0	9,521.0	9,623.5	(73.5)	102.5
<b>Total Disbursements and Other Financing Uses</b>	<b>11,220.0</b>	<b>11,040.0</b>	<b>11,110.8</b>	<b>(109.2)</b>	<b>70.8</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>280.0</b>	<b>391.0</b>	<b>214.8</b>	<b>(65.2)</b>	<b>(176.2)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>160.0</b>	<b>160.0</b>	<b>159.7</b>	<b>(0.3)</b>	<b>(0.3)</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ 440.0</b>	<b>\$ 551.0</b>	<b>\$ 374.5</b>	<b>\$ (65.5)</b>	<b>\$ (176.5)</b>

(\*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.



STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

EXHIBIT D  
(continued)

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
<b>RECEIPTS:</b>						
Taxes:						
Consumption/Use	\$ 296.0	\$ 299.0	\$ 321.2	\$ -	\$ 321.2	\$ 25.2
Business	313.0	318.0	321.8	-	321.8	8.8
Other	48.0	48.0	47.6	-	47.6	(0.4)
Miscellaneous Receipts	1,746.0	1,915.0	1,777.5	-	1,777.5	31.5
Federal Receipts	974.0	1,033.0	1,255.2	-	1,255.2	281.2
Bond and Note Proceeds, net	10.0	8.0	-	-	-	(10.0)
Transfers from Other Funds	2,173.0	1,378.0	1,229.6	(30.4)	1,199.2	(973.8)
<b>Total Receipts and Other Financing Sources</b>	<b>5,560.0</b>	<b>4,999.0</b>	<b>4,952.9</b>	<b>(30.4)</b>	<b>4,922.5</b>	<b>(637.5)</b>
<b>DISBURSEMENTS:</b>						
Local Assistance Grants	1,571.0	1,344.0	1,331.5	-	1,331.5	(239.5)
Capital Projects	3,701.0	3,204.0	3,164.0	-	3,164.0	(537.0)
Transfers to Other Funds	403.0	412.0	445.4	(30.4)	415.0	12.0
<b>Total Disbursements and Other Financing Uses</b>	<b>5,675.0</b>	<b>4,960.0</b>	<b>4,940.9</b>	<b>(30.4)</b>	<b>4,910.5</b>	<b>(764.5)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(115.0)</b>	<b>39.0</b>	<b>12.0</b>	<b>-</b>	<b>12.0</b>	<b>127.0</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(891.0)</b>	<b>(891.0)</b>	<b>(890.8)</b>	<b>-</b>	<b>(890.8)</b>	<b>0.2</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ (1,006.0)</b>	<b>\$ (952.0)</b>	<b>\$ (878.8)</b>	<b>\$ -</b>	<b>\$ (878.8)</b>	<b>\$ 127.2</b>

(\*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL  
STATE FISCAL YEAR ENDED MARCH 31, 2017  
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016  
(Amounts in millions)

EXHIBIT D  
(continued)

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan
<b>RECEIPTS:</b>								
Taxes:								
Consumption/Use	\$ 296.0	\$ 298.0	\$ 321.2	\$ 25.2	\$ -	\$ -	\$ -	\$ -
Business	313.0	318.0	321.8	8.8	-	-	-	-
Other	48.0	48.0	47.6	(0.4)	-	-	-	-
Miscellaneous Receipts	1,746.0	1,914.0	1,776.2	30.2	-	1.0	1.3	0.3
Federal Receipts	2.0	2.0	2.5	0.5	972.0	1,031.0	1,252.7	221.7
Bond and Note Proceeds, net	10.0	8.0	-	(10.0)	-	-	-	-
Transfers from Other Funds	2,174.0	1,378.0	1,228.6	(944.4)	(1.0)	-	-	-
<b>Total Receipts and Other Financing Sources</b>	<b>4,589.0</b>	<b>3,987.0</b>	<b>3,658.9</b>	<b>(930.1)</b>	<b>971.0</b>	<b>1,032.0</b>	<b>1,254.0</b>	<b>222.0</b>
<b>DISBURSEMENTS:</b>								
Local Assistance Grants	1,287.0	1,082.0	994.6	(292.4)	284.0	262.0	336.9	52.9
Capital Projects	3,117.0	2,590.0	2,395.0	(722.0)	584.0	614.0	769.0	185.0
Transfers to Other Funds	397.0	406.0	408.8	11.8	6.0	6.0	36.6	30.6
<b>Total Disbursements and Other Financing Uses</b>	<b>4,801.0</b>	<b>4,078.0</b>	<b>3,798.4</b>	<b>(1,002.6)</b>	<b>874.0</b>	<b>882.0</b>	<b>1,142.5</b>	<b>268.5</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>(212.0)</b>	<b>(111.0)</b>	<b>(99.5)</b>	<b>112.5</b>	<b>97.0</b>	<b>150.0</b>	<b>111.5</b>	<b>(38.5)</b>
<b>Fund Balances (Deficits) at April 1</b>	<b>(333.0)</b>	<b>(333.0)</b>	<b>(331.5)</b>	<b>1.5</b>	<b>(558.0)</b>	<b>(558.0)</b>	<b>(559.3)</b>	<b>(1.3)</b>
<b>Fund Balances (Deficits) at September 30, 2016</b>	<b>\$ (545.0)</b>	<b>\$ (444.0)</b>	<b>\$ (431.0)</b>	<b>\$ 114.0</b>	<b>\$ (461.0)</b>	<b>\$ (408.0)</b>	<b>\$ (447.8)</b>	<b>\$ (39.8)</b>

(\*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(\*\*) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
COMPARATIVE SCHEDULE OF TAX RECEIPTS  
(Amounts in millions)

	GENERAL				SPECIAL REVENUE				DEBT SERVICE				CAPITAL PROJECTS				TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	\$ Increase/ (Decrease)	% Increase/ Decrease
<b>PERSONAL INCOME TAX</b>																						
Withholding	\$ 2,486.0	\$ 16,030.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,486.0	\$ 16,030.3	\$ 2,486.0	\$ 16,030.3	\$ 2,486.0	\$ 16,030.3	\$ 139.3	0.9%
Estimated Payments	2,355.7	9,419.3	-	-	-	-	-	-	-	-	-	-	-	-	2,355.7	9,419.3	2,485.3	10,385.0	2,485.3	10,385.0	(965.7)	-9.3%
Returns	63.0	1,946.5	-	-	-	-	-	-	-	-	-	-	-	-	63.0	1,946.5	61.0	1,946.4	61.0	1,946.4	1.1	0.1%
State/City Offsets	(64.9)	(319.4)	-	-	-	-	-	-	-	-	-	-	-	-	(64.9)	(319.4)	(32.9)	(249.2)	(32.9)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	93.1	660.8	-	-	-	-	-	-	-	-	-	-	-	-	93.1	660.8	73.5	579.1	73.5	579.1	81.7	14.1%
<b>Gross Receipts</b>	<b>4,912.9</b>	<b>27,737.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,912.9</b>	<b>27,737.5</b>	<b>5,266.3</b>	<b>28,551.3</b>	<b>5,266.3</b>	<b>28,551.3</b>	<b>(813.8)</b>	<b>-2.9%</b>
Transfers to School Tax Relief Fund	(108.4)	(529.9)	-	-	-	-	-	-	-	-	-	-	-	-	(108.4)	(529.9)	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,176.1)	(5,874.8)	-	-	-	-	-	-	-	-	-	-	-	-	(1,176.1)	(5,874.8)	(143.4)	(4,317.7)	(143.4)	(4,317.7)	(79.5)	-1.8%
Less: Refunds issued	(208.5)	(4,238.2)	-	-	-	-	-	-	-	-	-	-	-	-	(208.5)	(4,238.2)	(143.4)	(4,317.7)	(143.4)	(4,317.7)	(79.5)	-1.8%
<b>Total</b>	<b>3,418.9</b>	<b>17,094.6</b>	<b>108.4</b>	<b>529.9</b>	<b>1,176.1</b>	<b>5,874.8</b>	<b>5,874.8</b>	<b>5,874.8</b>	<b>1,176.1</b>	<b>5,874.8</b>	<b>5,874.8</b>	<b>5,874.8</b>	<b>1,176.1</b>	<b>5,874.8</b>	<b>4,704.4</b>	<b>23,499.3</b>	<b>5,122.9</b>	<b>24,233.6</b>	<b>5,122.9</b>	<b>24,233.6</b>	<b>(734.3)</b>	<b>-3.0%</b>
<b>CONSUMPTION/USE TAXES</b>																						
Sales and Use	662.9	3,275.5	92.3	473.6	652.5	3,273.8	3,273.8	3,273.8	652.5	3,273.8	3,273.8	3,273.8	652.5	3,273.8	1,397.7	7,022.9	1,379.4	6,887.2	1,379.4	6,887.2	135.7	2.0%
Auto Rental	-	-	5.4	28.4	-	-	-	-	-	-	-	-	-	-	28.6	71.0	39.2	72.6	39.2	72.6	(1.6)	-2.2%
Cigarette/Tobacco Products	36.2	191.9	75.6	483.1	-	-	-	-	-	-	-	-	-	-	111.8	655.0	121.4	663.1	121.4	663.1	(8.1)	-1.2%
Medical Marijuana	-	-	0.1	0.2	-	-	-	-	-	-	-	-	-	-	0.1	0.2	-	-	-	-	0.2	100.0%
Motor Fuel	-	-	9.5	55.4	-	-	-	-	-	-	-	-	-	-	44.8	261.9	36.2	251.3	36.2	251.3	10.6	4.2%
Alcoholic Beverage	24.4	132.1	-	-	-	-	-	-	-	-	-	-	-	-	24.4	132.1	21.4	130.1	21.4	130.1	2.0	1.5%
Highway Use	-	-	0.6	33.7	-	-	-	-	-	-	-	-	-	-	11.7	72.1	14.9	75.5	14.9	75.5	(3.4)	-4.5%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.6	33.7	0.1	38.2	(5.0)	38.2	(3.4)	-12.9%
<b>Total</b>	<b>713.5</b>	<b>3,599.5</b>	<b>183.5</b>	<b>1,054.4</b>	<b>652.5</b>	<b>3,273.8</b>	<b>3,273.8</b>	<b>3,273.8</b>	<b>652.5</b>	<b>3,273.8</b>	<b>3,273.8</b>	<b>3,273.8</b>	<b>652.5</b>	<b>3,273.8</b>	<b>1,619.7</b>	<b>8,248.9</b>	<b>1,614.6</b>	<b>8,118.5</b>	<b>1,614.6</b>	<b>8,118.5</b>	<b>130.4</b>	<b>1.6%</b>
<b>BUSINESS TAXES</b>																						
Corporation Franchise	694.9	1,454.9	138.1	338.3	-	-	-	-	-	-	-	-	-	-	833.0	1,793.2	837.7	2,121.1	837.7	2,121.1	(327.9)	-15.5%
Corporation and Utilities	106.1	211.7	31.9	67.5	-	-	-	-	-	-	-	-	-	-	140.5	284.7	146.5	297.7	146.5	297.7	(13.0)	-4.4%
Insurance	280.8	622.3	34.6	78.5	-	-	-	-	-	-	-	-	-	-	315.4	700.8	272.2	647.3	272.2	647.3	53.5	8.3%
Bank	(3.3)	15.7	(1.5)	0.6	-	-	-	-	-	-	-	-	-	-	(4.8)	16.3	(3.4)	94.2	(3.4)	94.2	(77.9)	-82.7%
Petroleum Business	-	-	43.8	253.3	-	-	-	-	-	-	-	-	-	-	98.8	569.6	95.1	569.0	95.1	569.0	0.6	0.1%
<b>Total</b>	<b>1,078.5</b>	<b>2,304.6</b>	<b>246.9</b>	<b>738.2</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>1,382.9</b>	<b>3,364.6</b>	<b>1,351.1</b>	<b>3,729.3</b>	<b>1,351.1</b>	<b>3,729.3</b>	<b>(364.7)</b>	<b>-9.8%</b>
<b>OTHER TAXES</b>																						
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	85.7	538.6	-	-	-	-	-	-	-	-	-	-	-	-	85.7	538.6	135.3	920.2	135.3	920.2	(381.6)	-41.5%
Pari-Mutuel	2.3	9.7	-	-	-	-	-	-	-	-	-	-	-	-	2.3	9.7	2.2	10.3	2.2	10.3	(0.6)	-5.8%
Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	100.7	594.4	112.9	582.6	112.9	582.6	1.8	0.3%
Racing and Exhibitions	0.3	0.9	-	-	-	-	-	-	-	-	-	-	-	-	0.3	0.9	0.4	1.0	0.4	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	-	-	104.7	603.9	-	-	-	-	-	-	-	-	-	-	104.7	603.9	99.6	596.1	99.6	596.1	12.8	2.1%
<b>Total</b>	<b>88.3</b>	<b>549.2</b>	<b>104.7</b>	<b>608.9</b>	<b>88.8</b>	<b>536.8</b>	<b>536.8</b>	<b>536.8</b>	<b>88.8</b>	<b>536.8</b>	<b>536.8</b>	<b>536.8</b>	<b>88.8</b>	<b>536.8</b>	<b>263.7</b>	<b>1,742.5</b>	<b>350.4</b>	<b>2,110.2</b>	<b>350.4</b>	<b>2,110.2</b>	<b>(367.7)</b>	<b>-17.4%</b>
<b>Total Tax Receipts</b>	<b>\$ 5,300.2</b>	<b>\$ 23,547.9</b>	<b>\$ 643.5</b>	<b>\$ 2,931.4</b>	<b>\$ 1,917.4</b>	<b>\$ 9,695.4</b>	<b>\$ 9,695.4</b>	<b>\$ 9,695.4</b>	<b>\$ 1,917.4</b>	<b>\$ 9,695.4</b>	<b>\$ 9,695.4</b>	<b>\$ 9,695.4</b>	<b>\$ 1,917.4</b>	<b>\$ 9,695.4</b>	<b>\$ 8,000.7</b>	<b>\$ 36,855.3</b>	<b>\$ 8,439.0</b>	<b>\$ 38,191.6</b>	<b>\$ 8,439.0</b>	<b>\$ 38,191.6</b>	<b>\$ (1,336.3)</b>	<b>-3.5%</b>

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STATE OF NEW YORK  
GOVERNMENTAL FUNDS (\*)  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	2016	6 Months Ended September 30												% Increase/ Decrease		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
Administrative Recoveries	0.4	29.1	34.6	20.9	9.9	28.6	-	-	-	-	-	-	123.5	92.9	30.6	32.9%
Commissions	0.2	0.2	0.2	0.1	0.3	0.1	2.8	0.1	0.7	0.1	0.1	0.1	3.8	1.2	2.6	216.7%
Gifts, Grants and Donations	3.4	2.6	3.2	1.6	0.7	4.0	-	-	-	-	-	-	15.5	4.5	11.0	244.4%
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9	-	-	-	-	-	-	59.5	62.0	(2.5)	-4.0%
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3	251.9	275.3	251.9	275.3	251.9	275.3	1,211.7	288.6	913.1	305.6%
Rebates	7.2	12.7	11.4	22.4	10.1	13.8	-	-	-	-	-	-	78.6	77.8	1.8	2.3%
Restitution and Settlements	7.2	132.7	63.3	35.5	2.4	3.5	-	-	-	-	-	-	244.6	1,179.3	(934.7)	-79.3%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	-	-	-	-	-	-	41.5	38.0	3.5	9.2%
All Other	49.0	28.4	54.4	43.3	39.9	56.5	-	-	-	-	-	-	271.5	51.4	220.1	428.2%
Sales	1.8	1.4	1.6	1.4	1.6	1.4	-	-	-	-	-	-	9.2	16.3	(7.1)	-43.6%
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	-	-	-	-	-	-	806.0	1,010.0	(204.0)	-20.2%
Total Miscellaneous Receipts	1,455.5	2,101.6	2,347.3	1,542.0	1,965.6	2,857.7	-	-	-	-	-	-	12,268.7	13,285.3	(1,015.6)	-7.6%
Federal Receipts	3,275.7	4,197.5	5,208.4	3,646.2	4,205.4	5,381.8	-	-	-	-	-	-	25,915.0	23,726.2	2,188.8	9.2%
Total Receipts	12,852.1	10,124.4	15,344.4	9,528.1	10,850.8	16,240.2	-	-	-	-	-	-	75,040.0	75,203.1	(163.1)	-0.2%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,097.9	3,326.5	4,064.1	593.6	1,213.7	4,245.9	-	-	-	-	-	-	14,541.7	15,590.3	(1,048.6)	-6.7%
Environment and Recreation	3.4	4.3	8.5	12.7	15.3	6.0	-	-	-	-	-	-	50.2	53.1	(2.9)	-5.5%
General Government	71.5	45.3	610.1	48.7	58.0	140.3	-	-	-	-	-	-	971.9	837.5	134.4	16.0%
Public Health:																
Medicaid	3,569.1	4,384.6	4,795.6	4,267.7	4,714.9	3,813.3	-	-	-	-	-	-	25,545.2	24,458.5	1,086.7	4.4%
Other Public Health	59.4	674.5	641.3	724.0	575.1	888.7	-	-	-	-	-	-	4,301.0	3,332.9	968.1	29.0%
Public Safety	1,007.0	1,007.0	1,007.0	1,007.0	1,007.0	1,007.0	-	-	-	-	-	-	1,007.0	1,007.0	0.0	0.0%
Public Welfare	370.1	428.9	748.3	596.5	515.6	1,188.6	-	-	-	-	-	-	3,848.5	4,988.0	(1,065.5)	-11.1%
Support and Regulate Business	5.0	15.9	192.8	64.9	188.5	60.0	-	-	-	-	-	-	537.1	4,245.5	(3,708.4)	-8.9%
Transportation	226.0	525.0	612.0	387.8	574.5	664.9	-	-	-	-	-	-	2,653.7	506.2	2,147.5	424.3%
Total Local Assistance Grants	6,031.3	9,563.2	11,880.3	6,832.7	8,075.4	11,154.4	-	-	-	-	-	-	53,837.3	336.5	53,500.8	12,767.7%
Departmental Operations:																
Personal Service	1,074.8	1,060.3	1,386.2	1,045.0	1,084.1	1,258.8	-	-	-	-	-	-	6,919.2	6,755.4	163.8	2.4%
Non-Personal Service	363.5	523.2	602.6	444.2	666.7	656.3	-	-	-	-	-	-	3,256.5	3,283.3	(26.8)	-0.8%
General State Charges	2,629.2	466.2	509.1	430.2	467.9	453.4	-	-	-	-	-	-	4,956.0	4,811.9	144.1	3.0%
Debt Service, Including Payments on	113.3	162.9	89.9	25.3	281.8	789.0	-	-	-	-	-	-	1,483.2	1,675.2	(212.0)	-12.7%
Leasing Agreements	313.6	486.0	643.8	470.9	561.4	690.7	-	-	-	-	-	-	3,169.4	3,129.0	40.4	1.2%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	10,525.7	12,261.8	15,211.9	9,248.3	11,147.3	15,003.6	-	-	-	-	-	-	73,988.6	72,177.5	1,811.1	2.5%
Excess (Deficiency) of Receipts over Disbursements	2,326.4	(2,137.4)	132.5	279.8	(286.5)	1,236.6	-	-	-	-	-	-	1,641.4	3,025.6	(1,384.2)	-45.7%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (Net)	3,182.1	2,615.0	2,679.9	2,667.0	1,824.6	2,705.6	-	-	-	-	-	-	15,984.2	17,432.8	(1,448.6)	-8.3%
Transfers from Other Funds	(3,187.4)	(2,619.0)	(2,681.8)	(2,667.9)	(1,849.4)	(2,702.9)	-	-	-	-	-	-	(16,009.4)	(17,486.8)	(1,478.4)	-8.5%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	(5.3)	(4.0)	(1.9)	(0.9)	(14.8)	2.7	-	-	-	-	-	-	(24.2)	(54.0)	29.8	55.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,321.1	(2,141.4)	130.6	278.9	(301.3)	1,239.3	-	-	-	-	-	-	1,617.2	2,971.6	(1,354.4)	-45.6%
Ending Fund Balance	\$ 14,231.2	\$ 12,089.8	\$ 12,220.4	\$ 12,498.3	\$ 12,188.0	\$ 13,427.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,427.3	\$ 12,327.2	\$ 1,100.1	8.9%
Governmental Funds includes General, Social Revenue, Debt Service and Capital Projects Funds combined.																

(\*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.



**GOVERNMENTAL FUNDS  
STATEMENT OF CASH FLOW - STATE OPERATING (\*)  
FISCAL YEAR 2016-2017  
(Amounts in millions)**

	6 Months Ended September 30												% Increase/ Decrease
	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Insurance Fees	15.6	6.1	3.2	8.4	5.2	17.2	-	-	-	-	-	-	
Non Bond Related	0.9	0.9	-	(0.4)	0.5	-	-	-	-	-	-	-	
Receipts from Municipalities	58.4	24.3	54.0	21.4	21.6	24.8	-	-	-	-	-	-	
Revenues from State Departments	35.4	31.5	21.4	2.5	47.2	1.3	-	-	-	-	-	-	
Administrative Recoveries	0.4	29.1	34.5	20.9	9.9	28.6	-	-	-	-	-	-	
Commissions	0.2	0.2	0.2	0.2	0.1	0.3	-	-	-	-	-	-	
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8	-	-	-	-	-	-	
Indirect Cost Recoveries	0.4	1.7	0.9	26.4	0.7	28.9	-	-	-	-	-	-	
Student Care Reimbursement	15.3	215.6	53.9	259.4	261.9	275.3	-	-	-	-	-	-	
Refunds	1.3	3.5	3.6	14.0	0.7	5.3	-	-	-	-	-	-	
Restitution and Settlements	7.2	132.2	62.8	33.8	0.3	0.7	-	-	-	-	-	-	
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	-	-	-	-	-	-	
All Other	48.9	27.2	51.8	42.5	43.1	51.4	-	-	-	-	-	-	
Salaries	37.7	44.4	47.4	48.3	47.2	48.3	-	-	-	-	-	-	
Tuition	52.7	45.5	60.2	48.3	177.2	418.7	-	-	-	-	-	-	
<b>Total Miscellaneous Receipts</b>	<b>1,357.3</b>	<b>1,358.6</b>	<b>1,677.1</b>	<b>1,438.8</b>	<b>1,882.5</b>	<b>2,182.9</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Federal Receipts</b>	<b>14.8</b>	<b>0.7</b>	<b>0.1</b>	<b>0.5</b>	<b>20.4</b>	<b>(0.1)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Total Receipts</b>	<b>9,500.3</b>	<b>5,801.3</b>	<b>9,333.5</b>	<b>5,652.0</b>	<b>6,447.3</b>	<b>10,053.9</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education	829.5	3,043.9	3,766.9	418.1	1,016.7	4,116.4	-	-	-	-	-	-	
Environment and Recreation	0.1	0.4	0.6	0.2	2.8	0.5	-	-	-	-	-	-	
General Government	11.8	28.9	583.8	28.1	36.3	103.3	-	-	-	-	-	-	
Public Health:													
Medicaid	1,326.3	1,757.5	1,790.2	1,712.0	1,758.2	1,667.3	-	-	-	-	-	-	
Other Public Health	174.5	278.4	629.0	223.9	140.3	507.3	-	-	-	-	-	-	
Public Safety	18.0	30.4	19.3	24.4	22.6	42.5	-	-	-	-	-	-	
Public Welfare	123.3	131.0	421.4	288.7	186.6	194.8	-	-	-	-	-	-	
Support and Regulate Business	12.3	17.6	16.2	15.6	18.2	18.6	-	-	-	-	-	-	
Tuition	192.3	481.0	531.2	309.6	438.7	438.7	-	-	-	-	-	-	
<b>Total Local Assistance Grants</b>	<b>2,677.9</b>	<b>5,768.1</b>	<b>7,551.4</b>	<b>3,022.9</b>	<b>3,665.1</b>	<b>7,086.6</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
Departmental Operations:													
Personal Service	1,026.0	1,016.1	1,314.3	1,003.7	1,035.5	1,211.7	-	-	-	-	-	-	
Non-Personal Service	317.9	428.2	485.9	364.8	514.7	477.6	-	-	-	-	-	-	
General State Charges	2,618.7	431.1	484.9	430.0	433.4	416.6	-	-	-	-	-	-	
Operating Expenses	113.3	162.9	89.9	25.3	281.8	790.0	-	-	-	-	-	-	
Financing Agreements	0.1	0.1	0.2	0.2	0.2	1.6	-	-	-	-	-	-	
Capital Projects													
<b>Total Disbursements</b>	<b>6,753.9</b>	<b>7,798.5</b>	<b>10,136.6</b>	<b>4,846.9</b>	<b>5,930.7</b>	<b>9,978.1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>2,746.4</b>	<b>(2,198.2)</b>	<b>(803.1)</b>	<b>805.1</b>	<b>516.6</b>	<b>75.8</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds (**)	3,034.0	2,444.0	2,988.6	2,324.9	1,506.2	2,728.2	-	-	-	-	-	-	
Transfers to Other Funds (**)	(3,076.5)	(2,440.4)	(2,766.9)	(2,527.2)	(1,782.6)	(2,294.2)	-	-	-	-	-	-	
<b>Total Other Financing Sources (Uses)</b>	<b>(42.5)</b>	<b>3.6</b>	<b>201.7</b>	<b>(202.3)</b>	<b>(276.6)</b>	<b>434.0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>2,703.9</b>	<b>(2,194.6)</b>	<b>(601.4)</b>	<b>602.8</b>	<b>240.0</b>	<b>508.8</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Ending Fund Balance</b>	<b>\$ 15,345.1</b>	<b>\$ 13,150.5</b>	<b>\$ 12,549.1</b>	<b>\$ 13,151.9</b>	<b>\$ 13,391.9</b>	<b>\$ 13,901.7</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	

(\*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(\*\*) Eliminations between State and Federal Special Revenue Funds are not included.



## EXHIBIT F

Beginning Fund Balance		2016		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		2017		2018		2019		2020		2021		2022		2023		2024		2025		2026		2027		2028		2029		2030		2031		2032		2033		2034		2035		2036		2037		2038		2039		2040		2041		2042		2043		2044		2045		2046		2047		2048		2049		2050		2051		2052		2053		2054		2055		2056		2057		2058		2059		2060		2061		2062		2063		2064		2065		2066		2067		2068		2069		2070		2071		2072		2073		2074		2075		2076		2077		2078		2079		2080		2081		2082		2083		2084		2085		2086		2087		2088		2089		2090		2091		2092		2093		2094		2095		2096		2097		2098		2099		2100		2101		2102		2103		2104		2105		2106		2107		2108		2109		2110		2111		2112		2113		2114		2115		2116		2117		2118		2119		2120		2121		2122		2123		2124		2125		2126		2127		2128		2129		2130		2131		2132		2133		2134		2135		2136		2137		2138		2139		2140		2141		2142		2143		2144		2145		2146		2147		2148		2149		2150		2151		2152		2153		2154		2155		2156		2157		2158		2159		2160		2161		2162		2163		2164		2165		2166		2167		2168		2169		2170		2171		2172		2173		2174		2175		2176		2177		2178		2179		2180		2181		2182		2183		2184		2185		2186		2187		2188		2189		2190		2191		2192		2193		2194		2195		2196		2197		2198		2199		2200		2201		2202		2203		2204		2205		2206		2207		2208		2209		2210		2211		2212		2213		2214		2215		2216		2217		2218		2219		2220		2221		2222		2223		2224		2225		2226		2227		2228		2229		2230		2231		2232		2233		2234		2235		2236		2237		2238		2239		2240		2241		2242		2243		2244		2245		2246		2247		2248		2249		2250		2251		2252		2253		2254		2255		2256		2257		2258		2259		2260		2261		2262		2263		2264		2265		2266		2267		2268		2269		2270		2271		2272		2273		2274		2275		2276		2277		2278		2279		2280		2281		2282		2283		2284		2285		2286		2287		2288		2289		2290		2291		2292		2293		2294		2295		2296		2297		2298		2299		2300		2301		2302		2303		2304		2305		2306		2307		2308		2309		2310		2311		2312		2313		2314		2315		2316		2317		2318		2319		2320		2321		2322		2323		2324		2325		2326		2327		2328		2329		2330		2331		2332		2333		2334		2335		2336		2337		2338		2339		2340		2341		2342		2343		2344		2345		2346		2347		2348		2349		2350		2351		2352		2353		2354		2355		2356		2357		2358		2359		2360		2361		2362		2363		2364		2365		2366		2367		2368		2369		2370		2371		2372		2373		2374		2375		2376		2377		2378		2379		2380		2381		2382		2383		2384		2385		2386		2387		2388		2389		2390		2391		2392		2393		2394		2395		2396		2397		2398		2399		2400		2401		2402		2403		2404		2405		2406		2407		2408		2409		2410		2411		2412		2413		2414		2415		2416		2417		2418		2419		2420		2421		2422		2423		2424		2425		2426		2427		2428		2429		2430		2431		2432		2433		2434		2435		2436		2437		2438		2439		2440		2441		2442		2443		2444		2445		2446		2447		2448		2449		2450		2451		2452		2453		2454		2455		2456		2457		2458		2459		2460		2461		2462		2463		2464		2465		2466		2467		2468		2469		2470		2471		2472		2473		2474		2475		2476		2477		2478		2479		2480		2481		2482		2483		2484		2485		2486		2487		2488		2489		2490		2491		2492		2493		2494		2495		2496		2497		2498		2499		2500		2501		2502		2503		2504		2505		2506		2507		2508		2509		2510		2511		2512		2513		2514		2515		2516		2517		2518		2519		2520		2521		2522		2523		2524		2525		2526		2527		2528		2529		2530		2531		2532		2533		2534		2535		2536		2537		2538		2539		2540		2541		2542		2543		2544		2545		2546		2547		2548		2549		2550		2551		2552		2553		2554		2555		2556		2557		2558		2559		2560		2561		2562		2563		2564		2565		2566		2567		2568		2569		2570		2571		2572		2573		2574		2575		2576		2577		2578		2579		2580		2581		2582		2583		2584		2585		2586		2587		2588		2589		2590		2591		2592		2593		2594		2595		2596		2597		2598		2599		2600		2601		2602		2603		2604		2605		2606		2607		2608		2609		2610		2611		2612		2613		2614		2615		2616		2617		2618		2619		2620		2621		2622		2623		2624		2625		2626		2627		2628		2629		2630		2631		2632		2633		2634		2635		2636		2637		2638		2639		2640		2641		2642		2643		2644		2645		2646		2647		2648		2649		2650		2651		2652		2653		2654		2655		2656		2657		2658		2659		2660		2661		2662		2663		2664		2665		2666		2667		2668		2669		2670		2671		2672		2673		2674		2675		2676		2677		2678		2679		2680		2681		2682		2683		2684		2685		2686		2687		2688		2689		2690		2691		2692		2693		2694		2695		2696		2697		2698		2699		2700		2701		2702		2703		2704		2705		2706		2707		2708		2709		2710		2711		2712		2713		2714		2715		2716		2717		2718		2719		2720		2721		2722		2723		2724		2725		2726		2727		2728		2729		2730		2731		2732		2733		2734		2735		2736		2737		2738		2739		2740		2741		2742		2743		2744		2745		2746		2747		2748		2749		2750		2751		2752		2753		2754		2755		2756		2757		2758		2759		2760		2761		2762		2763		2764		2765		2766		2767		2768		2769		2770		2771		2772		2773		2774		2775		2776		2777		2778		2779		2780		2781		2782		2783		2784		2785		2786		2787		2788		2789		2790		2791		2792		2793		2794		2795		2796		2797		2798		2799		2800		2801		2802		2803		2804		2805		2806		2807		2808		2809		2810		2811		2812		2813		2814		2815		2816		2817		2818		2819		2820		2821		2822		2823		2824		2825		2826		2827		2828		2829		2830		2831		2832		2833		2834		2835		2836		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STATE OF NEW YORK  
GENERAL FUND  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

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EXHIBIT F

	2016												6 Months Ended September 30	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2015	
Federal Receipts	-	0.2	4,960.8	2,711.5	3,228.8	5,882.2	-	-	-	-	-	-	28,108.9	0.1
Total Receipts	5,834.4	2,866.6											(3,113.6)	-11.1%
DISBURSEMENTS:														
Local Assistance Grants:														
Education	828.1	3,043.4	3,045.9	418.3	1,016.2	1,854.8	-	-	-	-	-	-	10,867.4	(661.7)
Environment and Recreation	0.1	0.4	0.4	-	2.2	0.3	-	-	-	-	-	-	3.0	0.4
General Government	2.1	12.6	569.7	10.7	2.3	98.7	-	-	-	-	-	-	695.7	(8.6)
Health	990.1	2,559.9	1,361.8	1,070.3	1,281.1	1,261.3	-	-	-	-	-	-	7,068.2	155.3
Medicaid	24.7	210.8	255.3	62.1	44.4	200.4	-	-	-	-	-	-	451.6	346.1
Other Public Health	7.2	11.0	8.1	17.0	9.2	26.8	-	-	-	-	-	-	111.0	(31.7)
Public Safety	122.9	130.1	420.9	288.6	168.0	194.7	-	-	-	-	-	-	1,471.7	(146.5)
Welfare	2.2	6.4	11.3	17.2	27.7	16.4	-	-	-	-	-	-	51.2	51.4
Support and Regulate Business	-	23.2	11.0	0.1	23.9	-	-	-	-	-	-	-	58.7	(1.5)
Transportation	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants	1,977.4	4,897.8	5,674.4	1,884.3	2,374.0	3,654.4	-	-	-	-	-	-	20,799.1	(263.9)
Operating Operations:														
Personal Services	474.9	487.9	609.4	476.2	480.3	577.8	-	-	-	-	-	-	3,052.0	64.5
Non-Personal Service	102.9	135.3	162.1	131.5	186.4	171.3	-	-	-	-	-	-	885.2	4.3
General State Charges	2,435.7	193.5	391.3	403.1	364.3	-	-	-	-	-	-	-	3,718.7	73.2
Total Disbursements	4,994.9	5,514.5	6,837.2	2,888.1	3,615.0	4,403.5	-	-	-	-	-	-	28,415.0	(154.8)
Excess (Deficiency) of Receipts over Disbursements	640.5	(2,647.9)	(1,876.4)	(173.6)	(386.2)	1,178.7	-	-	-	-	-	-	(306.1)	(2,958.8)
OTHER FINANCING SOURCES (USES):														
Transfers from Revenue Bond/Tax Fund	1,594.3	590.9	1,181.0	469.7	289.9	1,430.0	-	-	-	-	-	-	5,655.8	(189.1)
Transfers from CDM/STRBTF	442.8	295.1	727.0	455.1	392.1	831.9	-	-	-	-	-	-	2,976.6	(42.0)
Transfers from OMICA Fund	74.0	74.1	90.5	96.3	84.8	83.2	-	-	-	-	-	-	498.8	4.1
Transfers from Other Funds	5.0	38.8	23.0	6.0	0.7	43.4	-	-	-	-	-	-	429.9	(313.0)
Transfers to State Capital Projects	(122.3)	(71.3)	39.6	(214.3)	(341.6)	23.8	-	-	-	-	-	-	(553.9)	132.2
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to All Other Capital Projects	(40.0)	(108.0)	(145.7)	(130.0)	-	(16.5)	-	-	-	-	-	-	(349.9)	90.3
Transfers to General Debt Service	(245.3)	1.8	3.0	(168.9)	18.0	80.7	-	-	-	-	-	-	(355.8)	(47.1)
Transfers to All Other State Funds	(380.4)	(1,255.2)	(692.3)	(787.2)	(574.0)	(106.4)	-	-	-	-	-	-	(4,589.4)	(689.9)
Total Other Financing Sources (Uses)	1,318.1	(494.3)	1,336.1	(271.3)	(150.1)	2,158.1	-	-	-	-	-	-	3,723.1	173.5
Excess (Deficiency) of Receipts and Disbursements over Other Financing Sources and Other Financing Uses	1,958.6	(3,142.2)	(540.3)	(444.9)	(536.3)	3,336.8	-	-	-	-	-	-	3,417.0	(2,785.3)
Ending Fund Balance	\$ 10,892.7	\$ 7,750.5	\$ 7,210.2	\$ 6,765.3	\$ 6,229.0	\$ 9,565.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 10,716.5	\$ (1,150.7)

EXHIBIT G

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

Beginning Fund Balance	2016												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2016	2015	\$ Increase/ (Decrease)	
<b>RECEIPTS:</b>																	
Taxes:																	
Personal Income Tax	1.3	-	420.2	-	-	108.4	-	-	-	-	-	-	-	529.9	623.9	(94.0)	-15.1%
Consumption/Use Taxes:																	
Sales and Use	90.9	65.6	89.5	69.3	85.9	92.3	92.3	-	-	-	-	-	-	473.6	477.9	(4.3)	-0.9%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6	85.6	-	-	-	-	-	-	463.1	452.2	10.9	2.4%
Medical Marijuana	-	-	0.1	-	-	0.1	-	-	-	-	-	-	-	0.2	-	(23.1)	-5.9%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5	-	-	-	-	-	-	-	55.4	52.7	2.7	5.1%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.6	0.6	-	-	-	-	-	-	-	33.7	38.7	(5.0)	-12.9%
Business Taxes:	183.4	144.8	199.7	174.2	166.0	183.5	-	-	-	-	-	-	-	1,084.9	1,086.0	(1.1)	-0.1%
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1	-	-	-	-	-	-	-	338.3	283.3	55.0	19.4%
Insurance	2.3	0.1	29.2	3.7	0.3	31.9	-	-	-	-	-	-	-	67.5	63.3	4.2	6.6%
Corporation and Utilities	1.5	0.2	40.3	0.8	1.1	34.6	-	-	-	-	-	-	-	78.5	71.7	6.8	9.5%
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)	-	-	-	-	-	-	-	0.6	28.4	(27.8)	-97.9%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8	-	-	-	-	-	-	-	253.3	252.7	0.6	0.2%
Other Taxes:	73.3	35.1	224.7	97.8	70.8	246.9	-	-	-	-	-	-	-	798.2	899.4	(101.2)	-11.2%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7	-	-	-	-	-	-	-	608.9	586.1	12.8	2.1%
Total Other Taxes	116.6	94.0	100.6	85.2	107.8	104.7	-	-	-	-	-	-	-	608.9	586.1	12.8	2.1%
Total Taxes	376.6	283.9	945.2	327.2	345.0	643.5	-	-	-	-	-	-	-	2,931.4	3,008.0	(76.6)	-2.5%
<b>Miscellaneous Receipts:</b>																	
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8	-	-	-	-	-	-	-	5.3	5.3	-	0.0%
Assessments:																	
Business	32.4	59.5	113.4	8.7	38.6	114.1	-	-	-	-	-	-	-	366.7	416.5	(49.8)	-12.0%
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2	-	-	-	-	-	-	-	2,741.3	2,598.6	142.7	5.5%
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4	-	-	-	-	-	-	-	50.9	42.1	8.8	20.9%
Fees, Licenses and Permits:	20.4	18.9	19.3	19.8	19.1	19.7	-	-	-	-	-	-	-	117.2	111.1	6.1	5.5%
Audit Fees	-	0.9	1.0	0.1	-	-	-	-	-	-	-	-	-	2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8	-	-	-	-	-	-	-	320.7	499.0	(178.3)	-35.7%
Civil	5.4	4.2	4.5	4.5	4.8	4.7	-	-	-	-	-	-	-	28.1	27.7	0.4	1.4%
Criminal	-	2.0	0.3	0.3	1.8	-	-	-	-	-	-	-	-	4.4	4.7	(0.3)	-6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6	-	-	-	-	-	-	-	249.4	254.0	(4.6)	-1.8%
Personal/Consumer	32.2	31.6	47.3	33.8	39.0	56.0	-	-	-	-	-	-	-	329.3	343.3	(14.0)	-4.1%
Fines, Penalties and Forfeitures	5.1	25.6	11.1	12.4	19.8	9.4	-	-	-	-	-	-	-	74.8	401.2	(326.4)	-81.4%
Gaming	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Casino	15.3	-	34.4	15.6	-	37.0	-	-	-	-	-	-	-	102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1	-	-	-	-	-	-	-	1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1	-	-	-	-	-	-	-	490.4	494.2	(3.8)	-0.8%
Interest Earnings	2.8	5.2	3.9	4.4	5.1	4.2	-	-	-	-	-	-	-	25.6	19.0	6.6	34.7%
Reimbursements:																	
State Department of Social Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery/Assessments	-	-	22.6	(2.2)	-	-	-	-	-	-	-	-	-	20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5	-	-	-	-	-	-	-	-	1.6	2.1	(0.5)	-23.8%
Receipts from Municipalities	56.4	7.2	37.3	4.7	4.1	7.1	-	-	-	-	-	-	-	118.8	72.8	46.0	63.2%
Rentals	55.3	31.1	21.3	2.2	46.8	1.2	-	-	-	-	-	-	-	157.9	116.5	41.4	35.5%
Revenues of State Departments:																	
State Department of Social Services	0.4	28.1	9.7	20.2	9.7	8.5	-	-	-	-	-	-	-	77.6	53.1	24.5	46.1%
Commissions	0.2	0.2	0.2	0.1	0.3	2.7	-	-	-	-	-	-	-	3.7	1.2	2.5	208.3%
Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8	-	-	-	-	-	-	-	7.3	2.7	4.6	170.4%
Indirect Cost Recoveries	-	5.0	-	-	-	-	-	-	-	-	-	-	-	5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3	-	-	-	-	-	-	-	982.7	33.0	949.7	2,877.9%
Rentals	11.0	12.7	10.0	22.4	10.1	14.5	-	-	-	-	-	-	-	80.7	76.4	4.3	5.6%
Restitution and Settlements	11.0	62.9	24.4	11.0	0.3	6.5	-	-	-	-	-	-	-	102.9	45.1	57.8	128.2%
State Department of Social Services	8.2	11.0	6.9	7.4	8.4	7.4	-	-	-	-	-	-	-	39.1	39.1	-	0.0%
State Department of Social Services	50.3	26.0	48.0	38.8	35.7	44.7	-	-	-	-	-	-	-	243.5	26.9	216.6	805.2%
Other	0.7	1.4	1.5	1.3	1.3	1.3	-	-	-	-	-	-	-	7.5	10.7	(3.2)	-29.9%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

EXHIBIT G

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	6 Months Ended September 30												\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH		
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	-	-	-	-	-	-	806.0	1,010.0
Total Miscellaneous Receipts	1,291.3	1,363.1	1,547.3	1,227.4	1,506.5	1,877.8	-	-	-	-	-	-	7,899.0	7,899.0
Federal Receipts	3,149.5	4,040.9	4,981.0	3,491.2	3,940.8	5,019.4	-	-	-	-	-	-	24,622.8	22,601.8
Total Receipts	4,440.8	5,404.0	6,528.3	4,718.6	5,447.3	6,897.2	-	-	-	-	-	-	36,367.6	33,500.7
DISBURSEMENTS:														
Local Assistance Grants:														
Education	269.8	282.9	1,018.1	167.5	192.9	2,388.4	-	-	-	-	-	-	4,319.6	4,709.3
Environment and Recreation	0.6	-	0.9	0.4	1.1	0.5	-	-	-	-	-	-	3.5	3.4
General Government	10.5	21.2	32.4	19.4	37.1	5.2	-	-	-	-	-	-	125.8	99.5
Public Health:														
Medicaid	2,579.0	3,124.7	3,433.8	3,197.4	3,433.8	2,552.0	-	-	-	-	-	-	18,320.7	17,389.3
Other Public Health	570.0	452.2	578.6	652.1	527.3	676.5	-	-	-	-	-	-	3,456.7	2,835.6
Public Safety	85.0	141.1	99.0	119.6	200.0	109.2	-	-	-	-	-	-	753.9	830.0
Public Welfare	247.2	283.2	327.4	272.9	341.6	978.5	-	-	-	-	-	-	2,450.8	2,605.9
Support and Regulate Business	0.1	1.2	4.7	1.7	3.8	3.4	-	-	-	-	-	-	14.9	85.1
Transportation	50.2	46.1	55.7	39.4	47.9	43.6	-	-	-	-	-	-	2,313.6	2,173.2
Total Local Assistance Grants	3,866.2	4,767.8	6,077.0	4,414.4	5,270.9	7,146.8	-	-	-	-	-	-	31,843.3	30,773.5
Departmental Operations:														
Personal Service	599.9	572.4	776.8	568.8	603.8	681.0	-	-	-	-	-	-	3,802.7	3,703.4
Non-Personal Service	260.1	386.2	439.7	298.2	477.1	481.6	-	-	-	-	-	-	2,342.9	2,377.8
General State Charges	189.5	272.7	117.8	27.1	103.6	453.4	-	-	-	-	-	-	1,164.1	1,093.2
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	-	-	-	-	-	2.4	0.6
Total Disbursements	5,016.0	5,998.3	7,351.5	5,635.7	6,394.9	8,764.2	-	-	-	-	-	-	38,155.6	37,948.3
Excess (Deficiency) of Receipts over Disbursements	(192.6)	(301.4)	(122.0)	(589.9)	(602.0)	(1,223.5)	-	-	-	-	-	-	(2,788.0)	(4,438.0)
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6	-	-	-	-	-	-	4,383.1	5,285.7
Transfers to Other Funds	(105.9)	(186.7)	(348.6)	(148.5)	(62.0)	(207.0)	-	-	-	-	-	-	(836.4)	(1,196.5)
Total Other Financing Sources (Uses)	454.1	1,178.9	406.2	848.5	625.4	11.6	-	-	-	-	-	-	3,546.7	4,088.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	263.5	897.5	528.2	258.6	22.8	(1,211.9)	-	-	-	-	-	-	758.7	(379.5)
Ending Fund Balance	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9	\$ 5,577.7	\$ 4,365.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,365.8	\$ 2,282.0

( ) Intra-Fund transfer eliminations represent transfers between Special Revenue- State and Federal Funds.

## EXHIBIT G

6 Months Ended September 30																	
2016		2017		2018		2019		2020		2021		2022		2023		2024	
APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2017	2018	2019	2020	2021
\$ 3,547.4	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3							\$ 3,547.4	\$ 2,472.6	\$ 1,074.8	\$ 43.5%		
RECEIPTS:																	
Taxes:																	
Personal Income Tax																	
1.3	-	420.2	-	-	108.4							529.9	623.9	(94.0)	-15.1%		
Consumption/Use Taxes:																	
Sales and Use	65.8	89.5	69.3	65.8	92.3							473.6	477.9	(4.3)	-0.9%		
Auto Rental	-	13.4	4.5	4.4	5.4							27.1	28.4	1.3	4.8%		
Cigarette/Tobacco Products	69.9	70.5	74.8	85.6	75.6							463.1	492.2	29.1	-5.9%		
Medical Marijuana	-	0.1	-	-	0.1							0.2	52.7	0.2	100.0%		
Alcohol Beverage	8.2	7.9	9.2	10.1	9.5							55.4	-	-	55.4	100.0%	
Highway Use	-	-	-	-	-							-	-	-	0.0%		
Metropolitan Commuter Trans./Taxicab Trip	15.7	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%		
Total Consumption/Use Taxes	185.4	144.3	174.2	168.3	183.5							1,054.4	1,088.6	(34.2)	-3.1%		
Business Taxes																	
Corporation Franchise	31.9	23.5	108	20.0	138.1							338.3	283.3	55.0	19.4%		
Corporate and Utilities	2.6	0.1	28.2	0.3	31.9							91.5	7.5	84.0	96.6%		
Laboratory License	2.3	2.3	1.1	3.8	3.1							9.6	7.3	2.3	31.5%		
Business Insurance	1.7	(3.4)	(0.3)	0.8	(1.5)							0.6	28.4	(27.8)	-97.9%		
Petroleum Business	39.3	34.7	41.5	52.5	43.8							253.3	252.7	0.6	0.2%		
Total Business Taxes	73.3	55.1	224.7	70.4	246.9							736.2	699.4	36.8	5.5%		
Other Taxes																	
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	107.8	104.7							608.9	586.1	12.8	2.1%		
Total Other Taxes	116.6	94.0	100.6	107.8	104.7							608.9	586.1	12.8	2.1%		
Total Taxes	376.6	293.9	945.7	345.0	643.5							2,894.4	3,008.0	(113.6)	-3.8%		
Miscellaneous Receipts:																	
Abandoned Property																	
0.9	(0.1)	1.8	0.9	-	1.8							5.3	-	-	0.0%		
Assessments:																	
Business	28.5	26.1	113.3	8.7	114.0							317.0	388.9	(51.9)	-14.1%		
Public Utility	478.5	478.5	483.3	486.7	449.2							2,741.3	2,561.0	180.3	7.0%		
Public Utilities	5.7	0.2	(0.1)	0.7	44.4							50.9	42.0	8.9	20.9%		
Other	20.4	18.9	19.3	19.8	19.7							117.2	111.1	6.1	5.5%		
Fees, Licenses and Permits:																	
Adult Fees	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%		
Business/Professional	47.7	39.0	71.4	34.8	69.8							320.7	499.0	(178.3)	-35.7%		
Civil	5.4	4.5	4.5	4.8	4.7							28.1	27.7	0.4	1.4%		
Marriage License	0.1	0.1	0.1	0.1	0.1							0.4	0.4	-	0.0%		
Motor Vehicle	39.9	42.0	42.8	46.6	41.6							246.4	254.0	(7.6)	-3.0%		
Recreational/Consumer	39.2	39.8	39.8	39.8	96.0							329.9	149.9	180.0	120.1%		
Fines, Penalties and Forfeitures	4.2	24.3	10.5	9.2	15.1							67.3	394.0	(326.7)	-82.9%		
Gaming:																	
Casino	15.3	-	34.4	15.6	37.0							102.3	133.0	(30.7)	-23.1%		
Lottery	188.8	202.5	244.8	200.9	175.1							1,240.1	1,223.5	16.6	1.4%		
Video Lottery	78.4	77.4	90.6	76.6	74.1							484.2	484.2	(0.0)	0.0%		
Intergovernmental	2.6	3.0	3.7	4.3	4.0							18.6	18.6	0.0	0.0%		
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-							-	-	-	0.0%		
Cost Recovery Assessments	-	-	22.6	(2.2)	-							20.4	20.4	-	0.0%		
Insurance Fees	7.2	-	-	-	-							7.2	7.2	-	0.0%		
Non Bond Related	0.9	-	-	0.5	-							1.6	2.1	(0.5)	-23.8%		
Receipts from Municipalities	98.4	7.2	37.3	4.7	7.1							118.8	72.8	46.0	63.2%		
Rentals	55.3	31.1	21.3	2.2	48.6							157.9	116.5	41.4	35.5%		
Receipts of State Departments:																	
Administrative Recoveries	0.4	29.1	9.6	20.2	9.7							77.5	52.5	25.0	47.6%		
Commissions	0.2	0.2	0.1	0.3	0.3							1.2	1.2	-	0.0%		
Grants	0.9	1.0	3.1	0.8	0.7							7.3	2.7	4.6	170.4%		
Gifts, Grants and Donations	-	-	-	-	-							4.0	4.0	-	0.0%		
Indirect Cost Recoveries	145.8	189.7	91.8	120.5	234.3							982.7	33.0	949.7	2,877.9%		
Patient/Client Care Reimbursement	-	-	-	-	-							-	-	-	-		
Rebates	3.1	3.5	2.2	3.1	6.0							28.8	4.7	24.1	83.7%		
Student Loans	11.0	11.0	65.0	25.0	18.0							135.0	135.0	-	0.0%		
Student Loans and Settlements	8.2	6.9	6.4	6.4	6.4							41.5	38.0	3.5	9.0%		
All Other	50.2	25.6	48.1	39.5	45.9							248.1	26.3	221.8	843.3%		
Sales	0.7	1.4	1.3	1.3	1.3							10.7	10.7	(3.4)	-31.8%		

EXHIBIT G

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
Tuition	54.7	48.5	60.7	48.7	177.7	418.7	-	-	-	-	-	-	
Total Miscellaneous Receipts	1,278.3	1,319.2	1,330.4	1,213.7	1,480.0	1,388.0	-	-	-	-	-	-	(24.0) 916.1 11.3%
Federal Receipts	14.8	0.5	-	(1.1)	(14.7)	(0.1)	-	-	-	-	-	-	(0.6) - -100.0%
Total Receipts	1,699.7	1,613.6	2,483.6	1,538.3	1,820.3	2,512.2	-	-	-	-	-	-	838.9 10,800.3 7.8%
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Capital Construction	1.4	0.5	721.0	(0.2)	1.5	2,261.6	-	-	-	-	-	-	(68.2) 3,084.0 -3.2%
Environment and Recreation	-	-	0.2	0.2	0.6	0.2	-	-	-	-	-	-	(0.4) 1.6 -25.0%
General Government	9.7	17.3	24.1	18.4	34.0	3.6	-	-	-	-	-	-	35.0 72.1 48.5%
Public Health:													
Medicaid	335.2	497.6	428.4	641.7	477.1	406.0	-	-	-	-	-	-	184.2 2,591.8 7.5%
Other Public Health	149.8	67.6	373.7	161.8	95.9	306.9	-	-	-	-	-	-	(164.7) 1,320.4 -12.5%
Public Safety	11.8	19.4	11.2	7.4	13.4	15.7	-	-	-	-	-	-	20.7 58.2 35.6%
Public Welfare	0.4	0.9	0.5	0.1	0.6	0.1	-	-	-	-	-	-	0.4 2.2 18.2%
Support and Regulate Business	0.4	0.2	0.4	0.4	0.5	0.1	-	-	-	-	-	-	0.4 1.3 -2.1%
Transportation	192.1	457.6	512.2	307.8	465.5	428.7	-	-	-	-	-	-	170.3 2,194.8 7.8%
Total Local Assistance Grants	700.5	1,052.3	2,077.0	1,136.6	1,091.1	3,426.2	-	-	-	-	-	-	88.8 9,406.9 0.9%
Departmental Operations:													
Personal Service	551.1	528.2	704.9	527.5	545.2	633.9	-	-	-	-	-	-	80.5 3,410.3 2.4%
Non-Personal Service	214.5	292.2	323.0	216.8	325.1	302.9	-	-	-	-	-	-	(62.5) 1,739.0 -3.6%
General State Charges	179.0	237.6	103.6	26.9	69.1	416.6	-	-	-	-	-	-	72.0 960.8 7.5%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	-	-	-	-	-	1.8 0.6 300.0%
Total Disbursements	1,645.2	2,120.4	3,208.7	1,912.0	2,030.7	4,781.2	-	-	-	-	-	-	180.6 15,517.6 1.2%
Excess (Deficiency) of Receipts over Disbursements	24.5	(506.8)	(725.1)	(372.2)	(210.4)	(2,269.0)	-	-	-	-	-	-	655.3 (4,717.3) 14.0%
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	560.0	1,365.6	755.0	866.8	708.0	218.6	-	-	-	-	-	-	(915.9) 5,519.9 -16.6%
Transfers to Other Funds	(4.4)	(4.3)	(38.9)	(18.4)	(22.5)	(42.5)	-	-	-	-	-	-	(338.1) (469.1) -72.1%
Total Other Financing Sources (Uses)	555.6	1,361.3	716.1	848.4	685.5	176.1	-	-	-	-	-	-	(677.8) 5,050.8 -41.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	580.1	854.5	(9.0)	606.2	475.1	(2,092.9)	-	-	-	-	-	-	80.5 333.5 24.1%
Ending Fund Balance	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3	\$ 3,961.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,155.3 2,806.1 41.2%

EXHIBIT G

STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease			
	2016						2017									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 59.7	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)							\$ 59.7	\$ 189.2	\$ (129.5)	-68.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Assessments:																
Business:	3.9	33.4	0.1	2.0	10.2	0.1							49.7	47.6	2.1	4.4%
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.9	0.7	0.6	3.2	0.7	1.4							7.5	7.2	0.3	4.0%
Interest Earnings	0.2	0.2	0.2	0.1	0.3	0.2							1.2	0.2	1.0	4.2%
Receipts from Public Authorities:																500.0%
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-	-	-							0.1	0.6	(0.5)	-83.3%
Commissions	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-							-	-	-	0.0%
Rebates	7.9	9.2	7.8	8.4	9.1	8.5							50.9	51.3	(0.4)	-0.8%
Restitution and Settlements	-	-	-	-	-	-							-	-	-	-100.0%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.1	0.4	(0.1)	-	(3.8)	(1.2)							(4.6)	0.6	(5.2)	-86.7%
Sales	-	-	0.2	-	-	-							0.2	-	-	100.0%
Tuition	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	13.0	43.9	8.9	13.7	16.5	9.0							105.0	107.6	(2.6)	-2.4%
Federal Receipts	3,134.7	4,040.4	4,981.0	3,492.3	3,955.5	5,019.5							24,623.4	22,601.8	2,021.6	8.9%
Total Receipts	3,147.7	4,084.3	4,989.9	3,506.0	3,972.0	5,028.5							24,728.8	22,709.4	2,019.0	8.9%



STATE OF NEW YORK  
SPECIAL REVENUE FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	6 Months Ended September 30															
	2016		2017						2016		2015		\$ Increase/ (Decrease)	% Increase/ Decrease		
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
<b>DISBURSEMENTS:</b>																
Local Assistance Grants:																
Education	289.4	282.4	297.1	167.7	191.4	126.8							1,333.9	1,625.3	(291.5)	-17.9%
Elderly Assistance and Recreation	0.6		0.7	0.2	0.5	0.3							0.8	0.8	0.0	-27.8%
General Government	0.8	3.9	8.3	1.0	3.1	1.6							18.7	27.4	(8.7)	-31.8%
Public Health:																
Medicaid	2,243.8	2,627.1	3,005.4	2,555.7	2,956.7	2,146.0							15,534.7	14,797.5	737.2	5.0%
Other Public Health	420.2	384.6	204.9	431.4	389.6	431.4							2,301.0	1,515.2	785.8	51.9%
Public Safety	73.2	121.7	87.8	112.2	186.6	93.5							675.0	771.8	(96.8)	-12.5%
Public Welfare	246.8	282.3	326.9	272.8	341.0	978.4							2,448.2	2,603.7	(155.5)	-6.0%
Support and Regulate Business	-	-	-	0.3	0.3	-							1.6	3.3	(1.7)	-51.5%
Transportation	6.1	3.6	8.9	2.6	17.1	4.2							32.5	1.6	30.9	58.3%
Total Local Assistance Grants	3,239.3	3,703.0	3,940.0	3,602.8	4,119.1	3,720.8	-	-	-	-	-	-	22,347.6	21,368.1	979.4	4.6%
Departmental Disbursements:																
Personal Service	48.8	44.2	71.9	41.3	58.6	47.1							311.9	293.1	18.8	6.4%
Non-Personal Service	45.6	94.0	116.7	79.4	152.0	178.7							666.4	638.8	27.6	4.3%
General State Charges	10.5	35.1	14.2	0.2	34.5	36.8							131.3	132.4	(1.1)	-0.8%
Capital Projects	-	-	-	-	-	-							-	-	-	0.0%
Total Disbursements	3,364.8	3,878.9	4,142.8	3,723.7	4,364.2	3,983.0	-	-	-	-	-	-	23,457.4	22,430.7	1,026.7	4.6%
Excess (Deficiency) of Receipts over Disbursements	(217.1)	205.4	847.1	(217.7)	(392.2)	1,045.5	-	-	-	-	-	-	1,271.0	278.7	992.3	356.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	(309.9)	-	-	-								-	-	0.0%
Transfers to Other Funds	(98.5)	(162.4)		(129.9)	(60.1)	(164.5)							(926.3)	(982.0)	(65.7)	-6.6%
Total Other Financing Sources (Uses)	(98.5)	(162.4)	(309.9)	(129.9)	(60.1)	(164.5)	-	-	-	-	-	-	(926.3)	(992.0)	(65.7)	-6.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(316.6)	43.0	537.2	(347.6)	(452.3)	881.0	-	-	-	-	-	-	344.7	(713.3)	1,058.0	148.3%
Ending Fund Balance	(256.9)	(213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)	\$ 404.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 404.4	\$ (524.1)	\$ 928.5	177.2%

## EXHIBIT H

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EXHIBIT I

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

2016										6 Months Ended September 30									
Beginning Fund Balance																			
APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	Transfer Eliminations (*)	2015	2016	\$ Increase/ (Decrease)	% Increase/ Decrease			
\$ (880.8)	\$ (857.0)	\$ (846.8)	\$ (652.0)	\$ (628.3)	\$ (777.3)							\$ -	\$ (724.4)	\$ (890.8)	\$				
RECEIPTS:																			
Taxes:																			
Consumption/Use Taxes:																			

EXHIBIT I

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - COMBINED  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education	-	0.2	0.1	7.8	5.6	2.7	-	-	-	-	-	-	2.8
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2	-	-	-	-	-	-	43.3
General Government	58.9	11.5	18.0	16.6	16.6	35.4	-	-	-	-	-	-	48.7
Health:													116.7
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	42.3
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	2.7	11.5	7.4	9.8	3.4	11.8	-	-	-	-	-	-	-
Public Safety	(1.3)	5.9	0.5	2.2	0.6	10.4	-	-	-	-	-	-	0.9
Public Welfare	-	15.8	-	35.0	6.0	15.7	-	-	-	-	-	-	17.0
Support and Regulate Business	2.7	8.3	17.8	46.0	187.0	40.2	-	-	-	-	-	-	1.3
Transportation	27.8	40.4	78.9	77.3	78.0	232.0	-	-	-	-	-	-	7.6
<b>Total Local Assistance Grants</b>	<b>93.5</b>	<b>97.5</b>	<b>288.9</b>	<b>207.0</b>	<b>291.2</b>	<b>353.4</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>155.6</b>
Departmental Operations:													<b>341.2</b>
Police	-	-	-	-	-	-	-	-	-	-	-	-	-
Fire	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Changes	-	-	-	-	-	-	-	-	-	-	-	-	-
Capital Projects	313.5	485.9	843.6	470.7	561.2	688.1	-	-	-	-	-	-	35.6
<b>Total Disbursements</b>	<b>407.0</b>	<b>583.4</b>	<b>932.5</b>	<b>677.7</b>	<b>852.4</b>	<b>1,042.5</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>376.8</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>(102.9)</b>	<b>(144.6)</b>	<b>88.5</b>	<b>(302.6)</b>	<b>(420.9)</b>	<b>115.3</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(131.6)</b>
<b>OTHER FINANCING SOURCES (USES):</b>													<b>-20.5%</b>
Bond Proceeds (net)	-	-	183.8	362.5	352.8	28.9	-	-	-	-	-	-	-
Transfers from Other Funds	162.2	(28.0)	(32.1)	(31.2)	(30.9)	(296.7)	-	-	-	-	-	-	241.8
Transfers to Other Funds	(25.5)	-	-	-	-	-	-	-	-	-	-	-	(181.3)
<b>Total Other Financing Sources (Uses)</b>	<b>136.7</b>	<b>154.8</b>	<b>106.3</b>	<b>331.3</b>	<b>321.9</b>	<b>(766.8)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>423.1</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>33.8</b>	<b>10.2</b>	<b>194.8</b>	<b>23.7</b>	<b>(99.0)</b>	<b>(151.5)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>291.5</b>
<b>Ending Fund Balance</b>	<b>\$ (857.0)</b>	<b>\$ (846.8)</b>	<b>\$ (652.0)</b>	<b>\$ (628.3)</b>	<b>\$ (727.3)</b>	<b>\$ (878.8)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (1,003.9)</b>
													<b>\$ 125.1</b>
													<b>12.5%</b>

(\*) Intra-Fund transfer eliminations represent transfers from Capital Projects- State and Federal Funds.



EXHIBIT I

STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - STATE  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017  
(Amounts in millions)

	6 Months Ended September 30												% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
<b>DISBURSEMENTS:</b>													
Local Assistance Grants:													
Education	-	0.2	0.1	7.8	5.6	2.7	-	-	-	-	-	-	2.8
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2	-	-	-	-	-	-	(3.4)
General Government	58.9	11.5	18.0	16.6	18.6	35.4	-	-	-	-	-	-	116.7
Public Health:													
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Public Health	2.7	11.5	7.4	9.8	3.4	7.9	-	-	-	-	-	-	(3.0)
Public Safety	(1.3)	5.9	0.5	2.2	0.6	8.4	-	-	-	-	-	-	(0.7)
Public Welfare	-	15.8	-	35.0	6.0	15.7	-	-	-	-	-	-	17.6
Support and Regulate Business	2.7	8.3	178.8	48.0	167.0	40.2	-	-	-	-	-	-	381.3
Transportation	5.6	3.2	51.3	14.9	13.9	117.1	-	-	-	-	-	-	48.7
Total Local Assistance Grants	71.5	60.3	261.2	142.3	228.7	232.6	-	-	-	-	-	-	218.8
Departmental Operations:													
Personal Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Non-Personnel Services	-	-	-	-	-	-	-	-	-	-	-	-	-
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-
Capital Projects	257.6	376.4	515.4	344.3	405.9	491.4	-	-	-	-	-	-	70.8
Total Disbursements	329.1	436.7	776.6	486.6	636.6	724.0	-	-	-	-	-	-	289.6
Excess (Deficiency) of Receipts over Disbursements	(152.2)	(154.3)	17.0	(270.0)	(434.7)	73.9	-	-	-	-	-	-	(212.7)
<b>OTHER FINANCING SOURCES (USES):</b>													
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9	-	-	-	-	-	-	272.2
Transfers to Other Funds	(25.5)	(29.0)	(27.9)	(29.3)	(30.9)	(266.2)	-	-	-	-	-	-	(184.8)
Total Other Financing Sources (Uses)	136.7	154.8	110.5	333.2	321.9	(236.3)	-	-	-	-	-	-	457.0
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(15.5)	0.5	127.5	63.2	(112.8)	(162.4)	-	-	-	-	-	-	244.3
Ending Fund Balance	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (268.6)	\$ (431.0)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 255.2

EXHIBIT I

**STATE OF NEW YORK  
CAPITAL PROJECTS FUNDS - FEDERAL  
STATEMENT OF RECEIPTS AND DISBURSEMENTS  
FISCAL YEAR 2016-2017**  
(Amounts in millions)

	6 Months Ended September 30												
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ (559.3)	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)							
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	
Assessments:													
Business	-	-	-	-	-	-	-	-	-	-	-	-	
Fees, Licenses and Permits:													
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	
Civil	-	-	-	-	-	-	-	-	-	-	-	-	
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	
Rentals	0.1	-	-	0.1	0.1	-	-	-	-	0.3	-	-	
Revenues of State Departments:													
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	
All Other	-	-	-	-	-	-	-	-	-	-	-	-	
Sales	0.9	-	0.1	-	-	-	-	-	-	-	-	-	
Total Miscellaneous Receipts	1.0	-	0.1	0.1	0.1	-	-	-	-	-	-	-	
Federal Receipts	126.2	156.4	227.3	153.4	229.5	359.9							
Total Receipts	127.2	156.4	227.4	153.5	229.6	359.9	-	-	-	-	-	-	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	-	-	-	-	-	-	-	-	-	-	-	-	
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	
General Government	-	-	-	-	-	-	-	-	-	-	-	-	
Public Health:													
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	
Other Public Health	-	-	-	-	-	3.9	-	-	-	3.9	-	-	
Public Safety	-	-	-	-	-	2.0	-	-	-	2.0	-	-	
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	
Transfers to Other Funds	22.0	37.2	27.7	64.7	64.5	114.9				214.5	214.5	116.5	
Total Local Assistance Grants	22.0	37.2	27.7	64.7	64.5	120.8	-	-	-	-	-	-	
Departmental Operations:													
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	
General State Charges	55.9	109.5	128.2	126.4	151.3	197.7				804.2	-	-	
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	
Total Disbursements	77.9	146.7	155.9	191.1	215.8	318.5	-	-	-	1,016.7	87.2	8.6%	
Excess (Deficiency) of Receipts over Disbursements	49.3	9.7	71.5	(37.6)	13.8	41.4	-	-	-	-	67.0	81.1	
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	
Transfers to Other Funds	-	-	(4.2)	(1.9)	-	(30.5)				(2.7)	(2.7)	33.9	
Total Other Financing Sources (Uses)	-	-	(4.2)	(1.9)	-	(30.5)	-	-	-	-	(2.7)	33.9	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	49.3	9.7	67.3	(39.5)	13.8	10.9	-	-	-	-	-	47.2	
Ending Fund Balance	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ (447.8)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (447.8)	
												\$ (130.1)	



STATE OF NEW YORK  
ENTERPRISE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

EXHIBIT J

	2017												6 Months Ended September 30				
	2017														\$ Increase/ (Decrease)		% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016 \$	2015 \$			
Beginning Fund Balance	\$ 66.1	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3							\$ 66.1	\$ 50.6	\$ 15.5	30.6%	
RECEIPTS:																	
Miscellaneous Receipts	4.1	4.5	5.9	4.5	5.1	8.6							32.7	36.5	(3.8)	-10.4%	
Federal Receipts	1.4	1.3	1.5	1.3	1.6	1.5							8.6	15.4	(6.8)	-44.2%	
Unemployment Taxes	132.0	184.1	159.6	167.8	208.0	150.9							1,002.4	1,100.9	(98.5)	-8.9%	
Total Receipts	137.5	189.9	167.0	173.6	214.7	161.0							1,043.7	1,152.8	(109.1)	-9.5%	
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	0.4	0.1	0.4	0.2	0.5	0.1							1.7	2.3	(0.6)	-26.1%	
Non-Personal Service	2.4	4.1	4.1	6.6	2.6	5.9							25.7	46.8	(21.1)	-45.1%	
General State Charges	0.2	0.1	-	0.1	-	0.1							0.4	0.3	0.1	33.3%	
Unemployment Benefits	177.6	185.2	161.3	169.0	209.7	152.1							1,054.9	1,086.6	(31.7)	-3.3%	
Total Disbursements	180.6	189.5	165.8	175.8	212.8	158.2							1,082.7	1,146.0	(63.3)	-5.5%	
Excess (Deficiency) of Receipts over Disbursements	(43.1)	0.4	1.2	(2.2)	1.9	2.8							(39.0)	6.8	(45.8)	-673.5%	
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%	
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%	
Total Other Financing Sources (Uses)	-	-	-	-	-	-							-	-	-	0.0%	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(43.1)	0.4	1.2	(2.2)	1.9	2.8							(39.0)	6.8	(45.8)	-673.5%	
Ending Fund Balance	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ 27.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27.1	\$ 57.4	\$ (30.3)	-52.8%	

## EXHIBIT K

STATE OF NEW YORK  
INTERNAL SERVICE FUNDS  
STATEMENT OF CASH FLOW  
FISCAL YEAR 2016-17  
(Amounts in millions)

	2017												6 Months Ended September 30		\$ Increase/ (Decrease)	% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016 \$ (127.2)	2015 \$ (196.7)		
Beginning Fund Balance	\$ (127.2)	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)							\$ (127.2)	\$ (196.7)	\$ 69.5	35.3%
RECEIPTS:																
Miscellaneous Receipts	17.5	30.1	43.1	32.9	35.1	29.5	29.5	-	-	-	-	-	188.2	258.2	(70.0)	-27.1%
Total Receipts	17.5	30.1	43.1	32.9	35.1	29.5	29.5	-	-	-	-	-	188.2	258.2	(70.0)	-27.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4	7.2	10.4	6.9	8.7	7.7							48.3	43.7	4.6	10.5%
Non-Personal Service	79.5	41.1	36.1	20.9	47.5	39.0							264.1	235.7	28.4	12.0%
General State Charges	-	8.2	4.1	-	6.0	2.9							21.2	19.9	1.3	6.5%
Total Disbursements	86.9	56.5	50.6	27.8	62.2	49.6	-	-	-	-	-	-	333.6	299.3	34.3	11.5%
Excess (Deficiency) of Receipts over Disbursements	(69.4)	(26.4)	(7.5)	5.1	(27.1)	(20.1)	-	-	-	-	-	-	(145.4)	(41.1)	(104.3)	-253.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	5.3	4.3	1.8	0.8	5.0	4.5							21.7	30.8	(9.1)	-29.5%
Transfers to Other Funds	-	-	(0.2)	-	-	(7.5)							(7.7)	(7.9)	0.2	2.5%
Total Other Financing Sources (Uses)	5.3	4.3	1.6	0.8	5.0	(3.0)	-	-	-	-	-	-	14.0	22.9	(9.3)	-40.6%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(64.1)	(22.1)	(5.9)	5.9	(22.1)	(23.1)	-	-	-	-	-	-	(131.4)	(18.2)	(113.6)	-624.2%
Ending Fund Balance	\$ (191.3)	\$ (213.4)	\$ (219.3)	\$ (213.4)	\$ (235.5)	\$ (258.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (258.6)	\$ (214.9)	\$ (43.7)	-20.3%

**EXHIBIT L**

	2016	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	6 Months Ended September 30,	\$ Increase / Decrease \$	% Increase/ Decrease %
	APRIL \$ 0.1	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	(14.1)							2015 \$ (16.9)	\$ 17.0	100.6%
<b>Beginning Fund Balance</b>															
<b>RECEIPTS:</b>															
Miscellaneous Receipts	7.2	4.5	6.9	4.6	5.3	4.6	-	-	-	-	-	-	58.0	(24.7)	-42.6%
Total Receipts	7.2	4.5	6.9	4.6	5.3	4.8	-	-	-	-	-	-	58.0	(24.7)	-42.6%
<b>DISBURSEMENTS:</b>															
Departmental Operations:															
Personal Service	4.8	4.6	6.9	4.5	5.2	4.7	-	-	-	-	-	-	31.2	(0.5)	-1.6%
Non-Personal Service	0.7	0.7	1.1	1.3	1.4	0.9	-	-	-	-	-	-	6.1	0.8	15.1%
General State Charges	-	-	2.0	-	9.5	-	-	-	-	-	-	-	11.5	(2.9)	-20.1%
Total Disbursements	5.5	5.3	10.0	5.8	16.1	5.6	-	-	-	-	-	-	50.9	(2.6)	-5.1%
<b>Excess (Deficiency) of Receipts over Disbursements</b>	1.7	(0.8)	(3.1)	(1.2)	(10.8)	(0.8)	-	-	-	-	-	-	7.1	(22.1)	-311.3%
<b>OTHER FINANCING SOURCES (USES):</b>															
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	1.7	(0.8)	(3.1)	(1.2)	(10.8)	(0.8)	-	-	-	-	-	-	7.1	(22.1)	-311.3%
<b>Ending Fund Balance</b>	\$ 1.8	\$ 1.0	\$ (2.1)	\$ (3.3)	\$ (14.1)	\$ (14.9)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (9.8)	\$ (5.1)	-52.0%

## EXHIBIT M

	6 Months Ended September 30												% Increase/Decrease			
	2016						2017									
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ 11.6	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2							\$ 11.6	2015	\$ 11.5	
<b>RECEIPTS:</b>																
Miscellaneous Receipts	(1.5)	1.7	(1.5)	-	-	0.1							(1.2)	-	-	(1.2)
Total Receipts	(1.5)	1.7	(1.5)	-	-	0.1							(1.2)	-	-	(1.2)
<b>DISBURSEMENTS:</b>																
Departmental Operations:																
Personal Service	0.1	-	-	-	-	-							0.1	0.2	(0.1)	(0.1)
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Total Disbursements	0.1	-	-	-	-	0.1							0.2	0.2	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	(1.6)	1.7	(1.5)	-	-	-							(1.4)	(0.2)	-	-600.0%
<b>OTHER FINANCING SOURCES (USES):</b>																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-							-	-	-	0.0%
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>																
Ending Fund Balance	(1.6)	1.7	(1.5)	-	-	-							(1.4)	(0.2)	(1.2)	-600.0%
	\$ 10.0	\$ 11.7	\$ 10.2	\$ 10.2	\$ 10.2	\$ 10.2	\$	\$	\$	\$	\$	\$	\$ 10.1	\$ 11.3	\$	-9.7%

## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)

	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
<b>GENERAL FUND</b>					
10000-10049-Local Assistance Account	\$ -	\$ 0.046	\$ 3,653.508	\$ 3,653.462	\$ -
10050-10099-State Operations Account	6,169.736	5,070.500	237.517	(1,496.420)	9,506.299
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	59.294	-	0.807	1,000	59.487
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	511.572	511.572	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
<b>TOTAL GENERAL FUND</b>	<b>6,229.030</b>	<b>5,582.118</b>	<b>4,403.404</b>	<b>2,158.042</b>	<b>9,565.786</b>
<b>SPECIAL REVENUE FUNDS-STATE</b>					
20000-20099-Mental Health Gifts and Donations	2,210	0.006	0.007	-	2,209
20100-20299-Combined Expendable Trust	65,201	0.806	2,020	-	63,987
20300-20349-New York Interest on Lawyer Account	42,383	1,192	1,432	-	42,143
20350-20399-NYS Archives Partnership Trust	0,239	0.001	0.027	-	0,213
20400-20449-Child Performer's Protection	0,245	0.015	0.051	-	0,209
20450-20499-Tuition Reimbursement	5,931	0.458	0.074	-	6,315
20500-20549-New York State Local Government Records Management Improvement	3,317	0.836	0.918	-	3,235
20550-20599-School Tax Relief	4,893	108.447	108.234	-	5,106
20600-20649-Charter Schools Stimulus	3,290	0.001	1,578	-	1,713
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	158,509	480,517	407,648	(17,651)	213,727
20850-20899-Dedicated Mass Transportation Trust	59,407	54,446	49,724	15,665	79,794
20900-20949-State Lottery	1,337,237	249,437	2,165,181	-	(578,507)
20950-20999-Combined Student Loan	18,326	1,377	7,068	-	12,635
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4,197)	-	(0.482)	-	(3,715)
21050-21149-Encon Special Revenue	(24,818)	12,206	5,111	2,542	(15,181)
21150-21199-Conservation	66,326	16,095	3,159	-	79,262
21200-21249-Environmental Protection and Oil Spill Compensation	33,187	3,731	2,202	-	34,716
21250-21299-Training and Education Program on OSHA	10,406	7,101	7,384	-	10,123
21300-21349-Lawyers' Fund for Client Protection	2,937	1,092	0,061	-	3,968
21350-21399-Equipment Loan for the Disabled	0,515	0,003	-	-	0,518
21400-21449-Mass Transportation Operating Assistance	30,739	311,087	148,183	5,016	198,659
21450-21499-Clean Air	0,067	3,944	3,515	-	(19,430)
21500-21549-New York State Infrastructure Trust	11,015	0,061	0,076	-	11,000
21550-21599-Legislative Computer Services	-	-	-	-	-
21600-21649-Biodiversity Stewardship and Research	0,457	-	-	-	0,457
21650-21699-Combined Non-Expendable Trust	-	-	-	-	-
21700-21749-Winter Sports Education Trust	0,001	-	-	-	0,001
21750-21799-Musical Instrument Revolving	0,837	-	-	-	0,839
21850-21899-Arts Capital Revolving	-	0,002	-	-	-
21900-22499-Miscellaneous State Special Revenue	2,061,579	393,843	1,112,398	182,352	1,525,376

## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)

	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
<b>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</b>					
22500-22549-Court Facilities Incentive Aid	16,660	0,010	3,641	-	13,029
22550-22599-Employment Training	0,050	-	-	-	0,050
22650-22699-State University Income	1,231,659	695,391	497,991	15,256	1,444,315
22700-22749-Chemical Dependence Service	42,510	0,549	-	-	43,059
22750-22799-Lake George Park Trust	0,444	0,001	0,078	-	0,367
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	70,792	9,951	0,305	-	80,438
22850-22899-New York Great Lakes Protection	0,459	-	(0,041)	-	0,500
22900-22949-Federal Revenue Maximization	0,023	-	-	-	0,023
22950-22999-Housing Development	9,565	0,007	-	-	9,572
23000-23049-NYS/DOT Highway Safety Program	(8,535)	(0,002)	0,234	-	(8,771)
23050-23099-Vocational Rehabilitation	0,137	0,012	-	-	0,149
23100-23149-Drinking Water Program Management and Administration	(5,984)	-	-	-	(5,984)
23150-23199-NYC County Clerks' Operations Offset	(36,554)	-	2,391	-	(38,945)
23200-23249-Judiciary Data Processing Offset	4,069	5,231	1,683	-	7,617
23250-23249-IFR/CUTRA	163,837	10,728	13,729	-	160,836
23500-23549-USOC Lake Placid Training	0,076	0,002	-	-	0,078
23550-23599-Indigent Legal Services	179,840	11,974	3,115	-	188,699
23600-23649-Unemployment Insurance Interest and Penalty	25,149	1,003	0,501	(4,164)	21,487
23650-23699-MTA Financial Assistance Fund	185,024	127,499	231,500	81,808	81,808
23700-23749-New York State Commercial Gaming Fund	117,420	0,754	0,048	-	118,126
23750-23799-Medical Marihuana Trust Fund	1,297	0,048	0,457	-	0,888
23800-23899-Dedicated Miscellaneous State Special Revenue	-	-	-	-	-
40350-40399-State University Dormitory Income	185,999	2,320	-	(23,712)	164,607
<b>TOTAL SPECIAL REVENUE FUNDS-STATE</b>	<b>6,064,317</b>	<b>2,512,182</b>	<b>4,781,201</b>	<b>176,089</b>	<b>3,961,387</b>
<b>SPECIAL REVENUE FUNDS-FEDERAL</b>					
25000-25099-Federal USDA/Food and Consumer Services	16,074	117,080	129,403	(9,982)	(6,231)
25100-25199-Federal Health and Human Services	(379,340)	4,527,712	3,451,182	(150,635)	546,555
25200-25249-Federal Education	(15,814)	212,332	214,015	(1,407)	(18,904)
25300-25399-Federal Miscellaneous Operating Grants	(196,887)	112,731	124,419	(2,479)	(211,054)
25900-25949-Unemployment Insurance Administration	99,463	34,367	39,530	-	94,300
25950-25999-Unemployment Insurance Occupational Training	1,561	0,600	0,556	-	1,605
26000-26049-Federal Employment and Training Grants	(1,653)	23,757	23,967	-	(1,863)
<b>TOTAL SPECIAL REVENUE FUNDS-FEDERAL</b>	<b>(476,596)</b>	<b>5,028,579</b>	<b>3,983,072</b>	<b>(164,503)</b>	<b>404,408</b>
<b>TOTAL SPECIAL REVENUE FUNDS</b>	<b>5,577,721</b>	<b>7,540,761</b>	<b>8,764,273</b>	<b>11,586</b>	<b>4,365,795</b>
<b>DEBT SERVICE FUNDS</b>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	66,070	28,482	-	41,279	135,831
40150-40199-General Debt Service	959,756	1,502,368	750,905	(1,518,817)	192,402
40250-40299-State Housing Debt Service	-	1,091	3,689	2,598	-
40300-40349-Department of Health Income	32,151	12,454	-	(11,057)	33,548
40400-40449-Clean Water/Clean Air	8,551	88,824	-	(87,044)	10,331
40450-40499-Local Government Assistance Tax	42,117	326,284	38,768	(327,288)	2,345
<b>TOTAL DEBT SERVICE FUNDS</b>	<b>1,108,645</b>	<b>1,959,503</b>	<b>793,362</b>	<b>(1,900,329)</b>	<b>374,457</b>

## SCHEDULE 1

STATE OF NEW YORK  
GOVERNMENTAL FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)

	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
<b>CAPITAL PROJECTS FUNDS</b>					
30000-30049-State Capital Projects	-	334,082	311,159	(22,923)	-
30050-30099-Dedicated Highway and Bridge Trust	142,616	206,362	247,516	(218,836)	(117,374)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	134,958	(0,014)	5,324	3,145	132,765
30300-30349-New York State Canal System Development	5,032	0,078	-	-	5,110
30350-30399-Parks Infrastructure	(137,729)	114,683	7,035	-	(30,081)
30400-30449-Passenger Facility Charge	0,014	-	-	-	0,014
30450-30499-Environmental Protection	101,817	13,769	10,611	-	104,975
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30699-Energy Conservation Thru Improved Transportation Bond	0,164	-	-	-	0,164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	-	-	-	-	-
30630-30639-Transportation Capital Facilities Bond	0,668	-	-	-	0,668
30640-30649-Environmental Quality Protection Bond	3,328	-	-	-	3,328
30650-30659-Rebuild and Renew New York Transportation Bond	1,451	-	-	-	1,451
30660-30669-Transportation Infrastructure Renewal Bond	23,839	-	-	(0,549)	23,290
30670-30679-1986 Environmental Quality Bond Act	4,255	-	-	-	4,255
30680-30689-Accelerated Capacity and Transportation Improvement Bond	5,576	-	-	-	5,576
30690-30699-Clean Water/Clean Air Bond	2,778	-	-	-	2,778
30700-30709-State Housing Bond	5,013	-	-	(0,444)	4,569
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(458,669)	359,928	318,611	(30,403)	(447,755)
31450-31499-Forest Preserve Expansion	0,902	0,001	-	-	0,903
31500-31549-Hazardous Waste Remedial	(162,023)	72,447	9,073	(0,509)	(99,158)
31650-31699-Suburban Transportation	0,508	0,001	-	-	0,509
31700-31749-Division for Youth Facilities Improvement	(11,271)	-	2,661	-	(13,932)
31800-31849-Housing Assistance	(13,631)	-	-	-	(13,631)
31850-31899-Housing Program	(197,588)	11,392	15,750	-	(201,946)
31900-31949-Natural Resource Damage	15,582	0,024	0,045	-	15,561
31950-31999-DOT Engineering Services	(12,661)	-	-	0,033	(12,628)
32200-32249-Miscellaneous Capital Projects	50,029	2,893	(0,311)	-	53,033
32250-32299-CUNY Capital Projects	(0,024)	-	-	-	(0,024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(448,742)	39,532	12,137	0,200	(421,147)
32350-32399-Correction Facilities Capital Improvement	(99,788)	-	19,969	-	(119,757)
32400-32999-State University Capital Projects	171,532	2,870	5,598	3,581	172,385
33000-33049-NYS Storm Recovery Fund	(53,752)	-	(1,517)	-	(52,235)
33050-33099-Dedicated Infrastructure Investment Fund	198,472	-	78,889	-	119,583
<b>TOTAL CAPITAL PROJECTS FUNDS</b>	<b>(727,344)</b>	<b>1,157,848</b>	<b>1,042,550</b>	<b>(266,705)</b>	<b>(878,751)</b>
<b>TOTAL GOVERNMENTAL FUNDS</b>	<b>\$ 12,188,052</b>	<b>\$ 16,240,230</b>	<b>\$ 15,003,589</b>	<b>\$ 2,594</b>	<b>\$ 13,427,287</b>



## SCHEDULE 2

STATE OF NEW YORK  
 PROPRIETARY FUNDS  
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND  
 CHANGES IN FUND BALANCES  
 FOR THE MONTH OF SEPTEMBER 2016  
 (Amounts in millions)

FUND TYPE	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
<b>ENTERPRISE FUNDS</b>					
50000-50049-Youth Commissary	\$ 0.153	\$ 0.001	\$ 0.002	\$ -	\$ 0.152
50050-50099-State Exposition Special	2.294	5.022	2.612	-	4.704
50100-50299-Correctional Services Commissary	3.126	3.016	3.036	-	3.106
50300-50399-Agencies Enterprise	3.335	0.448	0.232	-	3.551
50400-50449-Sheltered Workshop	2.128	0.035	0.038	-	2.125
50450-50499-Patient Workshop	1.585	0.001	0.095	-	1.491
50500-50599-Mental Hygiene Community Stores	4.274	0.091	0.118	-	4.247
50650-50699-Unemployment Insurance Benefit	7.393	152.426	152.081	-	7.738
<b>TOTAL ENTERPRISE FUNDS</b>	<b>24.288</b>	<b>161.040</b>	<b>158.214</b>	<b>-</b>	<b>27.114</b>
<b>INTERNAL SERVICE FUNDS</b>					
55000-55049-Centralized Services	(49.556)	20.023	29.648	(7.041)	(66.222)
55050-55099-Agency Internal Service	(135.634)	6.441	10.680	4.047	(135.826)
55100-55149-Mental Hygiene Revolving	0.539	0.083	0.176	-	0.446
55150-55199-Youth Vocational Education	0.056	-	-	-	0.056
55200-55249-Joint Labor and Management Administration	0.965	-	0.036	-	0.929
55250-55299-Audit and Control Revolving	(9.997)	-	1.180	-	(11.177)
55300-55349-Health Insurance Revolving	(18.746)	0.050	0.808	-	(19.504)
55350-55399-Correctional Industries Revolving	(23.146)	2.886	7.073	-	(27.333)
<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>(235.519)</b>	<b>29.483</b>	<b>49.601</b>	<b>(2.994)</b>	<b>(258.631)</b>
<b>TOTAL PROPRIETARY FUNDS</b>	<b>\$ (211.231)</b>	<b>\$ 190.523</b>	<b>\$ 207.815</b>	<b>\$ (2.994)</b>	<b>\$ (231.517)</b>

## SCHEDULE 3

STATE OF NEW YORK  
FIDUCIARY FUNDS  
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES  
FOR THE MONTH OF SEPTEMBER 2016  
(Amounts in millions)

FUND TYPE	BALANCE		RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)		BALANCE	
	SEPTEMBER 1, 2016						SEPTEMBER 30, 2016	
<b><u>PENSION TRUST FUNDS</u></b>								
65000-65049-Common Retirement Administration	\$	(14.059)	\$	4.746	\$	5.578	\$	(14.891)
<b>TOTAL PENSION TRUST FUNDS</b>		<b>(14.059)</b>		<b>4.746</b>		<b>5.578</b>		<b>(14.891)</b>
<b><u>PRIVATE PURPOSE TRUST FUNDS</u></b>								
66000-66049-Agriculture Producers' Security		2.480		0.001		0.017		2.464
66050-66099-Milk Producers' Security		7.735		0.056		0.027		7.764
<b>TOTAL PRIVATE PURPOSE TRUST FUNDS</b>		<b>10.215</b>		<b>0.057</b>		<b>0.044</b>		<b>10.228</b>
<b><u>AGENCY FUNDS</u></b>								
60050-60149-School Capital Facilities Financing Reserve		20.717		0.463		-		21.180
60150-60199-Child Performer's Holding		0.454		0.006		0.009		0.451
60200-60249-Employees Health Insurance		699.068		876.576		768.277		807.367
60250-60299-Social Security Contribution		14.953		106.313		106.272		14.994
60300-60399-Employee Payroll Withholding		10.953		422.901		430.672		3.182
60400-60449-Employees Dental Insurance		9.978		6.087		6.797		9.268
60450-60499-Management Confidential Group Insurance		0.493		0.872		0.906		0.459
60500-60549-Lottery Prize		424.132		71.030		70.159		425.003
60550-60599-Health Insurance Reserve Receipts		0.121		-		-		0.121
60600-60799-Miscellaneous New York State Agency		1,522.026		175.383		181.393		1,516.016
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow		19.477		14.913		10.178		24.212
60850-60899-CUNY Senior College Operating		169.469		165.000		288.599		45.870
60900-60949-Medicaid Management Information System (MMIS) Escrow		964.270		4,949.066		5,657.149		256.187
60950-60999-Special Education		-		-		-		-
61000-61099-State University of New York Revenue Collection		421.156		(153.199)		-	0.400	268.357
61100-61999-State University Federal Direct Lending Program		(9.059)		273.331		270.614		(6.342)
62000-62049-SSI SSP Payment Escrow		-		-		-		-
<b>TOTAL AGENCY FUNDS</b>		<b>4,268.208</b>		<b>6,908.742</b>		<b>7,791.025</b>		<b>3,386.325</b>
<b>TOTAL FIDUCIARY FUNDS</b>	<b>\$</b>	<b>4,264.364</b>	<b>\$</b>	<b>6,913.545</b>	<b>\$</b>	<b>7,796.647</b>	<b>\$</b>	<b>3,381.662</b>

## SCHEDULE 4

STATE OF NEW YORK  
 SOLE CUSTODY AND INVESTMENT ACCOUNTS  
 STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS  
 FOR THE MONTH OF SEPTEMBER 2016  
 (Amounts in millions)

FUND TYPE	BALANCE		RECEIPTS	DISBURSEMENTS	BALANCE
	SEPTEMBER 1, 2016				
<b>ACCOUNTS</b>					
70000-70049-Tobacco Settlement	\$	2,721	\$	0.001	\$ 2,722
70050-70149-Sole Custody Investment (*)		1,694,303		8,498,852	2,204,812
70200-Comptroller's Refund		-		93,282	-
<b>TOTAL ACCOUNTS</b>					
	\$	1,697,024	\$	8,592,135	\$ 2,207,534

**(\*) Includes Public Asset Fund resources**

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation, as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2016, \$8,993,622.74 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

SCHEDULE 5

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
STATEMENT OF DIRECT STATE DEBT ACTIVITY  
FISCAL YEAR ENDED MARCH 31, 2017

PURPOSE	DEBT			DEBT ISSUED			DEBT MATURED			INTEREST DISBURSED		
	OUTSTANDING APR. 1, 2016	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016	OUTSTANDING SEPT. 30, 2016	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016		
GENERAL OBLIGATION BONDED DEBT:												
Accelerated Capacity and Transportation Improvements	\$ 106,955,627.05	\$ -	-	\$ -	\$ 39,550,487.04	\$ 67,405,140.01	\$ 124,555.31	\$ 2,604,015.77				
Clean Water/Clean Air:												
Air Quality	3,010,952.09	-	-	-	142,894.15	2,868,057.94	28,774.00	65,682.99				
Safe Drinking Water	-	-	-	-	-	-	-	-				
Water	402,544,044.61	-	-	-	11,662,595.73	390,881,448.88	2,885,740.17	7,734,423.87				
Solid Waste	37,125,908.42	-	-	-	1,749,960.93	35,375,947.49	118,105.76	460,740.64				
Environmental Restoration	79,264,390.55	-	-	-	150,000.00	79,114,390.55	843,146.90	1,638,298.50				
Energy Conservation Through Improved Transportation:												
Rapid Transit and Rail Freight	2,574,158.76	-	-	175,000.00	641,630.73	1,932,528.03	9,629.45	56,622.41				
Environmental Quality (1972):												
Air	494,853.29	-	-	-	160,000.00	334,853.29	-	12,265.14				
Land and Wetlands	6,671,868.18	-	-	-	2,303,107.56	4,368,760.62	53,291.45	165,084.77				
Water	29,701,680.75	-	-	630,000.00	7,787,062.80	21,914,617.95	210,453.64	602,792.17				
Environmental Quality (1986):												
Land Acquisition/Development/Restoration/Forests	15,489,192.93	-	-	-	2,346,200.74	13,142,992.19	49,859.16	284,643.17				
Solid Waste Management	179,135,421.94	-	-	-	6,579,393.52	172,556,028.42	1,072,408.11	3,840,834.12				
Housing:												
Low Cost	16,120,000.00	-	-	-	1,820,000.00	14,300,000.00	-	255,600.00				
Middle Income	13,975,000.00	-	-	3,455,000.00	3,455,000.00	10,520,000.00	233,745.00	233,745.00				
Park and Recreation Land Acquisition	6,351.45	-	-	-	-	6,351.45	127.03	127.03				
Pure Waters	31,246,366.40	-	-	465,000.00	4,845,555.71	26,400,810.69	204,756.06	659,242.04				
Rail Preservation Development	297,438.64	-	-	-	297,438.64	-	-	7,867.25				
Rebuild and Renew New York Transportation:												
Highway Facilities	802,029,290.37	-	-	-	-	802,029,290.37	9,990,486.14	17,907,368.51				
Canals and Waterways	15,019,108.04	-	-	-	-	15,019,108.04	221,038.64	351,947.80				
Aviation	48,703,092.50	-	-	-	-	48,703,092.50	513,873.55	1,049,387.84				
Rail and Port	79,651,413.07	-	-	-	-	79,651,413.07	521,921.26	1,822,052.37				
Mass Transit - Dept. of Transportation	6,268,331.08	-	-	-	-	6,268,331.08	18,146.97	141,704.35				
Mass Transit - Metropolitan Transportation Authority	838,086,893.13	-	-	-	-	838,086,893.13	7,055,168.90	18,371,959.55				
Rebuild New York Transportation Infrastructure Renewal:												
Highways, Parkways, and Bridges	1,427,346.08	-	-	-	-	1,427,346.08	28,194.91	33,334.47				
Rapid Transit, Rail and Aviation	5,775,985.38	-	-	-	1,304,038.11	4,471,947.27	15,450.00	130,652.34				
Transportation Capital Facilities:												
Aviation	5,846,614.98	-	-	-	1,455,964.57	4,390,650.41	25,050.00	124,423.06				
Mass Transportation	38,669.77	-	-	-	38,669.77	-	-	996.40				
Total General Obligation Bonded Debt	\$ 2,727,459,999.46	\$ -	-	\$ -	\$ 86,290,000.00	\$ 2,641,169,999.46	\$ 24,223,922.31	\$ 58,555,811.56				

SCHEDULE 5a

STATE OF NEW YORK  
DEBT SERVICE FUNDS  
FINANCING AGREEMENTS  
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2016

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								6 MONTHS ENDED SEPTEMBER 30 2016	2015	
<b>Special Contractual Financing Obligations:</b>	\$ -	\$ 123,767,943	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 123,767,943	\$ 166,518,520	\$ (42,750,577)
City University Construction	-	-	-	-	-	-	-	-	-	-
Dormitory Authority:	-	-	-	-	-	-	-	-	-	-
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DA/SNY Revenue Bond	-	-	-	-	-	385,743,701	104,256,222	489,999,923	387,476,414	82,523,509
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	14,206,759	-	-	-	-	14,206,759	14,100,513	106,246
Educational	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	69,156,250	-	-	69,156,250	71,839,317	(2,683,067)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	1,616,684	-	-	-	-	-	1,616,684	2,711,049	(1,094,365)
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	557,586	-	-	-	7,391,891	-	7,949,487	14,385,612	(6,436,125)
Housing Finance Agency	-	13,960,686	-	-	-	15,310,854	-	29,301,510	54,724,821	(25,423,311)
Local Government Assistance Corporation	-	-	-	-	-	-	-	38,352,901	45,915,369	(7,562,468)
Interstate Transportation Authority	-	-	-	38,352,901	-	-	-	-	-	-
Traight and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:	-	42,043,433	-	-	-	-	-	42,043,433	42,041,932	1,501
Dedicated Highway and Bridge	-	-	-	-	-	-	-	-	-	-
Local Highway and Bridge	-	139,211,685	-	-	-	-	-	139,211,685	383,792,725	(244,581,040)
Transportation	-	64,908,450	-	-	-	-	-	64,908,450	63,661,450	1,247,000
Urban Development Corporation:	-	-	-	-	-	46,208,075	-	46,208,075	60,259,075	(14,051,000)
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and	-	-	-	-	-	-	-	-	-	-
Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	271,586,217	-	271,586,217	207,413,913	64,172,304
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
<b>Total Disclosures for Special Contractual Financing Obligations</b>	\$ -	\$ 386,086,447	\$ 14,206,759	\$ 38,352,901	\$ 69,156,250	\$ 706,240,738	\$ 104,256,222	\$ 1,318,309,317	\$ 1,514,840,710	\$ (196,531,393)

## SCHEDULE 6

STATE OF NEW YORK  
SUMMARY OF THE OPERATING FUND INVESTMENTS  
FOR THE MONTH OF SEPTEMBER 2016  
AS REQUIRED OF THE STATE COMPTROLLER  
(Amounts in millions)

	SEPTEMBER 2016	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE SEPTEMBER 2015
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**SHORT TERM INVESTMENT POOL (\*)**

AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 13,177.9	\$ 12,894.9	\$ 13,861.1
AVERAGE YIELD (**)	0.515%	0.490%	0.126%
TOTAL INVESTMENT EARNINGS	\$ 5.395	\$ 29.925	\$ 8.951

**Month-End Portfolio Balances**

DESCRIPTION	SEPTEMBER 2016 PAR AMOUNT	SEPTEMBER 2015 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	-	\$ 285.0
REPURCHASE AGREEMENTS	33.2	1,180.7
COMMERCIAL PAPER	12,165.4	9,687.6
CERTIFICATES OF DEPOSIT/SAVINGS	1,717.4	2,221.6
0% COMPENSATING BALANCE CDs	5,220.0	4,855.0
	<b>\$ 19,136.0</b>	<b>\$ 18,229.9</b>

(\*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(\*\*) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT  
FISCAL YEAR 2016-17

APPENDIX A

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2016
<b>OPENING CASH BALANCE</b>	\$ 77,568,773	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367							\$ 77,568,773
<b>RECEIPTS:</b>													
Cigarette Tax	69,906,681	70,465,629	86,962,921	74,545,709	85,674,331	75,587,194							483,142,765
Share of NYC Cigarette Tax	2,650,000	2,650,000	3,782,000	3,168,000	3,533,000	2,900,000							18,550,000
STTP	157,882	63,209	188,226	163,893	146,893	128,403							786,406
Public Asset Transfers	-	-	-	-	-	-							-
Assessments	390,143,517	426,849,020	432,946,666	417,613,538	384,220,036	400,171,392							2,421,944,469
Fees	466,000	1,000,000	2,485,066	384,000	4,816,844	(3,675,844)							5,476,086
Rebates	2,758,000	2,854,691	970,588	13,794,356	1,052,475	5,506,892							26,937,002
Redemption and Settlements	-	-	-	-	-	-							-
Miscellaneous	-	1,689	51	-	-	-							1,720
<b>Total Receipts</b>	<b>436,300,060</b>	<b>503,952,695</b>	<b>527,293,638</b>	<b>509,511,486</b>	<b>479,443,592</b>	<b>480,517,037</b>							<b>2,936,928,536</b>
<b>DISBURSEMENTS:</b>													
Grants	321,080,053	525,480,383	418,899,454	624,008,882	449,917,568	406,367,773							2,745,754,123
Interest - Late Payments	2,682	597	308	(1,371)	104	(500)							1,820
Personal Service	471,992	841,268	1,134,203	871,453	1,204,427	141,107							4,684,450
Non-Personal Service	955,757	1,058,414	3,755,906	1,034,274	2,924,866	869,596							10,588,953
Employee Benefits/Indirect Costs	-	754,454	737,216	-	48,218	289,448							1,869,332
<b>Total Disbursements</b>	<b>322,510,494</b>	<b>528,145,116</b>	<b>424,576,867</b>	<b>625,913,286</b>	<b>454,065,173</b>	<b>407,847,685</b>							<b>2,762,868,665</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to Capital Projects Fund	-	-	-	-	-	-							-
Transfers to General Fund	-	-	17,526	-	-	-							17,526
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	9,285,215							10,591,415
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-							-
Empire State Sch. Ctl. Fund/Account	16,148,000	435,630	692,262	126,755	1,204,004	7,574,000							20,722,000
Transfers to OSJNY	1,329,292	-	-	-	-	702,179							4,550,352
<b>Total Operating Transfers</b>	<b>16,177,292</b>	<b>435,630</b>	<b>679,788</b>	<b>126,755</b>	<b>2,510,204</b>	<b>17,651,394</b>							<b>37,881,293</b>
<b>Total Disbursements and Transfers</b>	<b>338,687,786</b>	<b>528,580,946</b>	<b>425,256,775</b>	<b>626,040,023</b>	<b>456,605,377</b>	<b>425,299,079</b>							<b>2,800,769,966</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 174,881,047</b>	<b>\$ 150,252,706</b>	<b>\$ 252,199,769</b>	<b>\$ 135,671,242</b>	<b>\$ 158,509,367</b>	<b>\$ 215,777,325</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 213,727,325</b>



STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF PROGRAM DISBURSEMENTS  
FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	6 Months Ended September 30, 2016 (**)
<b>AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM</b>	\$ 4,095,000	\$ 328,395	-	\$ -	-	\$ 328,395
CIGARETTE STRIKE TASK FORCE		-	-	-	-	-
<b>CENTER FOR COMMUNITY HEALTH PROGRAM</b>	144,408,082	-	-	-	-	-
ADEPHI UNVRST CANC SPRT PRG		-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		757,361	163,909	217,654	168,902	1,307,826
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN - CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANDICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
<b>CHILD HEALTH INSURANCE PROGRAM</b>	992,662,000	41,581,936	15,540,089	12,385,168	41,916,881	111,424,074
CHILD HEALTH INSURANCE		-	-	-	-	-
<b>COMMUNITY SUPPORT PROGRAM</b>	225,000	15,000	-	15,000	-	30,000
COMMUNITY SUPPORT		-	-	-	-	-
<b>ELDERLY PHARMACEUTICAL INS COVERAGE PRG</b>	283,964,000	26,862,218	12,830,063	13,827,006	13,958,097	67,477,384
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		-	-	-	-	-
<b>HEALTH CARE REFORM ACT PROGRAM</b>	1,656,797,714	-	-	-	-	-
AIDS DRUG ASSISTANCE		39,778	396,594	20,000,000	-	20,000,000
AMBULATORY CARE TRAINING		-	-	164,465	18,758	619,595
AREA HEALTH EDUCATION CENTER		-	-	973,510	-	973,510
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		208,955	-	-	-	208,955
DIVERSITY IN MEDICINE		-	-	-	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		-	-	-	-	-
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		881,738	163,090	1,093,747	83,371	2,221,946
INFERTILITY SERVICES GRANTS		217,593	312,788	127,491	117,520	775,392
MEDICAL INDEMNITY FUND		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		356,308	-	194,032	-	550,340
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		112,400,000	-	-	-	112,400,000
PHYSICIAN LOAN REPAYMENT		312,030	300,284	74,874	-	687,188
PHYSICIAN PRACTICE SUPPORT		-	100,208	-	-	100,208
PHYSICIAN WORKFORCE STUDIES		-	179,139	-	-	179,139
POISON CONTROL CENTERS		-	-	-	-	-
POOL ADMINISTRATION		675,087	203,538	436,861	-	1,315,486

## APPENDIX B

STATE OF NEW YORK  
HCRA RESOURCES FUND  
STATEMENT OF PROGRAM DISBURSEMENTS  
FISCAL YEAR 2016-2017

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	6 Months Ended September 30, 2016 (**)
ROSSELL PARK CANCER INSTITUTE		21,777,000	21,777,000	-	-	43,554,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		1,741,691	784,781	1,013,614	396,883	3,936,969
RURAL HEALTH NETWORK		1,400,264	310,692	794,915	72,607	2,578,478
SCHOOL BASED HEALTH CENTERS		-	-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN		-	-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
<b>MEDICAL ASSISTANCE PROGRAM</b>	<b>26,993,428,000</b>					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		178,896,179	156,060,320	64,269,387	63,339,179	462,565,065
MEDICAL ASSISTANCE		864,000,000	415,000,000	338,000,000	287,000,000	1,904,000,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
<b>OFFICE OF HEALTH INSURANCE PROGRAM</b>	<b>5,834,000</b>					
OFFICE OF HEALTH INSURANCE		347,101	68,572	89,238	67,860	572,771
<b>OFFICE OF HEALTH SYSTEMS MANAGEMENT</b>	<b>61,229,975</b>					
OFFICE OF HEALTH SYSTEMS MANAGEMENT		4,790,711	1,659,847	1,261,615	798,636	8,510,809
<b>OFFICE OF LONG TERM CARE</b>	<b>6,748,101</b>					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
<b>REVENUE, PROCESSING &amp; RECONCILIATION</b>	<b>4,095,000</b>					
REVENUE, PROCESSING & RECONCILIATION		469,734	189,234	359,892	506,792	1,525,652
<b>TOTAL</b>	<b>30,153,486,872</b>	<b>1,277,659,079</b>	<b>626,040,148</b>	<b>455,298,469</b>	<b>408,445,486</b>	<b>2,767,443,182</b>
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,427,364)	(126,785)	(1,204,004)	(792,179)	(4,550,352)
Reconciling Adjustment (P-Card and T-Card)		902	(125)	708	(5,622)	(4,137)
<b>TOTAL APPROPRIATED AMOUNT</b>	<b>\$ 30,153,575,872</b>	<b>\$ 1,275,232,597</b>	<b>\$ 625,913,238</b>	<b>\$ 454,095,173</b>	<b>\$ 407,647,685</b>	<b>\$ 2,762,888,693</b>

(\*) Includes amounts appropriated in SFY 2016-17, as well as prior year appropriations that were reappropriated.

(\*\*) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(\*\*\*) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(\*\*\*\*) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

## APPENDIX C

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL  
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016-17
<b>OPENING CASH BALANCE</b>	<b>\$ 299,817,850.44</b>	<b>\$ 318,000,880.07</b>	<b>\$ 188,358,671.38</b>	<b>\$ 330,330,541.10</b>	<b>\$ 299,817,850.44</b>
<b>RECEIPTS:</b>					
Patient Services	814,872,120.28	188,476,958.36	339,202,422.12	209,426,388.75	1,551,977,889.51
Covered Lives	292,485,785.74	59,392,723.25	136,621,362.36	65,390,879.26	553,890,750.61
Provider Assessments	27,190,651.94	6,686,395.83	11,696,346.66	9,556,390.10	55,129,784.53
1% Assessments	93,651,580.00	29,906,767.12	35,308,628.00	29,489,933.00	188,356,908.12
DASNY - MOE/Recast receivables	-	-	-	-	-
Interest Income	46,323.73	18,915.41	27,683.90	24,399.00	117,322.04
Unassigned	(191,258.37)	(21,319.74)	119,432.00	(115,155.00)	(208,301.11)
<b>Total Receipts</b>	<b>1,228,055,203.32</b>	<b>284,460,440.23</b>	<b>522,975,875.04</b>	<b>313,772,835.11</b>	<b>2,349,264,353.70</b>
<b>PROGRAM DISBURSEMENTS:</b>					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
<b>Total Program Disbursements</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Excess (Deficiency) of Receipts over Disbursements</b>	<b>1,228,055,203.32</b>	<b>284,460,440.23</b>	<b>522,975,875.04</b>	<b>313,772,835.11</b>	<b>2,349,264,353.70</b>
<b>OTHER FINANCING SOURCES (USES):</b>					
<b>Transfers From Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,063,288.00	3,510,889.00	3,215,254.00	3,742,946.00	20,532,377.00
<b>Transfers From State Funds:</b>					
HCRA Resources Fund	-	-	-	-	-
<b>Total Other Financing Sources</b>	<b>10,063,288.00</b>	<b>3,510,889.00</b>	<b>3,215,254.00</b>	<b>3,742,946.00</b>	<b>20,532,377.00</b>
<b>Transfers To Other Pools:</b>					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
<b>Transfers To State Funds:</b>					
HCRA Resources Fund	(1,074,026,803.03)	(225,025,113.93)	(449,004,993.65)	(463,848,603.70)	(2,211,905,514.31)
Indigent Care Fund (matched)	(159,632,287.81)	(191,729,594.82)	64,660,467.45	63,301,091.06	(223,400,304.12)
Indigent Care Fund (non-matched)	13,723,609.15	(858,829.17)	125,266.88	377,266.88	13,367,313.74
<b>Total Other Financing Uses</b>	<b>(1,219,935,461.69)</b>	<b>(417,613,537.92)</b>	<b>(384,219,259.32)</b>	<b>(400,170,245.76)</b>	<b>(2,421,938,504.69)</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses</b>	<b>18,183,029.63</b>	<b>(129,642,208.69)</b>	<b>141,971,869.72</b>	<b>(82,654,464.65)</b>	<b>(52,141,773.99)</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 318,000,880.07</b>	<b>\$ 188,358,671.38</b>	<b>\$ 330,330,541.10</b>	<b>\$ 247,676,076.45</b>	<b>\$ 247,676,076.45</b>

Source: HCRA - Office of Pool Administration

## APPENDIX D

STATE OF NEW YORK  
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE  
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016-17
OPENING CASH BALANCE	\$ 3,139.74	\$ -	\$ 777.11	\$ 362,716.09	\$ 3,139.74
RECEIPTS:					
Interest Income	901.20	777.11	1,146.09	433.38	3,257.78
Total Receipts	901.20	777.11	1,146.09	433.38	3,257.78
PROGRAM DISBURSEMENTS:					
Indigent Care	(150,207,124.26)	(191,032,138.82)	(64,597,100.89)	(63,489,724.50)	(469,326,088.47)
High Need Indigent Care	-	-	-	-	-
Other	(887,410.66)	58,694,418.83	6,113,839.37	4,031,488.16	67,952,335.70
Total Program Disbursements	(151,094,534.92)	(132,337,719.99)	(58,483,261.52)	(59,458,236.34)	(401,373,752.77)
Excess (Deficiency) of Receipts over Disbursements	(151,093,633.72)	(132,336,942.88)	(58,482,115.43)	(59,457,802.96)	(401,370,494.99)
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	79,816,133.91	95,864,797.41	32,330,233.73	31,650,545.53	239,661,710.58
HCRA Resources Indigent Care - Unmatched	(8,437,132.99)	481,562.29	(63,366.56)	188,633.44	(7,830,303.82)
HCRA Resources Indigent Care - ATB	(25,357.85)	(1,172,080.00)	-	-	(1,197,437.85)
Federal DHHS Fund	79,816,133.90	95,864,797.41	32,330,233.72	31,650,545.53	239,661,710.56
Other	-	-	361,570.00	-	361,570.00
Total Other Financing Sources	151,169,776.97	191,039,077.11	64,958,670.89	63,489,724.50	470,657,249.47
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(79,282.99)	(58,701,357.12)	(6,114,616.48)	(4,032,634.25)	(68,927,890.84)
Total Other Financing Uses	(79,282.99)	(58,701,357.12)	(6,114,616.48)	(4,032,634.25)	(68,927,890.84)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,139.74)	777.11	361,938.98	(712.71)	358,863.64
CLOSING CASH BALANCE	\$ -	\$ 777.11	\$ 362,716.09	\$ 362,003.38	\$ 362,003.38

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK  
SUMMARY OF OFF-BUDGET SPENDING REPORT  
FISCAL YEAR 2016-2017  
(Amounts in thousands)

## APPENDIX E

	2016 APRIL	2016 MAY	2016 JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016 OCTOBER	2016 NOVEMBER	2016 DECEMBER	2017 JANUARY	2017 FEBRUARY	2017 MARCH	2016-2017 TOTAL
<b>DORMITORY AUTHORITY:</b>													
Education - All Other	\$ 1,748	\$ 4,817	\$ 5,133	\$ 1,356	\$ 106	\$ -	-	-	-	-	-	-	\$ 1
Education - EXCEL	-	-	38	-	14	-	-	-	-	-	-	-	13,160
Department of Health - All Other	-	2	-	-	-	-	-	-	-	-	-	-	54
Community Enhancement Facilities Assistance Program (CEFAP)	1,084	-	-	-	90	87	-	-	-	-	-	-	1,261
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)/RESTORE	165	712	302	61	520	357	-	-	-	-	-	-	2,117
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	17,760	36,784	15,736	16,679	38,084	21,416	-	-	-	-	-	-	146,459
CUNY Community Colleges	995	3,479	3,603	1,042	7,491	3,435	-	-	-	-	-	-	20,045
SUNY Dormitories	64	157	10	-	-	-	-	-	-	-	-	-	231
Upstate Community Colleges	1,123	9,803	9,367	3,550	4,066	3,529	-	-	-	-	-	-	31,438
Mental Health	4,050	7,079	5,820	1,738	9,595	4,804	-	-	-	-	-	-	33,086
Developmental Disabilities	404	1,628	1,543	144	1,812	737	-	-	-	-	-	-	6,268
Alcoholism and Substance Abuse	158	843	278	44	278	40	-	-	-	-	-	-	1,641
Brooklyn Court Officer Training Academy	219	925	1,570	879	2,113	1,589	-	-	-	-	-	-	7,295
<b>TOTAL DORMITORY AUTHORITY</b>	<b>27,770</b>	<b>66,229</b>	<b>43,400</b>	<b>25,493</b>	<b>64,170</b>	<b>35,984</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>263,056</b>
<b>EMPIRE STATE DEVELOPMENT CORP:</b>													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	1	-	-	364	1	-	-	-	-	-	-	366
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>TOTAL EMPIRE STATE DEVELOPMENT CORP</b>	<b>-</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>364</b>	<b>1</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>366</b>
<b>TOTAL OFF-BUDGET</b>	<b>\$ 27,770</b>	<b>\$ 66,230</b>	<b>\$ 43,400</b>	<b>\$ 25,493</b>	<b>\$ 64,534</b>	<b>\$ 35,985</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 263,422</b>

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

**STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)**

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
10050	GENERAL FUND					
	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ -
	TOTAL GENERAL FUND	\$ -	\$ -	\$ -	\$ -	\$ -
<b>CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS</b>						
30051	HIGHWAY AND BRIDGE CAPITAL	7,779,728.57	-	-	193,270,283.12	193,270,283.12
30101	REHAB/REPAIR MARITIME	-	36,521.45	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROOKPORT	-	-	-	-	-
30118	D02RVE- BROOKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	118,543,724.65	124,445,011.27	137,728,981.61	(107,647,737.32)	30,081,244.29
30501	CWICA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWICA IMPLEMENTATION STATE	-	-	-	-	-
30503	CWICA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CWICA IMPLEMENTATION EFC	-	-	-	-	-
31505	HAZARDOUS WASTE CLEAN UP	169,902,868.47	177,750,135.01	184,638,935.76	(63,164,846.30)	121,474,089.46
31701	YOUTH FACILITIES IMPROVEMENT	7,775,140.12	9,606,845.79	11,270,625.54	2,861,751.46	13,932,377.00
31801	HOUSING ASSISTANCE	10,815,607.54	13,630,607.54	13,630,607.54	-	13,630,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	19,003,622.21	45,010,122.21	45,010,122.21	(7,306,306.67)	37,703,815.54
31852	HOUSING PROG FD AFFORD HSG CORP	23,437,271.89	38,233,521.89	42,233,521.89	-	42,233,521.89
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	110,636,927.07	110,636,927.07	110,636,927.07	11,864,302.78	122,301,229.85
31854	HOUSING PROG FD-HFA	-	-	-	-	-

APPENDIX F

**STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)**

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
31951	HIGHWAY FAC PURPOSE	12,646,959.92	12,661,414.24	12,661,414.24	(33,527.00)	12,627,887.24
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32215	IT CAPITAL FINANCING ACCT	46,611.15	46,629.07	53,388.35	19.21	53,407.56
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	DSAS-COMMUNITY FACILITIES	145,893,117.44	145,091,797.37	146,971,956.60	5,125,931.89	152,097,888.49
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32306	OPWDD-COMMUNITY FACILITIES	218,484,387.15	222,214,387.15	223,464,387.15	1,102,922.33	224,566,309.48
32307	DASNY - OMH ADMIN	36,479,662.82	36,479,662.82	28,616,748.76	-	28,616,748.76
32308	DASNY - OPWDD ADMIN	5,516,639.89	5,516,639.89	4,518,493.32	-	4,518,493.32
32309	DASNY - OASAS ADMIN	753,554.67	753,554.67	556,276.88	-	556,276.88
32310	OMH-STATE FACILITIES	51,068,982.49	53,897,821.72	60,464,176.74	5,613,227.43	66,077,404.17
32311	OPWDD-STATE FACILITIES	-	-	-	-	-
32351	OASAS-STATE FACILITIES	1,778,970.38	176,494.68	342,774.68	94,892.37	437,667.05
32352	CORR- FACILITIES CAPITAL IMPROVEMENT	44,179,748.32	66,441,946.92	99,787,521.31	19,989,631.87	119,757,153.18
33001	DOCS-REHABILITATION PROJECTS	55,560,010.08	51,076,487.20	53,751,936.61	(1,517,091.67)	52,234,844.94
	<b>TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS</b>	<b>1,047,064,284.83</b>	<b>1,111,759,277.96</b>	<b>1,176,492,546.26</b>	<b>59,832,453.50</b>	<b>1,236,324,989.76</b>
<b>STATE SPECIAL REVENUE FUNDS</b>						
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	8,294,836.49	23,834,925.59	-	18,136,974.56	18,136,974.56
20818	EPIC PREMIUM ACCOUNT	6,964,230.67	5,660,937.29	-	745,673.19	745,673.19
20901	LOTTERY-EDUCATION	-	-	-	1,274,377,299.72	1,274,377,299.72
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,937,938.13	4,076,204.77	4,197,138.00	(482,013.95)	3,715,124.05
21061	HAZARDOUS BULK STORAGE	1,358,365.80	2,009,583.71	2,823,974.81	(2,823,974.81)	-
21066	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	4,418,630.19	3,920,670.30	4,232,760.13	(421,889.41)	3,810,870.72
21067	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	10,248,517.17	9,237,060.13	8,446,963.70	(88,272.77)	8,348,690.93
21077	ENCON-RECREATION	-	-	-	-	-
21081	PUBLIC SAFETY RECOVERY ACCOUNT	32,218,470.80	31,414,765.56	37,050,378.31	(1,332,337.44)	38,718,040.87
21082	ENVIRONMENTAL REGULATORY	18,322,779.81	17,555,781.23	17,981,113.69	(204,923.56)	17,776,190.13
21084	NATURAL RESOURCES ACCOUNT	-	-	-	-	-
21087	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21201	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	200,546.61	244,011.26	370,949.73	39,377.24	410,326.97
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	76,757.78	90,472.78	109,048.74	14,020.20	123,068.94
21204	OIL SPILL COMPENSATION	4,545,362.83	5,440,132.32	8,515,163.65	860,180.21	9,375,343.86
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	21,317,194.84	21,718,252.98	22,796,006.41	(36,825,657.94)	19,063,050.97
21452	MOBILE SOURCE	-	-	-	(38,174.18)	22,757,832.23
21902	HEALTH-SPARCS	-	-	-	-	-
21903	THRUWAY AUTHORITY ACCT	8,371,336.25	10,960,986.87	13,507,946.00	7,484,060.74	20,992,006.74
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	FINANCIAL CONTROL BOARD	595,625.18	141,782.67	287,175.48	-	518,688.06
21911	RACING REGULATION ACCOUNT	6,281,055.63	5,827,751.51	5,647,531.62	231,512.58	4,613,503.88
21912	NY METROPOLITAN TRANSPORTATION COUNCIL	17,184,549.34	16,403,065.34	17,221,307.38	(1,034,027.74)	18,387,067.99
21937	SU DORM INCOME REIMBURSE	530,020.04	392,035.90	237,504.52	(52,080.53)	185,423.99
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	INDIRECT COST RECOVERY	13,437,945.40	11,402,888.40	10,942,394.39	70,460.52	11,012,854.91
21978	HIGH SCHOOL EQUIVALENCY PROGRAM	-	257,835.36	-	-	-
21979	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	16,064.18	60,049.78	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22007	REAL PROPERTY DISPOSITION	860,499.39	887,461.29	901,283.36	(146,468.07)	754,815.29
22009	PARKING ACCOUNT	-	-	-	-	-
22032	ASBESTOS SAFETY TRAINING	218,180.56	188,784.50	197,905.40	(14,278.06)	183,627.34
22034	BATAVIA SCHOOL FOR THE BLIND	7,335,375.84	5,126,660.39	6,314,010.26	765,137.90	7,079,148.16
22036	INVESTMENT SERVICES	-	-	-	-	-
22039	SURPLUS PROPERTY ACCOUNT	-	178,319.91	746,353.23	-	918,383.26
22046	FINANCIAL OVERSIGHT	60,219,235.04	60,219,332.03	61,404,550.30	372,659.01	61,777,209.31
22053	REGULATION INDIAN GAMING	1,075,274.22	133,076.60	1,127,343.69	669,341.61	1,796,685.30
22054	ROME SCHOOL FOR THE DEAF	8,659,245.04	8,569,357.87	8,505,622.21	(155,769.18)	8,349,853.03
	DSP-SEIZED ASSETS	-	-	-	-	-



APPENDIX F

**STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(\*)**

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
22065	ADMINISTRATIVE ADJUDICATION	1,889,782.35	290,050.78	-	4,004,914.09	4,004,914.09
22066	FEDERAL SALARY SHARING	609,734.03	793,804.99	893,041.90	81,129.85	974,171.75
22067	NYC ASSESSMENT ACCT	-	-	-	-	-
22068	CULTURAL EDUCATION ACCOUNT	3,494,748.96	2,625,727.79	4,124,464.42	(964,128.33)	3,160,336.09
22069	LOCAL SERVICE ACCOUNT	449,018.03	497,223.29	670,966.21	49,282.05	720,249.05
22070	DMV MORTGAGE SERVICES	4,647,626.58	5,045,782.85	4,577,297.08	391,935.37	4,969,232.45
22071	DMV COMPULSORY INS PRGM	646,762.72	646,762.72	349,870.72	-	349,870.72
22072	HOUSING INDIRECT COST RECOVERY	3,321,780.02	3,608,536.04	3,887,854.30	253,247.19	4,141,101.49
22100	DMV-HOUSING CREDIT AGENCY APPLY FEE	451,290.00	501,545.17	590,683.58	323,316.68	914,000.26
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-
22151	DEFERRED COMPENSATION ADMIN	91,811.33	123,695.92	203,591.54	27,234.02	230,825.56
22156	RENT REVENUE OTHER - NYC	4,348,586.58	-	-	-	-
22168	TAX REVENUE	853,261.39	689,587.03	489,467.15	(19,663.06)	469,804.10
22654	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22751	S.U. NON-RESIDENT REV. OFFSET	19,457,957.42	19,465,437.17	19,473,484.43	7,907.57	19,481,392.00
22802	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
23001	STATE POLICE MV ENFORCE	-	-	-	-	-
23101	DOT - HIGHWAY SAFETY PRGM	8,336,737.24	8,417,198.55	8,534,620.20	236,068.98	8,770,679.18
23102	EFC DRINKING WATER PROGRAM	-	-	-	-	-
23103	DOH DRINKING WATER PROGRAM	5,984,237.62	5,984,237.62	5,984,237.62	-	5,984,237.62
23151	NYCCC OPERATING OFFSET	32,063,246.87	34,350,006.38	36,553,578.26	2,391,774.24	38,945,352.50
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	5,742,861.66	5,795,003.40	3,981,296.41	-	3,275,735.51
	<b>TOTAL STATE SPECIAL REVENUE FUNDS</b>	<b>330,565,926.74</b>	<b>334,796,786.04</b>	<b>378,757,587.74</b>	<b>1,267,752,069.03</b>	<b>1,647,509,556.77</b>
25000-25099	<b>FEDERAL FUNDS</b>					
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	8,406,449.76	10,467,989.40	4,545,145.66	21,559,715.06	26,104,860.72
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	211,857,112.37	190,056,843.23	363,979,761.60	(212,038,127.46)	151,940,634.14
25300-25399	FEDERAL EDUCATION GRANTS FUND	7,246,015.03	11,495,893.04	17,749,878.60	2,236,517.15	19,986,395.75
31351	FEDERAL OPERATING GRANTS FUND	342,474,625.73	338,234,865.40	360,979,233.22	6,275,228.33	367,254,461.55
31354	MILITARY AND NAVAL AFFAIRS	7,003,440.77	7,059,946.77	7,061,606.77	1,198.00	7,062,804.77
31355	DEPARTMENT OF TRANSPORTATION	382,175,659.36	406,200,828.13	414,977,585.19	(60,343,167.99)	354,634,417.20
31356-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	49,070,288.02	64,522,002.54	41,891,680.35	49,427,031.61	91,318,711.96
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	13,674,553.42	13,514,045.13	12,019,315.98	730,713.64	12,750,029.62
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	4,828,639.15	6,774,549.35	1,652,556.33	210,643.93	1,863,200.26
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	1,026,756,783.61	1,048,326,834.99	1,224,856,763.70	(191,942,447.97)	1,032,914,315.97
	<b>TOTAL FEDERAL FUNDS</b>					
60201	<b>AGENCY FUNDS</b>					
60901	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
	MMIS - STATE AND FEDERAL	-	-	-	-	-
	<b>TOTAL AGENCY FUNDS</b>					
50318	<b>ENTERPRISE FUND</b>					
50327	OGS CONVENTION CENTER ACCOUNT	-	12,580.89	21,582.80	(21,582.80)	-
	EMPIRE PLAZA GIFT SHOP	-	12,580.89	21,582.80	(21,582.80)	-
	<b>TOTAL ENTERPRISE FUND</b>					
55001	<b>INTERNAL SERVICE FUNDS</b>					
55002	CENTRALIZED SERVICES-FLEET MGMT	1,315,834.80	1,419,601.70	1,459,716.90	68,038.83	1,527,755.73
55003	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55004	CENTRALIZED SERVICES-PRINTING	2,757,675.91	2,641,698.98	2,970,347.06	(88,263.40)	2,882,093.66
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	24,401.84	-	-	-
55006	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	566,164.30	226,195.48	215,994.43	(155,085.23)	60,909.20
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	19,879,908.63	17,226,524.95	29,337,460.79	(1,356,761.83)	27,980,698.96
55009	CENTRALIZED SERVICES-PASNY	-	-	-	-	-
55010	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	209,479.02	89,524.32	389,003.34
55011	CENTRALIZED SERVICES-DESIGN AND CONSTR	179,512.21	166,745.71	208,182.69	(11,416.50)	196,766.19
55012	CENTRALIZED SERVICES-INSURANCE	-	-	-	-	-
55013	CENTRALIZED SERVICES-SECURITY CARD ACCESS	-	-	-	-	-
55014	CENTRALIZED SERVICES-COPS	-	-	-	-	-
55015	CENTRALIZED SERVICES-FOOD SERVICES	-	26,961.54	26,961.54	-	26,961.54
55016	CENTRALIZED SERVICES-HOMER FOLKS	26,961.54	590,992.29	698,411.33	(46,539.83)	651,871.50
55017	CENTRALIZED SERVICES-HIMICS	599,990.99	-	-	-	-
55018	DOWNSTATE WAREHOUSE	-	-	-	-	-
55019	BUILDING ADMINISTRATION	-	-	-	-	-
55020	LEASE SPACE INITIATIVE	39,042,427.44	39,480,985.54	49,155,148.39	8,914,039.37	58,069,187.76
55021	OGS ENTERPRISE CONTRACTING ACCT	3,974,079.91	4,125,376.16	4,271,693.96	(4,364.83)	4,267,329.13
	NYS MEDIA CENTER	-	-	-	-	-

APPENDIX F

STATE OF NEW YORK  
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING<sup>(\*)</sup>

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
55022	BUSINESS SERVICES CENTER	-	-	-	-	-
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	239,912.22	17,371.19	123,310.29	(20,884.32)	102,425.97
55057	BANKING SERVICES ACCOUNT	3,986,495.98	4,110,951.25	103,802.17	(43,495.10)	60,307.07
55058	CULTURAL RESOURCE SURVEY	11,426,593.50	11,376,085.48	4,458,543.06	639,173.30	5,097,716.36
55059	NEIGHBOR WORK PROJECT	2,854,245.50	1,738,082.92	11,291,913.20	(81,660.98)	11,210,252.22
55060	AUTOMATIC/PRINT CHARGEBACKS	5,669,746.06	5,710,073.80	1,278,210.70	(1,278,210.70)	-
55061	OFT NYT ACCT	52,338,552.49	52,338,552.49	5,815,285.70	(10,480.40)	5,804,805.30
55062	DATA CENTER ACCOUNT	52,387,777.73	52,338,552.49	52,338,552.49	-	52,338,552.49
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	94,619.05	140,724.23	167,161.03	1,510.92	1,688,671.06
55069	CENTRALIZED TECHNOLOGY SERVICES	74,186,236.94	71,923,261.53	73,138,836.95	1,303,508.44	74,442,345.39
55071	LABOR CONTACT CENTER ACCT	449,003.98	534,539.65	631,802.30	289,142.69	920,944.99
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,590,161.63	600,721.91	476,638.33	1,219,585.95	1,696,224.28
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	4,098,577.26	4,264,929.67	4,828,124.09	169,418.50	4,997,542.59
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	1,237,412.89	2,440,355.73	5,168,715.10	1,010,968.49	6,179,683.59
55300	HEALTH INSURANCE INTERNAL SERVICE	8,351,918.23	9,244,585.79	10,885,063.05	630,115.85	11,515,178.90
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	7,320,524.00	7,454,183.21	7,860,915.54	127,626.38	7,988,541.92
55350	CORR INDUSTRIES INTERNAL SERVICE	25,002,455.88	24,283,143.08	23,145,766.90	4,187,597.90	27,333,364.80
	<b>TOTAL INTERNAL SERVICE FUNDS</b>	<b>263,499,720.25</b>	<b>263,364,601.39</b>	<b>291,617,621.28</b>	<b>15,553,097.82</b>	<b>307,170,719.10</b>
	<b>GRAND TOTAL - TEMPORARY LOANS OUTSTANDING</b>	<b>\$ 2,673,868,715.43</b>	<b>\$ 2,758,260,081.27</b>	<b>\$ 3,072,746,101.78</b>	<b>\$ 1,151,173,589.82</b>	<b>\$ 4,223,919,691.60</b>

(\*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(\*\*) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(\*\*\*) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND (*) STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2016-17													APPENDIX G
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2016
<b>OPENING CASH BALANCE</b>	\$ 110,333,290	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653	\$ 198,471,862							\$ 110,333,290
<b>RECEIPTS:</b>													
Transfers from General Fund (**)	40,000,000	100,000,000	98,550,000	125,000,000	-	-	-	-	-	-	-	-	383,550,000
<b>Total Receipts</b>	<b>40,000,000</b>	<b>100,000,000</b>	<b>98,550,000</b>	<b>125,000,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>383,550,000</b>
<b>DISBURSEMENTS:</b>													
Broadband Initiative	-	-	-	-	-	-	-	-	-	-	-	-	-
Health Care / Hospital Initiatives	-	1,395	14,305	12,360	22,780	14,454	-	-	-	-	-	-	-
Information Technology/Infrastructure for Behavioral Sciences	-	1,997,342	13,030,085	2,512,984	1,734,050	347,870	-	-	-	-	-	-	65,294
Infrastructure Improvements	159,949	-	-	-	-	-	-	-	-	-	-	-	19,782,280
Municipal Restructuring	-	1,909,118	203,840	410,631	239,424	2,002,167	-	-	-	-	-	-	4,787,180
Penn. Station Access	-	-	-	-	-	-	-	-	-	-	-	-	-
Realieny, Mitigation, Security and Emergency Response	1,340,467	2,853,343	8,001,370	7,403,988	9,471,695	4,185,619	-	-	-	-	-	-	33,256,902
Southern Tier / Hudson Valley Farm Initiative	-	-	-	-	2,983,337	4,154,847	-	-	-	-	-	-	3,987,133
Thruway Safety Program	46,099,336	58,594,264	59,377,835	24,355,216	32,641,443	31,674,566	-	-	-	-	-	-	252,713,062
Transportation Economic Development Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Revitalization Program	-	-	-	-	-	18,708,546	-	-	-	-	-	-	18,708,546
<b>Total Disbursements</b>	<b>47,598,772</b>	<b>65,358,376</b>	<b>80,629,435</b>	<b>34,701,054</b>	<b>47,122,791</b>	<b>78,888,589</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>354,300,017</b>
<b>OPERATING TRANSFERS:</b>													
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Total Operating Transfers</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Disbursements and Transfers</b>	<b>47,598,772</b>	<b>65,358,376</b>	<b>80,629,435</b>	<b>34,701,054</b>	<b>47,122,791</b>	<b>78,888,589</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>354,300,017</b>
<b>CLOSING CASH BALANCE</b>	<b>\$ 102,733,518</b>	<b>\$ 137,375,142</b>	<b>\$ 155,295,707</b>	<b>\$ 245,594,653</b>	<b>\$ 198,471,862</b>	<b>\$ 119,583,273</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 119,583,273</b>

(\*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFLS 93-b

(\*\*) Pursuant to Chapter 54, Laws of 2016-17, Part UU